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Studies of beauty baryon decays to $D^0 p\pi^-$ and $\Lambda_c^+ h^-$ final states

R. Aaij et al.*
(LHCb Collaboration)
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Decays of beauty baryons to the $D^0 p\pi^-$ and $\Lambda_c^+ h^-$ final states (where $h$ indicates a pion or a kaon) are studied using a data sample of $pp$ collisions, corresponding to an integrated luminosity of 1.0 fb$^{-1}$, collected by the LHCb detector. The Cabibbo-suppressed decays $\Lambda_b^0 \rightarrow D^0 pK^-$ and $\Lambda_b^0 \rightarrow \Lambda_c^- K^-$ are observed, and their branching fractions are measured with respect to the decays $\Lambda_b^0 \rightarrow D^0 p\pi^-$ and $\Lambda_b^0 \rightarrow \Lambda_c^- \pi^-$. In addition, the first observation is reported of the decay of the neutral beauty-strange baryon $\Xi_b^0$ to the $D^0 pK^-$ final state, and a measurement of the $\Xi_b^0$ mass is performed. Evidence of the $\Xi_b^0 \rightarrow \Lambda_c^- K^-$ decay is also reported.

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I. INTRODUCTION

Although there has been great progress in studies of beauty mesons, both at the $B$ factories and hadron machines, the beauty baryon sector remains largely unexplored. The quark model predicts seven ground-state ($J^P = \frac{1}{2}^+$) baryons involving a $b$ quark and two light ($u$, $d$, or $s$) quarks [1]. These are the $\Lambda_b^0$ isospin singlet, the $\Sigma_b$ triplet, the $\Xi_b$ strange doublet, and the doubly strange partner of $\Sigma_b^0$. Among these states, the $\Sigma_b$ baryon has not been observed yet, while for the others the quantum numbers have not been experimentally established, very few decay modes have been measured, and fundamental properties such as masses and lifetimes are in general poorly known. Moreover, the $\Sigma_b^\pm$ and $\Xi_b^0$ baryons have been observed by a single experiment [2,3]. It is therefore of great interest to study $b$ baryons and to determine their properties.

The decays of $b$ baryons can be used to study $CP$ violation and rare processes. In particular, the decay $\Lambda_b^0 \rightarrow D^0 \Lambda$ has been proposed to measure the Cabibbo–Kobayashi–Maskawa unitarity triangle angle $\gamma$ [4–6], following an approach analogous to that for $B^0 \rightarrow DK^{\ast 0}$ decays [7]. A possible extension to the analysis of the $D^0 \Lambda$ final state is to use the $\Lambda_b^0 \rightarrow D^0 pK^-$ decay, with the $pK^-$ pair originating from the $\Lambda_b^0$ decay vertex. Such an approach can avoid limitations due to the lower reconstruction efficiency of the $\Lambda$ decay. In addition, if the full phase space of the three-body decay is used, the sensitivity to $\gamma$ may be enhanced, in a similar manner to the Dalitz plot analysis of $B^0 \rightarrow DK^{\ast 0}$ decays, which offers certain advantages over the quasi-two-body $B^0 \rightarrow DK^{\ast 0}$ analysis [8,9].

* Full author list given at the end of the article.

This paper reports the results of a study of beauty baryon decays into $D^0 p\pi^-$, $D^0 pK^-$, $\Lambda_c^- \pi^-$, and $\Lambda_c^- K^-$ final states. A data sample corresponding to an integrated luminosity of 1.0 fb$^{-1}$ is used, collected by the LHCb detector [10] in $pp$ collisions with center-of-mass energy of 7 TeV. Six measurements are performed in this analysis, listed below [1].

The decay mode $\Lambda_b^0 \rightarrow D^0 p\pi^-$ is the Cabibbo-favored partner of $\Lambda_b^0 \rightarrow D^0 pK^-$ with the same topology and higher rate. We measure its rate using the mode $\Lambda_b^0 \rightarrow \Lambda_c^- \pi^-$ for normalization. To avoid dependence on the poorly measured branching fraction of the $\Lambda_c^+ \rightarrow pK^- \pi^+$ decay, we quote the ratio

$$R_{\Lambda_b^0 \rightarrow D^0 p\pi^-} = \frac{B(\Lambda_b^0 \rightarrow D^0 p\pi^-) \times B(D^0 \rightarrow K^- \pi^+)}{B(\Lambda_b^0 \rightarrow \Lambda_c^- \pi^-) \times B(\Lambda_c^- \rightarrow pK^- \pi^+)}.$$  

The $D^0$ meson is reconstructed in the favored final state $K^- \pi^+$ and the $\Lambda_c^-$ baryon in the $pK^- \pi^-$ mode. In this way, the $\Lambda_b^0 \rightarrow \Lambda_c^- \pi^-$ and $\Lambda_b^0 \rightarrow D^0 p\pi^-$ decays have the same final state particles, and some of the systematic uncertainties, in particular those related to particle identification (PID), cancel in the ratio. The branching fraction of the Cabibbo-suppressed $\Lambda_b^0 \rightarrow D^0 pK^-$ decay mode is measured with respect to that of $\Lambda_b^0 \rightarrow D^0 p\pi^-:

$$R_{\Lambda_b^0 \rightarrow D^0 pK^-} = \frac{B(\Lambda_b^0 \rightarrow D^0 pK^-)}{B(\Lambda_b^0 \rightarrow D^0 p\pi^-)}.$$  

The Cabibbo-suppressed decay $\Lambda_b^0 \rightarrow \Lambda_c^- K^-$ is also studied. This decay has been considered in various analyses as a background component [11,12], but a dedicated study has not been performed so far. We measure the ratio

$$R_{\Lambda_b^0 \rightarrow \Lambda_c^- K^-} = \frac{B(\Lambda_b^0 \rightarrow \Lambda_c^- K^-)}{B(\Lambda_b^0 \rightarrow \Lambda_c^- \pi^-)}.$$  

The inclusion of the charge-conjugate processes is implied.

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The heavier beauty-strange $\Xi_b^0$ baryon can also decay into the final states $D^0 p K^-$ and $\Lambda_b^+ K^-$ via $b \to c u d$ color-suppressed transitions. Previously, the $\Xi_b^0$ baryon has only been observed in one decay mode, $\Xi_b^0 \to \Xi^0 \pi^-$ [3]; thus, it is interesting to study other final states, as well as to measure its mass more precisely. Here we report measurements of the ratios of rates for $\Xi_b^0 \to D^0 p K^-$,

$$R_{\Xi_b^0 \to D^0 p K^-} = \frac{f_{\Xi_b^0} \times B(\Xi_b^0 \to D^0 p K^-)}{f_{\Lambda_b^0} \times B(\Lambda_b^0 \to D^0 p K^-)},$$  

(4)

and $\Xi_b^0 \to \Lambda_b^0 K^- \pi^-$ decays,

$$R_{\Xi_b^0 \to \Lambda_b^0 K^- \pi^+} = \frac{B(\Xi_b^0 \to \Lambda_b^0 K^- \pi^+)}{B(\Xi_b^0 \to D^0 p K^-) \times B(D^0 \to K^- \pi^+)},$$  

(5)

where $f_{\Xi_b^0}$ and $f_{\Lambda_b^0}$ are the fragmentation fractions of the $b$ quark to $\Xi_b^0$ and $\Lambda_b^0$ baryons, respectively. The difference of $\Xi_b^0$ and $\Lambda_b^0$ masses, $m_{\Xi_b^0} - m_{\Lambda_b^0}$, is also measured.

III. SELECTION CRITERIA

The analysis uses four combinations of final-state particles to form the $b$-baryon candidates: $\Lambda_b^0 \pi^-$, $D^0 p \pi^-$, $\Lambda_b^0 K^-$, and $D^0 p K^-$. The $D^0$ mesons are reconstructed in the $K^- \pi^+$ final state, and $\Lambda_b^0$ baryons are reconstructed from $p K^- \pi^+$ combinations. In addition, the combinations with the $D^0$ meson of opposite flavor (i.e., $D^0 p \pi^-$ and $D^0 p K^-$ with $D^0 \to K^+ \pi^-$) are selected to better constrain the shape of the combinatorial background in $D^0 p \pi^-$ final states. These decay modes correspond to either doubly Cabibbo-suppressed decays of the $D^0$, or to $b \to u$ transitions in the $\Lambda_b^0$ and $\Xi_b^0$ decays, and are expected to contribute a negligible amount of signal in the current data sample.

The selection of $b$-baryon candidates is performed in two stages: the preselection and the final selection. The preselection is performed to select events containing a beauty hadron candidate with an intermediate charm state. It requires that the tracks forming the candidate, as well as the beauty and charm vertices, have good quality and are well separated from any PV, and the invariant masses of the beauty and charm hadrons are in the region of the known values of the masses of the corresponding particles. The preselection has an efficiency of 95%–99% for the signal depending on the decay mode.

Two different sets of requirements are used for the final selection. The ratio $R_{\Lambda_b^0 \to D^0 p \pi^-}$ is measured by fitting the invariant mass distribution for candidates obtained with a loose selection to minimize the systematic uncertainty. The signal yields of these decays are large, and the uncertainty in the ratio is dominated by systematic effects. The ratios $R_{\Xi_b^0 \to D^0 p K^-}$ and $R_{\Lambda_b^0 \to \Lambda_b^0 K^-}$ are less affected by systematic uncertainties since the topologies of the decays are the same. A tight multivariate selection is used in addition to the loose selection requirements when measuring these ratios, as well as the ratios of the $\Xi_b^0$ decay rates.

The loose selection requires that the invariant masses of the intermediate $\Lambda_b^0$ and $D^0$ candidates are within 25 MeV/$c^2$ of their known masses [1], and the decay time significance of the $D^0$ meson from the $\Lambda_b^0 \to D^0 p \pi^-$ primary $pp$ interaction vertices (PVs). At least one track should have $p_T > 1.7$ GeV/$c$ and $\chi^2_{IP}$ with respect to any PV greater than 16, where $\chi^2_{IP}$ is defined as the difference in $\chi^2$ of a given PV reconstructed with and without the considered track. A multivariate algorithm [16] is used for the identification of secondary vertices consistent with the decay of a $b$ hadron.

In the simulation, $pp$ collisions are generated using PYTHIA 6.4 [17] with a specific LHCb configuration [18]. Decays of hadronic particles are described by EVTGEN [19]; the interaction of the generated particles with the detector and its response are implemented using the GEANT4 toolkit [20,21] as described in Ref. [22].
decay is greater than one standard deviation. The decay time significance is defined as the measured decay time divided by its uncertainty for a given candidate. The final-state particles are required to satisfy PID criteria based on information from the RICH detectors [13]. Pion candidates are required to have a value $\Delta L_{D0} < 5$ for the difference of logarithms of likelihoods between the kaon and pion hypotheses; the efficiency of this requirement is about 95%. The requirement for kaon candidates of $\Delta L_{Kp} > 0$ is about 97% efficient. The protons are required to satisfy $\Delta L_{pK} > 5$ and $\Delta L_{pK} > 0$. The corresponding efficiency is approximately 88%. The momentum of each final-state track is required to be less than 100 GeV/c, corresponding to the range of good separation between particle types.

For candidates passing the above selections, a kinematic fit is performed [23]. The fit employs constraints on the decay products of the $\Lambda_b^0$, $\Lambda_c^+, D^0$ particles to originate from their respective vertices, the $\Lambda_b^0$ candidate to originate from the PV, and the $\Lambda_c^+ K^-$ and $D^0$ invariant masses to be equal to their known values [1]. A momentum scale correction is applied in the kinematic fit to improve the mass measurement as described in Ref. [24]. The momentum scale of the detector has been calibrated using inclusive $J/\psi \rightarrow \mu^+ \mu^-$ decays to account for the relative momentum scale between different data taking periods, while the absolute calibration is performed with $B^+ \rightarrow J/\psi K^+$ decays.

The tight selection is based on a boosted decision tree (BDT) [25] trained with the gradient boost algorithm. The $D^0 p\pi^-$ selection is optimized using simulated $D^0 pK^-$ signal events and combinations with opposite-flavor $D^0$ candidates ($D^0 pK^-$) in data as a background estimate. The optimization of the $\Lambda_c^+ h^-$ selection is performed with a similar approach, with the $\Lambda_c^+ K^-$ candidates as the background training sample. The optimization criteria for the BDTs are the maximum expected statistical significances of the $\Lambda_b^0 \rightarrow D^0 pK^-$ and $\Lambda_b^0 \rightarrow \Lambda_c^+ K^-$ signals, $S_{stat} = N_{sig}/\sqrt{N_{sig} + N_{bck}}$, where $N_{sig}$ and $N_{bck}$ are the expected numbers of signal and background events. The expected number of events for the optimization is taken from the observed yields in the $\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^-$ and $\Lambda_b^0 \rightarrow D^0 p\pi^-$ modes scaled by the Cabibbo-suppression factor.

The variables that enter the BDT selection are the following: the quality of the kinematic fit ($\chi^2_{fit}/ndf$, where $ndf$ is the number of degrees of freedom in the fit); the minimum IP significance $\chi^2_{IP}$ of the final-state and intermediate charm particles with respect to any PV; the lifetime significances of the $\Lambda_b^0$ and intermediate charm particles; and the PID variables ($\Delta L_{pK}$ and $\Delta L_{p\pi}$) for the proton candidates. The $D^0 p\pi^-$ selection has a signal efficiency of 72% on candidates passing the loose selection while retaining 11% of the combinatorial background. The $\Lambda_c^+ h^-$ selection is 99.5% efficient and retains 65% of the combinatorial background.

In approximately 2% of events, more than one candidate passes the selection. In these cases, only the candidate with the minimum $\chi^2_{fit}/ndf$ is retained for further analysis.

Several vetoes are applied for both the loose and tight selections to reduce backgrounds. To veto candidates formed from $J/\psi \rightarrow \mu^+ \mu^-$ combined with two tracks, at least one of the pion candidates in $\Lambda_c^+ \pi^-$ and $D^0 p\pi^-$ combinations is required not to have hits in the muon chambers. For $D^0 p\pi^-$ combinations, a $\Lambda_c^+ \rightarrow p\pi^+ h^-$ veto is applied: the invariant mass of the $p\pi^+ h^-$ combination is required to differ from the nominal $\Lambda_c^+$ mass by more than 20 MeV/c$^2$. This requirement rejects the background from $\Lambda_b^0 \rightarrow \Lambda_c^+ K^-$ decays. Cross-feed between $\Lambda_b^0 \rightarrow D^0 p\pi^-$ and $\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^-$ decays does not occur since the invariant mass of the $D^0 p$ combination in $\Lambda_b^0 \rightarrow D^0 p\pi^-$ decays is greater than the $\Lambda_c^+$ invariant mass.

### IV. Determination of Signal Yields

The signal yields are obtained from extended maximum likelihood fits to the unbinned invariant mass distributions. The fit model includes signal components ($\Lambda_b^0$ only for $\Lambda_c^+ \pi^-$ and $D^0 p\pi^-$ final states and both $\Lambda_b^0$ and $\Xi_c^0$ for $D^0 p\pi^-$ and $\Lambda_b^0 \rightarrow \Lambda_c^+ K^-$ final states) as well as various background contributions. The ratio $R_{\Lambda_b^0 \rightarrow D^0 p\pi^-}$ is obtained from the combined fit of the $\Lambda_c^+ \pi^-$ and $D^0 p\pi^-$ invariant mass distributions of candidates that pass the loose selection, while the other quantities are determined from the simultaneous fit of the $\Lambda_c^+ h^-$, $D^0 p\pi^-$, and $D^0 p\pi^- (h = \pi$ or $K)$ invariant mass distributions passing the tight BDT-based selection requirements.

The shape of each signal contribution is taken from simulation and is parametrized using the sum of two Crystal Ball (CB) functions [26]. In the fit to data, the widths of each signal component are multiplied by a common scaling factor that is left free. This accounts for the difference between the invariant mass resolution observed in data and simulation. The masses of the $\Lambda_b^0$ and $\Xi_c^0$ states are also free parameters. Their mean values as reconstructed in the $D^0 p\pi^-$ and $\Lambda_c^+ h^-$ spectra are allowed to differ by an amount $\Delta M$ (which is the same for $\Lambda_b^0$ and $\Xi_c^0$ masses) to account for possible imperfect calibration of the momentum scale in the detector. The mass difference $\Delta M$ obtained from the fit is consistent with zero.

The background components considered in the analysis are subdivided into three classes: random combinations of tracks, or genuine $D^0$ or $\Lambda_c^+$ decays combined with random tracks (combinatorial background); decays where one or more particles are incorrectly identified (misidentification background); and decays where one or more particles are not reconstructed (partially reconstructed background).

The combinatorial background is parametrized with a quadratic function. The shapes are constrained to be the
same for the $D^0\phi^-\pi^-$ signal and $D^{0}\bar{p}h^-$ background combinations. The $D^0\pi^-\pi^-$ fit model includes only the combinatorial background component, while in the $\bar{B}^0\to \bar{D}^0pK^-$ model, the $\Lambda_b^0\to \bar{D}^0pK^-$ signal and partially reconstructed background are included with varying yields to avoid biasing the combinatorial background shape. The two contributions are found to be consistent with zero, as expected.

Contributions of charmed $B$ decays with misidentified particles are studied using simulated samples. The $\bar{B}^0\to D^{0}\pi^+\pi^-$ and $\bar{B}^0\to D^{+}\pi^-h^-$ decay modes are considered as $\Lambda^+_c\pi^-h^-$ backgrounds, while $B^0\to D^0\pi^+\pi^-$, $B^0\to D^0K^+K^-$ [27], and $\bar{B}^0\to D^{0}K^+\pi^-$ [28] are possible backgrounds in the $D^0\phi^-$ spectra. These contributions to $D^0\phi^-$ modes are found to be negligible and thus are not included in the fit model, while the $B^0(s) \to D^0(s)\pi^-$ component is significant and is included in the fit. The ratio between $\bar{B}^0\to D^{+}\pi^-$ and $\bar{B}^0\to D^{0}\pi^-$ contributions is fixed from the measured ratio of their event yields [29].

Contributions to $D^0pK^-$ and $\Lambda^+_cK^-$ spectra from the $\Lambda_b^0\to D^0p\pi^-$ and $\Lambda_b^0\to \Lambda^+_c\pi^-$ modes, respectively, with the pion misidentified as a kaon ($K/\pi$ misidentification backgrounds) are obtained by parametrizing the simulated samples with a CB function. In the case of the $\Lambda_b^0\to D^0p\pi^-$ background, the squared invariant mass of the $D^0p$ combination, $M^2(D^0p)$, is required to be smaller than 10 GeV$^2$/c$^4$. This accounts for the dominance of events with low $D^0p$ invariant masses observed in data. In the case of the $\Lambda^+_c\pi^-$ spectrum, the $\Lambda_b^0\to \Lambda^+_cK^-$ contribution with the kaon misidentified as a pion is also included. In all cases, the nominal selection requirements, including those for PID, are applied to the simulated samples.

Partially reconstructed backgrounds, such as $\Lambda_b^0\to D^{0}p\pi^-$, $D^{0}\to D^{0}\pi^0/\gamma$ decays, or $\Lambda_b^0\to \Sigma^+_c\pi^-$, $\Sigma^+_c\to \Lambda^+_c\pi^0$ decays, contribute at low invariant mass. Simulation is used to check that these backgrounds are well separated from the signal region. However, their mass distribution is expected to depend strongly on the unknown helicity structure of these decays. Therefore, an empirical probability density function (PDF), a bifurcated Gaussian distribution with free parameters, is used to parametrize them. The shapes of the backgrounds are constrained to be the same for the $D^0pK^-$ and $D^0p\pi^-\pi^-$ decay modes as well as for the $\Lambda_b^0K^-$ and $\Lambda_b^0\pi^-\pi^-$ decay modes.

Backgrounds from partially reconstructed $\Lambda_b^0\to D^{0}p\pi^-$ and $\Lambda_b^0\to \Sigma^+_c\pi^-$ decays with the pion misidentified as a kaon contribute to the $D^0pK^-$ and $\Lambda_b^0\pi^-\pi^-$ mass spectra, respectively. These backgrounds are parametrized with CB functions fitted to samples simulated assuming that the amplitude is constant across the phase space. Their yields are constrained from the yields of partially reconstructed components in the $D^0p\pi^-$ and $\Lambda_b^0\pi^-\pi^-$ signals taking into account the $K/\pi$ misidentification probability.

Charmless $\Lambda_b^0\to pK^+\pi^-h^-$ backgrounds, which have the same final state as the signal modes but no intermediate charm vertex, are studied with the $\Lambda_b^0$ invariant mass fit to data from the sidebands of the $D^0p\to K^-\pi^+\pi^-$ invariant mass distribution: $50 < |M(K^-\pi^+) - m_{pf}| < 100$ MeV/$c^2$. Similar sidebands are used in the $\Lambda^+_c\to pK^+\pi^+$ invariant mass. A significant contribution is observed in the $D^0p\pi^-$ mode. Hence, for the $D^0\phi^-$ combinations, the $D^0\phi^-$ vertex is required to be downstream of the $\Lambda_b^0$ vertex, and the $D^0$ decay time must differ from zero by more than one standard deviation. The remaining contribution is estimated from the $\Lambda_b^0$ invariant mass fit in the sidebands. The $\Lambda_b^0\to D^{0}p\pi^-$ yield obtained from the fit is corrected for a small residual charmless contribution, while in other modes the contribution of this background is consistent with zero.

The $\Lambda_b^0\pi^+$ and $D^0\pi^-\pi^-$ invariant mass distributions obtained with the loose selection are shown in Fig. 1 with the fit result overlaid. The $\Lambda_b^0$ yields obtained from the fit to these spectra are presented in Table 1. Figures 2 and 3 show

![FIG. 1 (color online). Distributions of invariant mass for (a) $\Lambda_b^0\pi^+$ and (b) $D^0\pi^-\pi^-$ candidates passing the loose selection (points with error bars) and results of the fit (solid line). The signal and background contributions are shown.](image-url)
TABLE I. Results of the fit to the invariant mass distribution of $\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^-$ and $\Lambda_b^0 \rightarrow D^0 p\pi^-$ candidates passing the loose selection. The uncertainties are statistical only.

<table>
<thead>
<tr>
<th>Decay mode</th>
<th>Yield</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Lambda_b^0 \rightarrow D^0 p\pi^-$</td>
<td>$3383 \pm 94$</td>
</tr>
<tr>
<td>$\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^-$</td>
<td>$50,301 \pm 253$</td>
</tr>
</tbody>
</table>

the invariant mass distributions for the $D^0 p\pi^-$ and $\Lambda_c^+ h^-$ modes after the tight BDT-based selection. The $\Lambda_b^0$ and $\Xi_b^0$ yields, as well as their masses, obtained from the fit are given in Table II. The raw masses obtained in the fit are used to calculate the difference of $\Xi_b^0$ and $\Lambda_b^0$ masses, $m_{\Xi_b^0} - m_{\Lambda_b^0} = 174.8 \pm 2.3$ MeV/c$^2$, which is less affected by the systematic uncertainty due to knowledge of the absolute mass scale.

Figures 4 and 5 show the Dalitz plot of the three-body decay $\Lambda_b^0 \rightarrow D^0 p\pi^-$ and the projections of the two invariant masses, where resonant contributions are expected. In the projections, the background is subtracted using the sPlot technique [30]. The distributions show an increased density of events in the low $M(D^0 p)$ region, where a contribution from excited $\Lambda_c^+$ states is expected. The $\Lambda_c^+(2880)^+$ state is apparent in this projection. Structures in the $p\pi^-$ combinations are also visible. The Dalitz plot and projections of $D^0 p$ and $pK^-$ invariant masses for the $\Lambda_b^0 \rightarrow D^0 pK^-$ mode are shown in Fig. 6. The distributions for the $\Lambda_b^0 \rightarrow D^0 pK^-$ mode exhibit similar behavior with the dominance of a low $M(D^0 p)$ contribution and an enhancement in the low $M(pK^-)$ region.

V. CALCULATION OF BRANCHING FRACTIONS

The ratios of branching fractions are calculated from the ratios of yields of the corresponding decays after applying several correction factors,

$$R = \frac{N^i_{\text{sel}} \epsilon^i_{\text{PID}} \epsilon^i_{\text{PS}}}{N^j_{\text{sel}} \epsilon^j_{\text{PID}} \epsilon^j_{\text{PS}}}$$  \hspace{1cm} (6)$$

where $N^i$ is the yield for the $i$th decay mode, $\epsilon^i_{\text{sel}}$ is its selection efficiency excluding the PID efficiency, $\epsilon^i_{\text{PID}}$ is the efficiency of the PID requirements, and $\epsilon^j_{\text{PS}}$ is the phase-space acceptance correction defined below.

The trigger, preselection, and final selection efficiencies that enter $\epsilon_{\text{sel}}$ are obtained using simulated signal samples. The selection efficiency is calculated without the PID requirements applied, except for the proton PID in the tight selection, which enters the multivariate discriminant. Since the multiplicities of all the final states are the same, and the kinematic distributions of the decay products are similar, the uncertainties in the efficiencies largely cancel in the quoted ratios of branching fractions.

The efficiencies of PID requirements for kaons and pions are obtained with a data-driven procedure using a large sample of $D^{+} \rightarrow D^{0} \pi^{+}$, $D^{0} \rightarrow K^{−} \pi^{+}$ decays. The calibration sample is weighted to reproduce the kinematic properties of the decays under study taken from simulation.

For protons, however, the available calibration sample $\Lambda \rightarrow p\pi$ does not cover the full range in momentum-pseudorapidity space that the protons from the signal decays populate. Thus, in the case of the calculation of the ratio of $\Lambda^0_b \rightarrow \Lambda_c^+ \pi^-$ and $\Lambda^0_b \rightarrow D^0 p\pi^-$ branching fractions, the ratio of proton efficiencies is taken from simulation. For the calculation of the ratios $B(\Lambda^0_b \rightarrow D^0 pK^-)/B(\Lambda^0_b \rightarrow D^0 p\pi^-)$ and $B(\Lambda^0_b \rightarrow \Lambda_c^+ K^-)/B(\Lambda^0_b \rightarrow \Lambda_c^+ \pi^-$), where the kinematic properties of the proton track for the decays in the numerator and denominator are similar, the efficiencies are taken to be equal.

The simulated samples used to obtain the selection efficiency are generated with phase-space models for the three-body $\Lambda^0_b \rightarrow D^0 p\pi^-$ and $\Lambda_c^+ \rightarrow pK^- \pi^+$ decays. The
the three-body decay kinematic properties. In the case of \( \Lambda^0_b \rightarrow D^0 p \pi^- \) decays, the relative selection efficiency as a function of \( D^0 p \) and \( p \pi^- \) squared invariant masses \( e[M^2(D^0 p), M^2(p\pi^-)] \) is determined from the phase-space simulated sample and parametrized with a polynomial function of fourth order. The function \( e[M^2(D^0 p), M^2(p\pi^-)] \) is normalized such that its integral is unity over the kinematically allowed phase space. The efficiency correction factor \( \epsilon_{PS} \) is calculated as

\[
\epsilon_{PS} = \frac{\sum_i w_i}{\sum_i w_i / e[M_i^2(D^0 p), M_i^2(p\pi^-)]},
\]

where \( M_i^2(D^0 p) \) and \( M_i^2(p\pi^-) \) are the squared invariant masses of the \( D^0 p \) and \( p\pi^- \) combinations for the \( i \)th event in data and \( w_i \) is its signal weight obtained from the \( M(D^0 p\pi^-) \) invariant mass fit. The correction factor for the \( \Lambda^+ \rightarrow pK^- \pi^+ \) decay is calculated similarly.

Since the three-body decays \( \Lambda^+ \rightarrow pK^- \pi^+ \) and \( \Lambda^0_b \rightarrow D^0 p\pi^- \) involve particles with nonzero spin in the initial and final states, the kinematic properties of these decays are
described by angular variables in addition to the two Dalitz plot variables. The variation of the selection efficiency with the angles can thus affect the measurement. We use three independent variables to parametrize the angular phase space, similar to those used in Ref. [31] for the analysis of the $\Lambda^+_c \rightarrow pK^-\pi^+$ decay. The variables are defined in the rest frame of the decaying $\Lambda_0^b$ or $\Lambda_c^+$ baryons, with the $x$ axis given by their direction in the laboratory frame, the polarization axis $z$ given by the cross product of the beam and $x$ axes, and the $y$ axis given by the cross product of the $z$ and $x$ axes. The three variables are the cosine of the polar angle $\theta_p$ of the proton momentum in this reference frame, the azimuthal angle $\phi_p$ of the proton momentum in the reference frame, and the angle between the $D^0p\pi^-$ plane (for $\Lambda^0_b \rightarrow D^0p\pi^-$) or $K^-\pi^+$ plane (for $\Lambda_c^+ \rightarrow pK^-\pi^+$) and the plane formed by the proton and polarization axis.

FIG. 4. Dalitz plot of $\Lambda^0_b \rightarrow D^0p\pi^-$ candidates in (a) the full phase space region and magnified regions of (b) low $M^2(D^0p)$ and (c) low $M^2(p\pi^-)$.
The angular acceptance corrections are calculated from background-subtracted angular distributions obtained from the data. The distributions are similar to those obtained from the simulation of unpolarized $\Lambda_b^0$ decays, supporting the observation of small $\Lambda_b^0$ polarization in $pp$ collisions [32]. The angular corrections are found to be negligible and are not used in the calculation of the ratios of branching fractions.

The values of the efficiency correction factors are given in Table III. The values of the branching fraction ratios defined in Eqs. (2–5), obtained after corrections as described above, and their statistical uncertainties are given in Table IV.

VI. SYSTEMATIC UNCERTAINTIES

The systematic uncertainties in the measurements of the ratios of branching fractions are listed in Table IV.

The uncertainties due to the description of signal and background contributions in the invariant mass fit model are estimated as follows:

(i) The uncertainty due to the parametrization of the signal distributions is obtained by using an alternative description based on a double-Gaussian shape, or a triple-Gaussian shape in the case of $\Lambda_b^0 \to \Lambda_c^- \pi^-$. 

(ii) To determine the uncertainty due to the combinatorial background parametrization, an alternative model with an exponential distribution is used instead of the quadratic polynomial function.

(iii) The uncertainty in the parametrization of the backgrounds from $B$ meson decays with misidentified particles in the final state is estimated by removing the $B_s^0 \to D^0 \pi^+$ contribution. The uncertainty due to the parametrization of the $K/\pi$ misidentification background is estimated by using the shapes obtained without the PID requirements and without rejecting the events with the $D^0 \rho$ invariant mass squared greater than 10 GeV$^2$/c$^4$ in the fit to the simulated sample.

(iv) The uncertainty due to the partially reconstructed background is estimated by fitting the invariant mass distributions in the reduced range of 5500–5900 MeV/c$^2$ and by excluding the contributions of partially reconstructed backgrounds with $K/\pi$ misidentification from the fit for $D^0 p K^-$ and $\Lambda_b^0 K^-$ combinations.

(v) The uncertainty due to the charmless background component $\Lambda_b^0 \to p K^- \pi^+ h^-$ is estimated from the fit of the $D^0 p h^- (\Lambda_c^- h^-)$ invariant mass distributions in the sidebands of the $D^0 (\Lambda_c^-)$ candidate invariant mass.

A potential source of background that is not included in the fit comes from $\Xi_b^0$ baryon decays into $D^0 p K^-$ or similar final states, which differ from the reconstructed $D^0 p K^-$ state by missing low-momentum particles. Such decays can contribute under the $\Lambda_b^0 \to D^0 p K^-$ signal peak. The possible contribution of these decays is estimated assuming that $B(\Xi_b^0 \to D^0 p K^-)/B(\Xi_b^0 \to D^0 p K^-)$ is equal to $B(\Lambda_b^0 \to D^0 p K^-)/B(\Lambda_b^0 \to D^0 p K^-)$ and that the selection efficiencies for $\Xi_b^0$ and $\Lambda_b^0$ decays are the same. The one-sided systematic uncertainty due to this effect is added to the background model uncertainty for the $\Lambda_b^0 \to D^0 p K^-$ decay mode.

<table>
<thead>
<tr>
<th>TABLE III. Efficiency correction factors used to calculate the ratios of branching fractions.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Correction factor</td>
</tr>
<tr>
<td>$\epsilon^{sel}<em>{FSD}/\epsilon^{sel}</em>{PID}$</td>
</tr>
<tr>
<td>$\epsilon^{PID}/\epsilon^{PID}$</td>
</tr>
<tr>
<td>$\epsilon^{PS}/\epsilon^{PS}$</td>
</tr>
</tbody>
</table>
The trigger efficiency uncertainty is dominated by the difference of the transverse energy threshold of the hardware-stage trigger observed between simulation and data. It is estimated by varying the transverse energy threshold in the simulation by 15%. In the case of measuring the ratios of branching fractions using loose selection and for the ratios $R_{\Lambda^0_b \to D^0 p K^-}$ and $R_{\Lambda^0_c \to \Lambda^0 K^-}$, one also has to take into account the difference of hadronic interaction cross section for kaons and pions before the calorimeter. This difference is studied using a sample of $B^+ \to D^0 \pi^+$, $D^0 \to K^+ \pi^-$ decays that pass the trigger decision independent of the final-state particles of these decays. The difference was found to be 4.5% for $D^0 p h^-$ and 2.5% for $\Lambda^+_c h^-$. Since only about 13% of events are triggered exclusively by the $h^-$ particle, the resulting uncertainty is low.

The uncertainty due to track reconstruction efficiency cancels to a good approximation for the quoted ratios since the track multiplicities of the decays are the same. However, for the ratios $R_{\Lambda^0_b \to D^0 p K^-}$ and $R_{\Lambda^0_c \to \Lambda^0 K^-}$, the difference in the hadronic interaction rate for kaons and pions in the tracker can bias the measurement. A systematic uncertainty is assigned taking into account the rate of hadronic interactions in the simulation and the uncertainty on the knowledge of the amount of material in the LHCb tracker.

The uncertainty in the selection efficiency obtained from simulation is evaluated by scaling the variables that enter the offline selection. The scaling factor is chosen from the comparison of the distributions of these variables in simulation and in a background-subtracted $\Lambda^0_b \to \Lambda^+_c \pi^-$ sample. In addition, the uncertainty due to the finite size of the simulation samples is assigned.

The uncertainty of the phase-space efficiency correction includes four effects. The statistical uncertainty on the correction factor is determined by the data sample size and variations of the efficiency over the phase space. The uncertainty in the parametrization of the efficiency shape is estimated by using an alternative parametrization with a third-order rather than a fourth-order polynomial. The correlation of the efficiency shape and invariant mass of $\Lambda^0_b (\Xi^0_b)$ candidates is estimated by calculating the efficiency shape in three bins of $\Lambda^0_b (\Xi^0_b)$ mass separately and using one of the three shapes depending on the invariant mass of the candidate. The uncertainty due to the difference of the $N_0 (\Xi^0_0)$ kinematic properties between simulation and data is estimated by using the efficiency shape obtained after weighting the simulated sample using the momentum distribution of $\Lambda^0_b (\Xi^0_b)$ from background-subtracted $\Lambda^0_b \to \Lambda^+_c \pi^-$ data.

Corrections due to the angular acceptance in the calculation of ratios of branching fractions are consistent with zero. The central values quoted do not include these corrections, while the systematic uncertainty is evaluated by taking the maximum of the statistical uncertainty for the correction, determined by the size of the data sample, and the deviation of its central value from unity.

The uncertainty in the PID response is calculated differently for the ratio of $\Lambda^0_b \to D^0 p \pi^-$ and $\Lambda^0_b \to \Lambda^+_c \pi^-$ branching fractions using loose selection and for the measurements using tight BDT-based selections. For the ratio $R_{\Lambda^0_b \to D^0 p \pi^-}$, the uncertainty due to the pion and kaon PID requirements is estimated by scaling the PID variables within the limits given by the comparison of distributions from the reweighted calibration sample and the background-subtracted $\Lambda^0_b \to \Lambda^+_c \pi^-$ data. The dominant contribution to the PID uncertainty comes from the uncertainty in the proton PID efficiency ratio, which is caused by the difference in kinematic properties of the proton from $\Lambda^0_b \to D^0 p \pi^-$ and $\Lambda^0_b \to \Lambda^+_c \pi^-$ decays. The proton efficiency ratio in this case is taken from simulation, and the systematic uncertainty is estimated by taking this ratio to be equal to 1. In the case of measuring the ratios $R_{\Lambda^0_b \to D^0 p K^-}$,
and $R_{Λ_b^0}^{1.42}\,Λ_c^+ K^-$, the uncertainty due to the proton PID and the tracks coming from the $D^0$ or $Λ_c^+$ candidates is negligible due to similar kinematic distributions of the decays in the numerator and denominator. The dominant contribution comes from the PID efficiency ratio for the kaon or pion track from the $Λ_b^0$ vertex; this is estimated by scaling the PID distribution as described above. In addition, there are contributions due to the finite size of the PID calibration sample and the uncertainty due to assumption that the PID efficiency for the individual tracks factorizes in the total efficiency. The latter is estimated with simulated samples.

Since the results for the $Λ_b^0$ decay modes are all ratios to other $Λ_b^0$ decays, there is no systematic bias introduced by the dependence of the efficiency on the $Λ_b^0$ lifetime and the fact that the value used in the simulation (1.38 ps) differs from the latest measurement [33]. We also do not assign any systematic uncertainty due to the lack of knowledge of the $Ξ_b^0$ lifetime, which is as yet unmeasured (a value of 1.42 ps is used in the simulation).

The dominant systematic uncertainties in the measurement of the $Ξ_b^0$ and $Λ_b^0$ mass difference (see Table V) come from the uncertainties of the signal and background models and are estimated from the same variations of these models as in the calculation of branching fractions. The uncertainty due to the momentum scale calibration partially cancels in the quoted difference of $Ξ_b^0$ and $Λ_b^0$ masses; the residual contribution is estimated by varying the momentum scale factor within its uncertainty of 0.3% [24].

### VII. SIGNAL SIGNIFICANCE AND FIT VALIDATION

The statistical significance of the $Λ_b^0 \to D^0 p K^−$, $Ξ_b^0 \to D^0 p K^−$, and $Ξ_b^0 \to Λ_c^+ K^−$ signals, expressed in terms of equivalent number of standard deviations ($σ$), is evaluated from the maximum likelihood fit as

$$ S_{\text{stat}} = \sqrt{-2Δ \ln \mathcal{L}}, $$

where $Δ \ln \mathcal{L}$ is the difference in logarithms of the likelihoods for the fits with and without the corresponding signal contribution. The fit yields the statistical significance of the $Λ_b^0 \to D^0 p K^−$, $Ξ_b^0 \to D^0 p K^−$, and $Ξ_b^0 \to Λ_c^+ K^−$ signals of 10.8σ, 6.7σ, and 4.7σ, respectively.

The validity of this evaluation is checked with the following procedure. To evaluate the significance of each signal, a large number of invariant mass distributions is generated using the result of the fit on data as input, excluding the signal contribution under consideration. Each distribution is then fitted with models that include background only, as well as background and signal. The significance is obtained as the fraction of samples where the difference $Δ \ln \mathcal{L}$ for the fits with and without the signal is larger than in data. The significance evaluated from the likelihood fit according to Eq. (8) is consistent with, or slightly smaller than, that estimated from the simulated experiments. Thus, the significance calculated as in Eq. (8) is taken.

The significance accounting for the systematic uncertainties is evaluated as

$$ S_{\text{stat} + \text{syst}} = S_{\text{stat}} / \sqrt{1 + \sigma^2_{\text{syst}} / \sigma^2_{\text{stat}}}, $$

where $σ_{\text{stat}}$ is the statistical uncertainty of the signal yield and $σ_{\text{syst}}$ is the corresponding systematic uncertainty, which only includes the relevant uncertainties due to the signal and background models. As a result, the significance for the $Λ_b^0 \to D^0 p K^−$, $Ξ_b^0 \to D^0 p K^−$, and $Ξ_b^0 \to Λ_c^+ K^−$ signals is calculated to be 9.0σ, 5.9σ, and 3.3σ, respectively.

The fitting procedure is tested with simulated experiments where the invariant mass distributions are generated from the PDFs that are a result of the data fit and then fitted with the same procedure as applied to data. No significant biases are introduced by the fit procedure in the fitted parameters. However, we find that the statistical uncertainty on the $Ξ_b^0$ mass is underestimated by 3% in the fit, and the uncertainty on the $Ξ_b^0 \to D^0 p K^−$ yield is underestimated by 5%. We apply the corresponding scale factors to the $Ξ_b^0 \to D^0 p K^−$ yield and $Ξ_b^0$ mass uncertainties to obtain the final results.

### VIII. CONCLUSION

We report studies of beauty baryon decays to the $D^0 p h^−$ and $Λ_c^+ h^−$ final states, using a data sample corresponding to an integrated luminosity of 1.0 fb$^{−1}$ collected with the LHCb detector. First observations of the $Λ_b^0 \to D^0 p K^−$ and $Ξ_b^0 \to D^0 p K^−$ decays are reported, with significances of 9.0 and 5.9 standard deviations, respectively. The decay $Λ_b^0 \to Λ_c^+ K^−$ is observed for the first time; the significance of this observation is greater than 10 standard deviations. The first evidence for the $Ξ_b^0 \to Λ_c^+ K^−$ decay is also obtained with a significance of 3.3 standard deviations.

The combinations of branching and fragmentation fractions for beauty baryons decaying into $D^0 p h^−$ and $Λ_c^+ h^−$ final states are measured to be

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**TABLE V.** Systematic uncertainties in the measurement of the mass difference $m_{Ξ_b^0} - m_{Λ_b^0}$.

<table>
<thead>
<tr>
<th>Source</th>
<th>Uncertainty (MeV/c$^2$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Signal model</td>
<td>0.19</td>
</tr>
<tr>
<td>Background model</td>
<td>0.50</td>
</tr>
<tr>
<td>Momentum scale calibration</td>
<td>0.03</td>
</tr>
<tr>
<td>Total</td>
<td>0.54</td>
</tr>
</tbody>
</table>

---
where the first uncertainty is statistical and the second systematic. The ratios of the Cabibbo-suppressed to Cabibbo-favored branching fractions for both the $D^0 p$ and the $\Lambda^+_b$ modes are consistent with the those observed for the $B \to D h$ modes [1]. In addition, the difference of $\Xi^0_b$ and $\Lambda^0_b$ baryon masses is measured to be

\[ m_{\Xi^0_b} - m_{\Lambda^0_b} = 174.8 \pm 2.4 \pm 0.5 \text{ MeV}/c^2. \]

Using the latest LHCb measurement of the $\Lambda^0_b$ mass $m_{\Lambda^0_b} = 5619.53 \pm 0.13 \pm 0.45 \text{ MeV}/c^2$ [24], the $\Xi^0_b$ mass is determined to be $m_{\Xi^0_b} = 5794.3 \pm 2.4 \pm 0.7 \text{ MeV}/c^2$, in agreement with the measurement performed by CDF [3] and twice as precise.

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School of Physics, University College Dublin, Dublin, Ireland
Sezione INFN di Bari, Bari, Italy
Sezione INFN di Bologna, Bologna, Italy
Sezione INFN di Cagliari, Cagliari, Italy
Sezione INFN di Ferrara, Ferrara, Italy
Sezione INFN di Firenze, Firenze, Italy
Laboratori Nazionali dell’INFN di Frascati, Frascati, Italy
Sezione INFN di Genova, Genova, Italy
Sezione INFN di Milano Bicocca, Milano, Italy
Sezione INFN di Padova, Padova, Italy
Sezione INFN di Pisa, Pisa, Italy
Sezione INFN di Roma Tor Vergata, Roma, Italy
Sezione INFN di Roma La Sapienza, Roma, Italy
Henryk Niewodniczanski Institute of Nuclear Physics Polish Academy of Sciences, Kraków, Poland
AGH - University of Science and Technology, Faculty of Physics and Applied Computer Science, Kraków, Poland
National Center for Nuclear Research (NCBJ), Warsaw, Poland
Horia Hulubei National Institute of Physics and Nuclear Engineering, Bucharest-Magurele, Romania
Petersburg Nuclear Physics Institute (PNPI), Gatchina, Russia
Institute of Theoretical and Experimental Physics (ITEP), Moscow, Russia
Institute of Nuclear Physics, Moscow State University (SINP MSU), Moscow, Russia
Institute for Nuclear Research of the Russian Academy of Sciences (INR RAN), Moscow, Russia
Budker Institute of Nuclear Physics (SB RAS) and Novosibirsk State University, Novosibirsk, Russia
Institute for High Energy Physics (IHEP), Protvino, Russia
Universitat de Barcelona, Barcelona, Spain
European Organization for Nuclear Research (CERN), Geneva, Switzerland
Ecole Polytechnique Fédérale de Lausanne (EPFL), Lausanne, Switzerland
Physik-Institut, Universität Zürich, Zürich, Switzerland
Nikhef National Institute for Subatomic Physics, Amsterdam, Netherlands
Nikhef National Institute for Subatomic Physics and VU University Amsterdam, Amsterdam, Netherlands
NSC Kharkiv Institute of Physics and Technology (NSC KIPT), Kharkiv, Ukraine
Institute for Nuclear Research of the National Academy of Sciences (KINR), Kyiv, Ukraine
University of Birmingham, Birmingham, United Kingdom
H.H. Wills Physics Laboratory, University of Bristol, Bristol, United Kingdom
Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom
Department of Physics, University of Warwick, Coventry, United Kingdom
STFC Rutherford Appleton Laboratory, Didcot, United Kingdom
School of Physics and Astronomy, University of Edinburgh, Edinburgh, United Kingdom
School of Physics and Astronomy, University of Glasgow, Glasgow, United Kingdom
Oliver Lodge Laboratory, University of Liverpool, Liverpool, United Kingdom
Imperial College London, London, United Kingdom
School of Physics and Astronomy, University of Manchester, Manchester, United Kingdom
Department of Physics, University of Oxford, Oxford, United Kingdom
Massachusetts Institute of Technology, Cambridge, Massachusetts, United States
University of Cincinnati, Cincinnati, Ohio, United States
University of Maryland, College Park, Maryland, United States
Syracuse University, Syracuse, New York, United States
Pontifícia Universidade Católica do Rio de Janeiro (PUC-Rio), Rio de Janeiro, Brazil, associated with Universidade Federal do Rio de Janeiro (UFRJ), Rio de Janeiro, Brazil
Institut für Physik, Universität Rostock, Rostock, Germany, associated with Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany
National Research Centre Kurchatov Institute, Moscow, Russia, associated with Institute of Theoretical and Experimental Physics (ITEP), Moscow, Russia
KVI - University of Groningen, Groningen, The Netherlands, associated with Nikhef National Institute for Subatomic Physics, Amsterdam, Netherlands
Celal Bayar University, Manisa, Turkey, associated with European Organization for Nuclear Research (CERN), Geneva, Switzerland