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Odd and even Kondo effects from emergent localization in quantum point contacts

M. J. Iqbal1, Roi Levy2, E. J. Koop3, J. B. Dekker1, J. P. de Jong4, J. H. M. van der Velde1, D. Reuter3, A. D. Wieck3, Ramón Aguado4, Yigal Meir2,5 & C. H. van der Wal1

A quantum point contact (QPC) is a basic nanometre-scale electronic device: a short and narrow transport channel between two electron reservoirs. In clean channels, electron transport is ballistic and the conductance is then quantized as a function of channel width with plateaux at integer multiples of $2e^2/h$ (where $e$ is the electron charge and $h$ is Planck’s constant). This can be understood in a picture where the electron states are propagating waves, without the need to account for electron–electron interactions. Quantized conductance could thus be the signature of ultimate control over nanoscale electron transport. However, even studies with the cleanest QPCs generically show significant anomalies in the quantized conductance traces, and there is consensus that these result from electron many-body effects. Despite extensive experimental and theoretical studies, understanding these anomalies is an open problem. Here we report that the many-body effects have their origin in one or more spontaneously localized states that emerge from Friedel oscillations in the electron charge density within the QPC channel. These localized states will have electron spins associated with them, and the Kondo effect—related to electron transport through such localized electron spins—contributes to the formation of the many-body state. We present evidence for such localization, with Kondo effects of odd or even character, directly reflecting the parity of the number of localized states; the evidence is obtained from experiments with length-tunable QPCs that show a periodic modulation of the many-body properties with Kondo signatures that alternate between odd and even Kondo effects. Our results are of importance for assessing the role of QPCs in more complex hybrid devices. We report here the observation of such double-peak ZBAs in a large variety of QPCs, including systems in GaAs (refs 3, 9), Si (ref. 16) and graphene, and that are found for transport of both electrons and holes. First, the quantized conductance traces often show the so-called 0.7 anomaly: an additional small plateau at about $0.7(2e^2/h)$, Second, as a function of bias voltage across the channel, conductance $G$ typically shows a peak around zero bias (named the zero-bias anomaly, ZBA), mostly below the first quantized plateau. The experimental observation that the ZBA and the 0.7 anomaly had similarities with the Kondo effect for quantum dots (transport through a single localized electron state) inspired theoretical work that proposed that electron many-body physics could lead to localized electrons in the centre of the QPC. This is a remarkable phenomenon, because a QPC is a fully open quantum system. To avoid confusion with localization by an atomic impurity or disordered potential, these many-body states are termed self-consistent or emergent localized states (ELSs). This theoretical work developed the picture that the many-body effects in QPC channels are intimately related to the occurrence of a Friedel oscillation—an oscillation in the electron charge density that occurs when electron waves get reflected in a partially open QPC channel—which gets enhanced into an ELS with the charge of about one electron owing to Coulomb repulsion and exchange interactions between electrons. This reduces the conductance and can explain the 0.7 anomaly. However, transport through such a state can be enhanced by the Kondo effect at temperatures below a typical Kondo temperature, $T_K$. This appears as a ZBA and also moves the 0.7 plateau towards unity (in units of $2e^2/h$), consistent with experiments. This theoretical work also predicted that, depending on parameters, a pair of such ELSs may emerge in the channel, resulting in a double-peak ZBA (as observed in double quantum dots owing to the two-impurity Kondo effect). So far no such double-peak ZBAs have been reported for QPCs (Supplementary Information section 3).

We report here the observation of such double-peak ZBAs in a large variety of conventional QPCs (with two gate fingers as in Fig. 1a, denoted QPC2F). We also introduce a new type of QPC which has a tunable channel length (with six gate fingers as in Fig. 2a, denoted QPC6F). In these devices, the 0.7 anomaly and the ZBA show a periodic modulation as a function of QPC length, which we attribute to an increasing number of ELSs. Thus, as the number of ELSs increases with QPC length, its parity alternates, giving rise to modulation between Kondo effects for an odd or even number of localized states (known as odd- and even-parity Kondo effects), and, as a result, between single- and double-peak ZBAs. In addition, the 0.7 anomaly shows a periodic modulation because the enhancement of the 0.7 feature towards unit conductance depends on both the parity and the parameters of the Kondo system such as $T_K$, and these are both modulated as a function of QPC length. The signatures of a pair of ELSs are more likely to be observed on shorter QPCs. We thus focused on QPC6F of lithographic length $L = 200$ nm (and width $W = 350$ nm), which is shorter than most QPCs that are reported in the literature. We searched for double-peak ZBAs in a set of 80 QPC2F (realized in two different wafer materials, different fabrication runs, different cool-downs, and with or without gate-biased cool-down; see Methods) and found them in about half the studied devices. The ubiquity of the phenomenon, and the fact that such double-peak ZBAs were consistently observed in the same devices over different cool-downs, implies that it is a generic effect and not due to a fortuitous impurity nearby. Figure 1 presents data from two QPC2F to illustrate that the signatures of many-body physics show qualitatively similar features, though with significant device-to-device variation (whereas there is no strong variation in the manifestation of non-interacting electron physics, such as the quantized conductance). Figure 1b, c presents measurements of the linear conductance (Methods). In addition to the quantized conductance plateaux at integer multiples of $2e^2/h$, the trace in Fig. 1c shows an additional shoulder at $G = 0.7(2e^2/h)$ (the 0.7 anomaly, also observed for the device of Fig. 1b at higher temperatures). Results for the nonlinear conductance (Methods) of these same devices are presented in Fig. 1d, e. Most traces between 0 and $1(2e^2/h)$ show a...
Figure 1 | Conductance of quantum point contacts. a, Electron microscope image of a conventional quantum point contact (QPC) with two gate fingers (QPC$_{2F}$). These gates are on the surface of a wafer with a 2DEG at 110 nm or 114 nm depth. Applying voltages $V_g$ to these gates induces a narrow transport channel between source and drain regions of the 2DEG. The conductance of the QPCs is studied by applying and measuring voltage and current signals on contacts to the 2DEG. For QPC$_{2F}$, the length of the transport channel is fixed by the lithographic length $L$. b, c, Linear conductance $G$ measured on two different QPC$_{2F}$ with $L = 200$ nm. The traces show clear quantized conductance plateaux at integer multiples of $2e^2/h$ (the shift in dependence on $V_g$ for c as compared to b is due to a different cool-down procedure; see Methods). The plateaux and transitions between plateaux show small deviations from clean quantized conductance behaviour, such as an additional shoulder at $G = 0.7(2e^2/h)$ in c (red arrow). d, Nonlinear conductance $G$ as a function of source–drain voltage $V_{sd}$ at various $V_g$ settings, for the device of b. The zero-bias anomaly (ZBA, enhanced conductance around $V_g = 0$) has mostly single-peak character, but has double-peak character for $G = 0.95(2e^2/h)$ for example the red trace). e, Similar results as d for the device of c. In this device, ZBAs with double-peak character appear at $G = 0.12(2e^2/h)$ and $G = 0.95(2e^2/h)$.

Figure 2 | Length-tunable QPCs. a, Electron microscope image of a QPC with six gate fingers (QPC$_{6F}$). It has a tunable effective length $L_{eff}$ that is set by operating at a fixed ratio $V_{g1}/V_{g2}$. b, Saddle-point potential that illustrates the electron potential energy $U$ (without many-body interactions) in the 2DEG plane in a QPC region. c, Linear conductance $G$ as a function of $V_{g2}$ (while co-sweeping $V_{g1}$) at fixed $V_{g1}$ measured on a QPC$_{6F}$ for $L_{eff}$ tuned from 186 nm to 608 nm (traces are not offset). In addition to the quantized conductance plateau at $1(2e^2/h)$, most traces show a smaller plateau in the range 0.7(2e$^2$/h) to 0.9(2e$^2$/h) due to many-body effects. For this signature of many-body effects, three periods of modulation can be observed in its dependence on $V_{g2}/V_{g1}$ (that is, $L_{eff}$).

remote imperfections (Supplementary Information section 4), and this leads to significant variability among devices. Thus, a more stringent test of this picture is possible with QPCs whose parameters can be modified continuously.

We addressed this by investigating a set of eight QPC$_{6F}$ devices, for which we could gradually change the effective length $L_{eff}$ of the saddle-point potential. These devices showed qualitatively identical behaviour, with an oscillatory signature of the 0.7 anomaly and regular modulation between single- and double-peak ZBAs with increasing $L_{eff}$ (Fig. 3b, c). These reproducible observations on eight QPC$_{6F}$ (and for different cool-downs of one QPC$_{6F}$; Supplementary Information section 10) are consistent with the emergence of an increasing number of ELSs due to many-body effects that generically occurs, even in ultra-clean QPC channels.

Figure 2a depicts the QPC$_{6F}$ devices, for which the effective channel length $L_{eff}$ could be tuned continuously. These were operated with the central gate voltage $V_{g1}$ more negative than the side gate voltage $V_{g2}$, to avoid quantum dot formation. Our analysis shows that in this regime the gates induce a smooth saddle-point potential, despite the narrow gaps between the gate fingers. The effective channel length $L_{eff}$ is set by $V_{g2}/V_{g1}$ (short for $V_{g2}/V_{g1}$ near 0, long for $V_{g2}/V_{g1}$ near 1; see Supplementary Information section 5 for details). Our devices could thus be controlled to have $L_{eff}$ in a range from about 186 nm to 608 nm. Making $V_{g1}$ less negative, at a fixed ratio $V_{g2}/V_{g1}$, opens the QPC while keeping the length unchanged.

All QPC$_{6F}$ showed clear quantized conductance plateaux. Figure 2c shows for one device how the 0.7 anomaly appears as an additional plateau (smaller than the quantized conductance plateaux) in the range 0.7(2e$^2$/h) to 0.9(2e$^2$/h), and that this plateau shows a dependence on $L_{eff}$ with three periods of modulation for the range $L_{eff}$= 186 nm to 608 nm. The nonlinear conductance measurements from this device (Fig. 3a) show how the ZBAs appear for $L_{eff}$ = 286 nm. At a fixed length, the ZBAs alternate between single-peak and double-peak character when opening the QPC, again with increased splitting for the double-peak ZBA as the conductance approaches 1(2e$^2$/h).
appearance of the ZBAs is very similar to that of fixed-length QPC\textsubscript{2F} (Fig. 1d, e). Figure 3b shows that there is also a modulation between single- and double-peak character when increasing $L_{\text{eff}}$ at a fixed conductance level (as evaluated immediately next to the ZBA). Figure 3c plots the data of Fig. 2c again, with coloured data symbols on the traces that mark whether the ZBA at that point has single- or double-peak character (in some cases, we find ZBAs that are best described as triple-peak). The modulation between single-peak and double-peak ZBA as a function of $L_{\text{eff}}$ also shows about three periods, and is clearly correlated with the modulation of the 0.7 anomaly.

To critically check the relevance of two-impurity Kondo physics to our observations, we measured the temperature and magnetic field dependence of double-peak ZBAs and compared these with theory for this system. We obtained the theoretical results by calculating the current through a two-impurity Anderson model. For this model, one expects\textsuperscript{22–26} a current that gets enhanced by the Kondo effect when the temperature is lowered from above the Kondo temperature to below it. Depending on the strength of the effective coupling between the impurities relative to the Kondo temperature, the associated ZBA has either single- or double-peak character.

Figure 4a depicts how the nonlinear conductance develops from a background conductance of $0.75(2e^2/h)$ at 3,000 mK into a double-peak ZBA with peak values up to about $0.90(2e^2/h)$ as the temperature is decreased (we used the device of Fig. 3; Supplementary Fig. 9 shows the temperature dependence of the linear conductance). The conductance between the peaks (the linear conductance) has a non-monotonic temperature dependence (Fig. 4b) that is characteristic of two-impurity Anderson physics\textsuperscript{27}. For comparison, insets in Fig. 4a and b depict the results of the theoretical calculations, and show good qualitative agreement. (We note that this description calculates only the Kondo contribution to the current, which yields zero current at high temperatures owing to Coulomb blockade; in contrast, the ELSs in QPCs are not expected to show strong Coulomb blockade at high temperatures but rather a finite background conductance, as observed.)

The theoretical conductance of double-peak ZBA.

Figure 4c presents the measured magnetic field dependence of this double-peak ZBA. Theoretically, one expects different evolutions with magnetic field depending on the relative magnitude of parameters—such as the Kondo temperature of each impurity, the coupling between the impurities, the asymmetry between the impurities and the temperature. The possibilities include each peak splitting into two sub-peaks, the peaks getting closer and merging, and the peaks moving closer and crossing each other. We see such diverse dependence on magnetic field for the different operation points of a given device, and for different traces are calculated for two impurity sites with unequal coupling strength $J$ to a neighbouring electron reservoir, and accordingly an unequal Kondo temperature $T_K$ (the plots are presented on an energy scale given by the highest Kondo temperature of the two sites). The asymmetry between the two $J$ parameters gives asymmetric double-peak ZBAs, with the minimum conductance between the peaks not exactly at $V_{sd} = 0$, very similar to the experimentally observed double-peak ZBAs. We should expect such asymmetries between the two $J$ parameters, because the $J$ values depend exponentially on the coupling between the ELSs and the electron reservoirs (Supplementary Information section 4).

Figure 4d shows how the nonlinear conductance develops from a background conductance of $0.75(2e^2/h)$ at 3,000 mK into a double-peak ZBA with peak values up to about $0.90(2e^2/h)$ as the temperature is decreased (we used the device of Fig. 3; Supplementary Fig. 9 shows the temperature dependence of the linear conductance). The conductance between the peaks (the linear conductance) has a non-monotonic temperature dependence (Fig. 4b) that is characteristic of two-impurity Anderson physics\textsuperscript{27}. For comparison, insets in Fig. 4a and b depict the results of the theoretical calculations, and show good qualitative agreement. (We note that this description calculates only the Kondo contribution to the current, which yields zero current at high temperatures owing to Coulomb blockade; in contrast, the ELSs in QPCs are not expected to show strong Coulomb blockade at high temperatures but rather a finite background conductance, as observed.) The theoretical conductance of double-peak ZBA.

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devices. Figure 4c shows one example, with a comparison to the theoretical expectations (other examples are given in Supplementary Information section 8). We see again good qualitative agreement between the theory of the two-impurity model and the experimental observations.

From the width of the peaks in Fig. 4a we can estimate the Kondo temperature \( T_K \) and the splitting between the peaks is equal to twice the effective coupling between the two ELSs. This coupling is here \( \sim 0.2 \text{ meV} \) (a typical value for the larger data set plotted in Fig. 3c). This should be consistent with the temperature at which the double-peak character of the ZBA is no longer resolved (and the temperature where the non-monotonic temperature dependence of conductance has a maximum\(^\text{12}\)). In Fig. 4a, this occurs for \( \sim 800 \text{ mK} \) (that is, \( \sim 0.1 \text{ meV} \)). This is in reasonable agreement with the distance between the peaks. The fact that it is on the low side is probably because \( T_K \) is here at the same energy scale as the splitting (\( \sim 0.15 \text{ meV} \) when estimated as half the peak widths in Fig. 4a). We also analysed ZBAs with pronounced single-peak character in the same manner as in ref. 5, and found agreement with the single-impurity Kondo model to the same extent.

The increase of \( L_{eff} \) that induces one period of modulation for the 0.7 anomaly and the split-peak character of the ZBA in Fig. 3 (\( \sim 100 \text{ nm} \) to \( \sim 150 \text{ nm} \)) matches the Fermi wavelength in the QPC channel (Supplementary Information section 6). This supports the hypothesis that the periodicity is linked to Friedel oscillations in the channel which gradually develop additional periods as it gets longer. (That such Friedel oscillations occur on scattering in a two-dimensional electron gas, 2DEG, has been observed directly in a different setting\(^\text{3} \)). The increasing number of ELSs with channel length leads to alternation between odd- and even-impurity Kondo effects, and to modulation between single-peak and double-peak ZBAs, respectively (the three-impurity case was studied in ref. 29 and can show ZBAs with triple- or predominantly single-peak character).

To support this picture, we carried out numerical spin density functional theory (SDFT) calculations, generalizing earlier work\(^\text{2} \) (Supplementary Information section 2). The length of the channel was determined by a gate of variable length. We studied whether SDFT yields localized states with the charge of about one electron as the state with lowest energy. The results (an example is in Supplementary Fig. 1) show an increasing number of ELSs as the channel gets longer, and also as the QPC is opened by lowering the QPC saddle-point potential at fixed energy. The results (an example is in Supplementary Fig. 1) show an increasing number of ELSs as the channel gets longer, and also as the QPC is opened by lowering the QPC saddle-point potential at fixed energy. The results suggest that, depending on the overlap of the ELSs, higher spin states (\( S = 1 \) or \( S = 3/2 \)) may develop in the QPC, making it possible to study Kondo effects for higher spin, and enabling investigation of transport through such exotic states.

The emergence of a ZBA for a hybrid device with a semiconductor channel was recently reported as a signature of Majorana fermions\(^\text{11} \). The fact that similar ZBAs occur with rich behaviour in plain semiconductor QPCs suggests that one should be cautious when ruling out alternative explanations for these Majorana signatures\(^\text{12} \). Evidently, basic understanding of the physics in QPCs is a crucial step in understanding more complex hybrid structures. Tunable QPCs offer an excellent new test ground for such studies, and they are also suited for detailed studies of Friedel oscillations\(^\text{30} \) and strongly correlated electrons in low dimensions, at the level of a single site.

**METHODS SUMMARY**

Experimental methods. QPCs were realized by locally depleting the 2DEG below the surface of a GaAs/AlGaAs heterostructure (for details, see Methods). In the standard approach, applying negative voltage to two metallic gate fingers on the surface of such material (Fig. 1a) induces an electrostatic potential barrier between a source and drain reservoirs in the 2DEG, with a small tunable opening in the form of a saddle-point potential (Fig. 2b). Such devices with two gate fingers are denoted QPC\(_{2g} \), and these have a fixed channel length \( L \). We also studied novel devices with six gate fingers (Fig. 2a), denoted QPC\(_{6g} \), which have a channel with tunable length \( L_{eff} \). We focus on the differential conductance \( G \), which is obtained by applying and measuring voltage and current signals as in the simplified scheme in Fig. 1a (for details, see Methods). Results for \( G \) at zero bias voltage \( V_{sd} \) are called here linear conductance, whereas results for \( G \) as a function of \( V_{sd} \) (bias spectroscopy) are called here nonlinear conductance. Unless stated otherwise, we present data taken at a temperature \( T \) of 80 mK and zero magnetic field.

**Kondo transport calculations.** We obtained the theoretical results by calculating the current through a two-impurity Anderson model within the slave-boson non-crossing approximation\(^\text{2} \) (detailed in Supplementary Information section 1).

**SDFT calculations.** We obtained the SDFT results by extending the work of ref. 7 (detailed in Supplementary Information section 2).

**Full Methods** and any associated references are available in the online version of the paper.

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Author Contributions M.J.I. was the lead researcher for experiments, with C.H.v.d.W. as supervisor, experimental contributions from E.J.K., J.B.D., J.P.d.J. and J.H.M.v.d.V., and design contributions from Y.M. The devices were fabricated from wafer material that was grown by D.R. and A.D.W. The calculations of electron transport in Kondo systems were carried out by R.A. The SDFT contribution was worked out by R.L. with Y.M. as supervisor. M.J.I., C.H.v.d.W. and Y.M. wrote the paper.

Author Information Reprints and permissions information is available at www.nature.com/reprints. The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to M.J.I. (m.j.iqbal@rug.nl) or C.H.v.d.W. (c.h.van.der.wal@rug.nl).
METHODS

Materials and device fabrication. QPC devices were fabricated with two different GaAs/AlGaAs heterostructures containing a 2DEG in a heterojunction quantum well. They were grown by molecular-beam epitaxy under similar conditions, and the properties of the 2DEGs were similar. We obtained very similar results with both materials. Most of the results presented in this Letter come from material 2, only the data in Fig. 1b, d comes from material 1.

Material 1 was a GaAs/Al_{0.35}Ga_{0.65}As heterostructure with a 2DEG 114 nm below the surface. The electrons of the 2DEG were supplied by modulation doping with Si at about 1 \times 10^{15} m^{-2}. At 4.2 K, the mobility of the 2DEG was \( \mu = 159 \text{ m}^2 \text{V}^{-1} \text{s}^{-1} \), and the electron density \( n_e = 1.5 \times 10^{11} \text{ m}^{-2} \) after cooling down in the dark. The layer with modulation doping started at 37 nm distance from the 2DEG position towards the wafer surface (this material was uniquely used in the related results presented in ref. 8).

Material 2 was a GaAs/Al_{0.35}Ga_{0.65}As heterostructure with a 2DEG 110 nm below the surface. This material also had modulation doping with Si at about 1 \times 10^{15} m^{-2}. At 4.2 K, the mobility of the 2DEG was \( \mu = 118 \text{ m}^2 \text{V}^{-1} \text{s}^{-1} \), and the electron density \( n_e = 1.60 \times 10^{11} \text{ m}^{-2} \). Here the layer with modulation doping started at 45 nm distance from the 2DEG position.

QPCs were realized by locally depleting the 2DEG below the surface of the GaAs/AlGaAs heterostructures. In the standard approach, applying negative voltage \( V_g \) to two metallic gate fingers on the surface of such material (Fig. 1a) induces an electrostatic potential barrier between source and drain reservoirs in the 2DEG, with a small tunable opening in the form of a saddle-point potential (Fig. 2b). Such devices with two gate fingers are denoted QPC_{2G} and these have a fixed channel length \( L \). We also studied novel devices with six gate fingers (Fig. 2a), denoted QPC_{6G}, which have a channel with tunable length \( L_{\text{eff}} \). Tuning of \( V_g \) on the gates allows for controlling the effective QPC shape (for details, see Supplementary Information section 5).

The depletion gates were defined with standard electron-beam lithography and lift-off techniques and by depositing 15 nm of Au with a Ti sticking layer. The reservoirs were connected to macroscopic leads via ohmic contacts, which were realized by annealing a thin Au/Ge/Ni layer that was deposited on the surface. Part of our data (including all the results presented in the main text, except for the data in Fig. 1b, d) was obtained after cooling down with about +0.3 V on the gates to suppress 1/f and telegraph noise in the conductance signals due to charge instabilities in the doping layer (Supplementary Information section 4). We obtained (apart from the change in noise properties) similar results for the cases with and without biased cool-down.

Measurement techniques and set-up. The measurements focus on the differential conductance \( G \), which is obtained by applying and measuring voltage and current signals as in the simplified scheme in Fig. 1a. Results for \( G \) at zero bias voltage \( V_{\text{sd}} \) are called linear conductance, while results for \( G \) as a function of \( V_{\text{sd}} \) (bias spectroscopy) are called nonlinear conductance. Unless stated otherwise, the presented data were taken at a temperature \( T = 80 \text{ mK} \) and zero magnetic field.

The presented results of linear and nonlinear conductance measurements all concern the differential conductance \( G = dI/dV_{\text{sd}} \) (where \( I \) is the measured current). For linear conductance measurements, we used standard lock-in techniques (typically at 387 Hz), with an a.c. voltage bias \( V_{\text{ac}} = V_{\text{dc}} = 10 \mu\text{V} \). For the nonlinear conductance measurements, we superimposed an a.c. and a d.c. voltage bias, \( V_{\text{sd}} = V_{\text{dc}} + V_{\text{ac}} \). We used an effective four-terminal measurement where we locally measured the source–drain voltage drop \( V_{\text{sd}} \) across the QPC, such that we can present results without significant contributions from series resistance. Only one of the source–drain contacts was connected to the grounded shielding of our set-up, and all gate voltages were applied with respect to this ground.

Measurements were performed in a dilution refrigerator with the sample at temperatures from \( \sim 5 \text{ mK} \) to 4.2 K. For all our data, the temperature dependence saturated when cooling below \( \sim 80 \text{ mK} \). This is consistent with independent measurements of the lowest effective electron temperature that could be achieved with this set-up. The electron temperature of 80 mK allows for probing peak structures in nonlinear conductance traces as narrow as 4k_B/T_e = 0.03 mV (\( k_B \) is Boltzmann’s constant).

The in-plane magnetic field was applied both parallel and perpendicular to the current direction and we measured devices with the current along the [110] direction and also along the [−110] direction of the crystal, but the results did not depend significantly on these orientations. Alignment of the sample with the magnetic field was within \( \Delta^1 \), as determined from Hall voltage measurements on the 2DEG.

Kondo transport calculations. We obtained the theoretical results by calculating the current through a two-impurity Anderson model within the slave-boson non-crossing approximation (detailed in Supplementary Information section 1).

SDFT calculations. We obtained the SDFT results by extending the work of ref. 7 (detailed in Supplementary Information section 2).