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Epitaxial TbMnO$_3$ thin films on SrTiO$_3$ substrates: a structural study

C J M Daumont$^1$, D Mannix$^2$, Sriram Venkatesan$^1$, G Catalan$^3$, D Rubi$^1$, B J Kooi$^1$, J Th M De Hosson$^1$ and B Noheda$^1$

$^1$ Zernike Institute for Advanced Materials, University of Groningen, 9747 AG Groningen, The Netherlands
$^2$ Institut Néel, CNRS-UJF, 25 Avenue des Martyrs, F-38042 Grenoble Cedex 9, France
$^3$ Earth Science Department, University of Cambridge, Cambridge CB2 3EQ, UK

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Abstract

TbMnO$_3$ films have been grown under compressive strain on (001)-oriented SrTiO$_3$ crystals. They have an orthorhombic structure and display the (001) orientation. With increasing thickness, the structure evolves from a more symmetric (tetragonal) to a less symmetric (bulk-like orthorhombic) structure, while keeping constant the in-plane compression, thereby leaving the out-of-plane lattice spacing unchanged. The domain microstructure of the films is also revealed, showing an increasing number of orthorhombic domains as the thickness is decreased: we directly observe ferroelastic domains as narrow as 4 nm. The high density of domain walls may explain the induced ferromagnetism observed in the films, while both the decreased anisotropy and the small size of the domains could account for the absence of a ferroelectric spin spiral phase.

(Some figures in this article are in colour only in the electronic version)

1. Introduction

Multiferroics are a class of materials exhibiting coexistence of two or more ferroic orders [1–5]: (anti)ferromagnetism, (anti)ferroelectricity, ferroelasticity and/or ferrotoroidicity. Multiferroic materials have been increasingly studied in recent years due to the possibility of substantial coupling between the ferroic properties within a single phase. The most interesting case for applications occurs when the magnetic and electric degrees of freedom are coupled, giving rise to a large magnetoelastic (ME) effect. This can eventually lead to a range of novel devices that use the control of the spontaneous magnetization (polarization) of a material with an electric (magnetic) field. Unfortunately, multiferroics are very rare and efforts have been made to discover and synthesize new multiferroic and magnetoelastic materials, as well as to understand the underlying mechanisms.

Among the possible mechanisms producing multiferroicity and large magnetoelastic coupling in single-phase materials, the induction of ferroelectricity in spiral spin systems has been intensively studied [6, 7]. The orthorhombic perovskite TbMnO$_3$ is the best known example of such a system [4, 5, 8] and has also been shown to present a giant ME effect [8]. Among the rare earth manganites, TbMnO$_3$ presents an intermediate Mn–O–Mn bond angle and lies, in the temperature versus ionic radius phase diagram, in between two different magnetic phases, displaying a complex magnetic behavior [9]. TbMnO$_3$ shows antiferromagnetic ordering below $T_N \sim 40$ K, where the Mn spins align in an incommensurate sinusoidal structure. By decreasing the temperature further, the propagation vector of the sinusoidal spin structure decreases until it locks at $T_{lock} \sim 28$ K, where the magnetic structure changes into a spiral structure [5, 8–10] that propagates along the $b$ axis. Due to the Dzyaloshinsky–Moriya (DM) interaction [11, 12], a spontaneous polarization along the $c$ axis and a strong ME effect arises below $T_{lock}$.

For practical devices, multiferroics are often preferred in thin film form. Moreover, the strain induced by the mismatch between the film and the substrate lattice parameters can lead to improving the material’s properties with respect to the bulk. Interestingly, although TbMnO$_3$ is by now a very well known material, only a few reports on this perovskite in thin film form...
Figure 1. (a) Intensity of the specular spot of the RHEED pattern as a function of deposition time. The inset is a blow-up of the first RHEED oscillation showing the laser pulses. (b) Typical RHEED pattern obtained after growth and annealing. The picture is taken after cooling down to room temperature and under vacuum. (c) and (d) AFM images of a 2 and 8 nm thin film, respectively, grown at $p_{O_2} = 0.25$ mbar. The vertical and horizontal scales are shown at the bottom of the pictures.

are available [13–15]. Since the magnetic and ferroelectric phases are determined by the crystal structure, a thorough characterization of the structure of the films is needed. This, however, is not straightforward due to the small thickness of the films and grazing incidence diffraction, using synchrotron sources, is required. In this work, we present the growth and structural characterization of TbMnO$_3$ perovskite thin films with thicknesses lower than 100 nm on single crystals of (001)-SrTiO$_3$. (001)-SrTiO$_3$ is preferred in studies of epitaxy in perovskites because it can be obtained with atomically flat surfaces, favoring high quality growth. The films are shown to be very flat, $c$-oriented and epitaxially strained. Their unit cell is orthorhombic with an orthorhombic distortion that can be tuned with the film thickness up to a thickness of about 50 nm.

Although ferroelectric and magnetoelectric measurements are still in progress, the magnetic properties (reported elsewhere [14]) suggest that these films are remarkably different from the bulk material: no evidence of the spiral spin structure that gives rise to multiferroic behavior was found in the magnetic data. On the other hand, ferromagnetic interactions are induced in the films, which renders them appealing for applications such as spin valves, where ferromagnetic insulators are required. This is in agreement with a very recent work on the same system [15] and reports in other orthorhombic manganite films [16]. We show here that the structure of the films and microstructure are likely to explain the difference between the film and bulk behavior.

2. Experimental details

(001)-oriented TbMnO$_3$ (TMO) thin films were deposited on (001)-SrTiO$_3$ (STO) substrates by pulsed laser deposition (PLD) assisted by reflective high energy electron diffraction (RHEED), using a KrF excimer laser with $\lambda = 248$ nm. A stoichiometric target of TbMnO$_3$ was prepared by means of a standard solid-state reaction. Prior to deposition, the substrates were chemically treated and fired in O$_2$ in order to obtain TiO$_2$ single-terminated flat terraces [17]. The deposition of the films reported here was performed at 750°C in oxygen pressures of 0.25–0.9 mbar. The laser fluence was 2 J cm$^{-2}$, with a repetition rate of 1 Hz, and a distance between substrate and target of 55 nm. After deposition, the films were slowly cooled.
Figure 2. AFM images of 17 nm (a), 34 nm (b) and 67 nm (c) thick TMO thin films grown with a $P_{O_2} = 0.25$ mbar. The scans area is 1 $\mu$m x 1 $\mu$m. The corresponding diffraction patterns can be seen in (d), (e) and (f), respectively. The experimental data are shown as thick black lines. The fit to the data is shown in each case as a thin blue line. The RMS roughness obtained over an area of 25 $\mu$m$^2$ is 0.45 nm for the 17 nm and 1 nm for the 34 nm and 67 nm films.

Figure 3. $2\theta-\omega$ for a 67 nm thick film of TMO grown on STO. Inset: phi-scan around the (228)$_h$ reflection.

down ($-3 \, ^\circ\text{C min}^{-1}$) to room temperature under an oxygen pressure of 100 mbar.

The highly distorted perovskite TMO crystallizes in an orthorhombic structure (space group: $Pbnm$) with lattice constants of $a_o = 5.2931$ Å, $b_o = 5.8384$ Å and $c_o = 7.4025$ Å [18]. However, lattice parameters reported so far for the bulk/single-crystal system, and in particular the $c$-lattice parameter, vary significantly and this has been attributed to different growth conditions [6, 19, 20]. The STO substrate is a cubic perovskite with lattice constant of $a = 3.905$ Å. During growth, the intensities of the RHEED patterns change as a result of the relative surface coverage, roughening and disorder of the growing layers [21]. The intensity of the specular spot of the RHEED pattern was recorded from the beginning of the growth (see figure 1(a)). With the first laser pulses, a large drop in RHEED intensity takes place, indicating an important roughening of the surface with respect to the STO substrate. The recovery of intensity observed after approximately 35 laser pulses corresponds to the growth of the first complete layer of TMO.

The crystallinity and structure of the films were studied by standard x-ray diffraction (XRD) with a Panalytical X’Pert MRD diffractometer, while their surface morphology was probed by means of a Nanoscope IIIa atomic force microscope (AFM). X-ray photoelectron spectroscopy (XPS) experiments were performed in an SSX-100 spectrometer from Surface Science Instruments with a monochromatic Al–K x-ray source ($h\nu = 1486.6$ eV). XPS in films of different thickness and grown at different oxygen pressures are consistent with a manganese valence of 3+ and no evidence of Mn$^{4+}$ or Mn$^{2+}$ was found [14]. In order to probe the in-plane lattice, grazing incidence x-ray diffraction (GIXD) was performed with synchrotron radiation, both at the W1 beamline in HASYLAB-DESY (Hamburg) and the XMaS beamline in ESRF (Grenoble). TEM plan view specimens were prepared by the conventional procedure involving cutting, grinding, polishing, dimpling and ion milling. A precision ion polishing system (Gatan model 491) with 4 kV Ar$^+$ beams was used, in which both guns make an angle of 8° with the bottom side of the substrate. On the other side, the film is covered with a piece of glass to avoid any contamination by redeposition from
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Figure 4. Reciprocal space maps around the (103), Bragg reflection of the STO substrate for 17, 34 and 67 nm films grown at 0.25 mbar. The vertical and horizontal lines are guides to the eyes. The abscissa (ordinate) represents the in-plane (out-of-plane) component of the scattering vector. Both are normalized by $2k_o = 4\pi/\lambda$.

Figure 5. (a) RSM around (206), and (026), for a 67 nm thick TMO film grown at 0.25 mbar. The arrow indicates the $k_{par}$ of the STO substrate. (b) RSM around the (103), STO Bragg reflection for an 80 nm thick TMO film grown at 0.9 mbar. The abscissa (ordinate) represents the in-plane (out-of-plane) component of the scattering vector, both normalized by $2k_o = 4\pi/\lambda$.

3. Results

About eight RHEED intensity oscillations can be seen at the beginning of the growth corresponding to an initial layer-by-layer (2D-like) growth. However, those oscillations are superimposed on a decreasing intensity background, indicating that an overall roughening occurs as the growth proceeds. After the oscillations have faded away and the intensity of the specular spot has decreased to a certain intensity, the latter remains constant during the rest of the deposition (outside of the graph range) and the pattern changes from a purely stripy pattern to the mixed stripe–spot pattern shown in figure 1(b). This type of behavior has been reported recently for other oxides as a ‘pseudo-2D island growth’ [22, 23]. This change from 2D character to ‘pseudo’-3D character of the growth has also been identified using AFM. Indeed, figure 1(c) shows the morphology of a 2 nm film, for which the deposition was stopped shortly before the 2D-to-3D transition. It can be seen that the film is atomically flat, showing the step-and-terrace morphology of the substrate. However, when the deposition is stopped at the initial stage of the transition from 2D to 3D growth, particles with a diameter of around 30 nm start appearing, as shown in figure 1(d). We found that the change from 2D to 3D growth occurs at a thickness in between 2 and 5 nm.

Figures 2(a)–(c) show AFM images of three TMO films with different thicknesses grown under the same conditions. In the 17 nm film (figure 2(a)), although it is already in the 3D growth regime and the grains dominate the film morphology, the steps from the substrate are still visible. The steps are not clearly visible for a 34 nm and 67 nm film (figures 2(b) and (c)). Figures 2(d)–(f) show typical $2\theta$–$\omega$ x-ray diffractograms around the (001), Bragg reflection of STO (the most intense ones in the pattern), for the same three films. The film peaks are those on the right-hand side of the STO reflections. The blue lines are the fits of the crystal truncation rod using a kinematical model. These calculations take into account, not only the complex refractive index of the substrate and the film, but also the angular-dependent atomic scattering factors. The high quality and flatness of the interfaces is evidenced by the Laue fringes around the film peak for thicknesses up to 67 nm.

Figure 3 shows a broader $2\theta$–$\omega$ scan including both the (001), and the (002), STO Bragg reflections. No secondary or impurity phases could be observed. A phi-scan around the (024)$_{pc}$ (i.e. (228)$_{sc}$) of TMO (see the inset) shows the...
Figure 6. Orthorhombic lattice parameters \((a_0, b_0, c_0/\sqrt{2})\) as a function of thickness for films grown with \(pO_2 = 0.9\) mbar (filled symbols) and 0.25 mbar (open symbols). In the 80 nm film, two phases are found and one of them (symbols marked with a star) corresponds to the bulk orthorhombic unit cell [18]. The lines are guides to the eye.

peaks separated 90° from each other, confirming the fourfold symmetry of the film and the coherent growth. From the position of the film peaks of figure 3, an out-of-plane lattice spacing of 3.737 Å was found. X-ray diffraction thus shows that the films are single phase and (001)-oriented (with the \(c\) axis perpendicular to the surface). Using a value of Poisson ratio of 0.35, typical in manganites [24], the out-of-plane lattice spacing is estimated to expand from 3.70 Å (\(c/2\) of bulk TMO) to about 3.74 Å, in good agreement with our measurements.

A larger out-of-plane lattice parameter than the bulk one could also be explained by a film whose strain is relaxed by means of oxygen vacancies, as they are known to expand the unit cell [25, 26]. To investigate this possibility, the oxygen pressure during deposition was increased up to 0.9 mbar (the maximum attained in our set-up). Within the range of oxygen pressures investigated, the out-of-plane lattice parameter indeed decreases linearly with increasing oxygen pressure during deposition, consistent with the number of oxygen vacancies decreasing for increasing pressures. The maximum pressure of 0.9 mbar seems to be large enough to produce stoichiometric films since the unit cell of the relaxed layers is as large as the bulk one, as will be discussed later.

A detailed investigation of the film structure was performed using x-ray diffraction to map selected areas of the reciprocal space. Figures 4(a)–(c) show reciprocal space maps (RSMs) around the \((103)\) Bragg reflections of 17 nm, 34 nm and 67 nm thick films, respectively, grown at 0.25 mbar. The peaks corresponding to the films (substrates) are those

Figure 7. RSMs of an 8 nm TMO film grown at 0.25 mbar around: (a) the \((110)\), (b) the \((010)\), (c) the \((100)\), and (d) the \((220)\), STO Bragg reflections. The axes are in reciprocal lattice units of the substrate (1 r.l.u. = \(2\pi/3.905\) Å).
Figure 8. RSMs for a 55 nm TMO film grown at 0.25 mbar around (a) the (110)c; (b) the (010)c; (c) the (100)c and (d) the (220)c, STO Bragg reflections. The axes are in reciprocal lattice units of the substrate (1 r.l.u. = 2π/3.905 Å).

at larger (smaller) $K_{\text{perp}}$ (see horizontal lines in the figure). The in-plane and out-of-plane components of the (103)c and (013)c TMO peaks do not change as a function of thickness and the in-plane lattice parameter remains identical to that of STO for thicknesses up to 67 nm, indicating that the films are coherent with the substrate along the pseudo-cubic [100] or [010] directions. As the thickness is increased, only a broadening of the rocking curve of the TMO film can be seen, indicating an increase of mosaicity. However, when the RSM is taken around the (113)c, a different picture is observed, as shown in figure 5(a) for a 67 nm thin film. Instead of a coherent film peak, two film peaks are found that could be indexed as orthorhombic (206)c and (026)c. The data therefore fit a structural model in which the films are orthorhombically distorted, similar to the bulk material, but keep coherence with the cubic substrate along the [100] or [010] directions.

The orthorhombic $a_c$, $b_c$, and $c_c$ lattice parameters can be extracted from the (116)c and (206)c reflections shown above. Figure 6 shows the evolution of the lattice parameters as a function of thickness for the films grown with $p_{\text{O}_2}$ = 0.9 and 0.25 mbar. Both series display a similar trend, showing that the oxygen vacancies, which are likely to be present in the films grown at the lowest pressure, giving rise to larger $c_c$ values, do not change the general behavior. The orthorhombic distortion is the smallest for the thinnest films and a 2 nm thick TMO film shows a tetragonal symmetry as evidenced by GIXD (not shown here). With increasing thickness, $c_c$ remains unchanged, while $a_c$ decreases and $b_c$ increases towards their bulk value. However, the bulk lattice parameters are not reached in a continuous way and $a_c$ and $b_c$ saturate for a thickness of around 50 nm. Above about 70 nm, a new spot appears in the diffraction maps, as shown in figure 5(b). This second spot corresponds to a relaxed unit cell with bulk lattice parameters (dashed lines in figure 6). The fact that, in the films grown at 0.9 mbar, this spot appears with lattice parameters almost identical to the bulk ones, strongly indicates that these films are stoichiometric, as discussed above. We have shown that the films are orthorhombic but keep coherence with the cubic substrate along the [010] or [100] directions. Thus, the films’ orthorhombic lattice parameters fulfill $a_c^2 + b_c^2 = (2a_{\text{STO}})^2$ for all thickness and only the in-plane angle $\gamma$ (see figure 9) changes with increasing thickness. Therefore, the strain state is constant and so is the $c$ lattice parameter.

In order to better understand the in-plane coherence of the films with the substrate and the domain structure of the film, GIXD experiments were performed using synchrotron radiation. Figures 7(a)–(d) show in-plane RSMs around selected reciprocal lattice points of the STO lattice for an 8 nm thin film grown at 0.25 mbar. In all the maps, the central sharp spot corresponds to the STO Bragg peak and the other broader visible reflections around it are from the TMO film. As shown in (a), four broad peaks can be seen around the (110) substrate spot. They correspond to the different equivalent orientations of the
orthorhombic unit cell (see sketch in figure 9). The presence of these four domains maintains in the film the fourfold symmetry of the cubic substrate. Moreover, as shown in figures 7(b) and (c), a peak broadening in the perpendicular direction (along the [010] for the (100) reflection and along [100] for the (010) reflection), the so-called rocking curve, is seen around the central cubic position. This corresponds to the part of the film that is coherent with the substrate (with lattice spacing 3.90 Å). As seen in figure 7(d), around the (220)_, only two of the four peaks can be observed, those corresponding to the (400)_, reflections. The absence of the (040)_, peaks is due to the relative intensities of those reflections. Indeed, the intensity ratio $I_{(200)}_o/I_{(020)}_o$ is 1.4 in bulk, whereas a ratio of 77 is found for $I_{(400)}_o/I_{(040)}_o$. This makes the intensity of the (040)_o too weak for us to detect it.

GIXD experiments were also performed for a 55 nm film grown at 0.25 mbar. Figures 8(a)–(d) show different in-plane RSMs for this thicker film. From (a), only two film reflections are observed around the substrate (110) peak (which in this case is not visible due to the grazing incidence geometry and the larger thickness of the film). The peaks correspond to the (200)_o (at higher H and K values) and the (020)_o (at lower H and K values). Analysis of these diffraction maps indicates that, along with the change of $a_o$ and $b_o$, a gradual rotation of the unit cell also occurs at larger thickness, so that the orthorhombic axes ($a_o$ and $b_o$) align parallel to the [110] of STO (see figure 9(c)). This can be better seen in figures 8(a) and (d), where the extremely broad peak is due to domains existing at all orientations in between those sketched in figures 9(a) and (b) and those in (c). The coherence with the substrate in the thinner films is then given by [110]_o parallel to [100]_c (or to [010]_c). By further increasing the thickness, the strain energy has increased such that the coherence along the [100]_c cannot be kept and the films relax to their bulk structure, with the orthorhombic in-plane axis parallel to the [110] of STO.

Figure 10 shows TEM plan view images and electron diffraction patterns recorded for two samples with thicknesses of 17 and 67 nm. In the plan view image of the 17 nm TMO
Figure 10. Plan view TEM pictures of a 17 nm (a) and 67 nm (b) TMO film. Electron diffraction patterns of the same films are shown in (c) and (d), respectively. The white circles show the presence of dislocations.

film, two differently oriented types of rectangular domains can be seen along with strain contrast for domains having the same orientation. This is consistent with the four types of domains seen via XRD. For the thicker film, two orientations can still be seen along with bigger domains, consistent with the coalescence of the grains seen by AFM. Moreover, strain contrast is present within the domains, showing that the domains coalesce as the thickness increases. Screw dislocations can be seen on the thicker film. In addition to screw dislocations at the interface, another type of defect probably exists at the domain boundaries that take care of the in-plane rotation of the domains. The electron diffraction patterns confirm what has been seen in x-ray diffraction: for the thinner film, the diffraction peaks are the superposition of four TMO domains along with the contribution from the substrate; for the 67 nm TMO thin film, the electron diffraction pattern differs from that of the very thin films and shows a rotation gradient, as discussed above.

4. Discussion

For the 17 nm film, the domains are found to have a small width of about 4 nm (see figure 10(a)). This domain size is particularly small when compared with the typical thickness of magnetic domain walls, which are of the order of several nanometers [27, 28]. This means that in the thin films the fraction occupied by domain walls is comparable to that of the domains themselves, and therefore the properties of the domain walls are likely to affect the overall magnetic properties, that is, the induced weak ferromagnetism, and perhaps even the absence of a lock-in transition [14]. For example, while the orthorhombic domains are known to be antiferromagnetic in character, in agreement with the negative Curie–Weiss temperature measured in the films [14], the magnetic interactions at the domain walls can give rise to a ferromagnetic component. Symmetry arguments show that magnetoelectric coupling can induce ferromagnetism in the domain walls of ferroelectric antiferromagnets [29], and works by Fiebig and co-workers have also shown that the ferroelectric domain walls of multiferroic hexagonal manganites can have a net magnetization at their center [30] as well as enhanced magnetoelectric coupling [31].

The small domain size is likely to also affect the spin spiral. In bulk TbMnO$_3$, the period of the spiral is of the order of 2 nm, which is comparable to the domain size and so it may well be destroyed by the proximity of the domain boundaries. Moreover, even if the spiral spin order that gives rise to the lock-in and ferroelectric transition survived, the domain size may be incommensurate with the spiral period, meaning that some spins will not be fully compensated, an effect that will be more noticeable for smaller domains. The presence of small domains would also prevent long range coherence, since the spiral propagation direction, which is along the orthorhombic $b$ axis, has to flick from the $x$ to $y$ directions at each domain wall.

Finally, the reduction of in-plane anisotropy means that the difference between the $a$ and $b$ lattice parameters in the
orthorhombic structure decreases. For the extreme case of the tetragonal films (2 nm thick or less) there is no privileged direction, and hence there can be no spin spiral. But even for the thicker orthorhombic films, strain is likely to affect, or even destroy, the spin spiral, since the changes in in-plane anisotropy with respect to the bulk compound must also affect the Mn–O–Mn bond angle, which is known to be the critical parameter for the appearance of the lock-in spiral phase [32].

5. Concluding remarks

We have successfully deposited epitaxial orthorhombic TbMnO₃ films on (001)-SrTiO₃, with thicknesses ranging from 8 to 80 nm. The crystal structure of the thin strained films has been identified as a less distorted orthorhombic unit cell compared to the bulk one with $a_{\text{film}} > a_{\text{bulk}}$, $b_{\text{film}} < b_{\text{bulk}}$ and $c_{\text{film}} > c_{\text{bulk}}$. We found that the films grow with the $c$ axis out of plane and they orient themselves in the plane such that the $(110)_a$ directions align with the $(100)_c$ directions of the substrate. The orthorhombic lattice parameters $a_o$ and $b_o$ are constrained by epitaxy so that $a_o^2 + b_o^2 = (2a_{\text{STO}})^2$. This allows for four equivalent orientations and, therefore, four types of domains are observed, such that the in-plane diffraction patterns display fourfold symmetry.

With increasing thickness, the in-plane orthorhombic axes gradually change towards their bulk values, increasing the orthorhombicity of the films, but still keeping the partial coherence and epitaxy relation $a_o^2 + b_o^2 = (2a_{\text{STO}})^2$. Due to this, the amount of in-plane compression (and hence out-of-plane elongation) remains unchanged up to thicknesses of about 70 nm. Above this thickness, the lattice relaxes to the bulk one. In spite of the unchanged in-plane compression, the thicker films do show a distribution of unit cell rotations coupled with increasing orthorhombic distortion.

It has been shown [14] that the physical properties of these films are very different from those of the bulk: the films show ferromagnetic interactions and spin-glass-like behavior below the Néel temperature of ~40 K, which are absent in the bulk. This can be of interest due to the scarcity of ferromagnetic insulators. Similar results have recently been obtained for this and other orthorhombic perovskites [15, 16]. Here, we propose that this is directly associated with the domain walls, showing evidence that these constitute a large part of the volume of the films. On the other hand, the magnetic anomaly observed in the bulk material at about 28 K, related to the transition to the spiral spin structure and the onset of ferroelectricity, could not be observed in these films [14]. This may be due to the decrease of in-plane anisotropy that we show takes place with decreasing thickness, or to the small domain size. Work is in progress to investigate these possibilities.

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