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Quantum noise in a terahertz hot electron bolometer mixer
IF impedance and mixer gain of NbN hot electron bolometers

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The intermediate frequency (IF) characteristics, the frequency dependent IF impedance, and the mixer conversion gain of a small area hot electron bolometer (HEB) have been measured and modeled. The device used is a twin slot antenna coupled NbN HEB mixer with a bridge area of 1 × 0.15 μm², and a critical temperature of 8.3 K. In the experiment the local oscillator frequency was 1.300 THz, and the (IF) 0.05–10 GHz. We find that the measured data can be described in a self-consistent manner with a thin film model presented by Nebosis et al. [Proceedings of the Seventh International Symposium on Space Terahertz Technology, Charlottesville, VA, 1996 (unpublished), pp. 601–613], that is based on the two temperature electron-phonon heat balance equations of Perrin-Vanneste [J. Phys. (Paris) 48, 1311 (1987)]. From these results the thermal time constant, governing the gain bandwidth of HEB mixers, is observed to be a function of the electron-phonon scattering time, phonon escape time, and the electron temperature. From the developed theory the maximum predicted gain bandwidth for a NbN HEB is found to be 5.5–6 GHz. In contrast, the gain bandwidth of the device under discussion was measured to be ~2.3 GHz which, consistent with the outlined theory, is attributed to a somewhat low critical temperature and nonoptimal film thickness (6 nm). © 2007 American Institute of Physics.

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I. INTRODUCTION

Traditionally hot electron bolometer mixers, based on InSb, suffer from small (<100 MHz) IF bandwidths, due to a relatively long electron relaxation time. To enhance the science that may be done with these devices, there has in recent years been a strong push to expand the gain and noise bandwidth of hot electron bolometers. Success has been achieved with the use of ultra thin (~4–6 nm) NbN superconducting films with very short phonon escape times. The majority of such films have been supplied by the Moscow Pedagogical State University. In previous work, measurement and analysis of the IF impedance and gain bandwidth of large area NbN phonon-cooled hot electron bolometers were performed by Rodrigues-Morales and Yngvesson. The analysis was, however, based on model that uses a single time constant to describe the electron temperature relaxation time. Initially, HEB mixers were analyzed as lumped element transition-edge sensors. The strong temperature dependence of the resistance at the transition to the superconducting state was taken as a sensitive measure of variations in the electron temperature. In practice HEB’s are operated at an elevated electron temperature created by dc bias and applied local oscillator (LO) signal. These conditions have led to a reanalysis of the physical conditions during mixing. Initially, mixing was understood to be the result of a heating induced, fully normal (Ohmic) electronic “hot spot,” and more recently due to a distributed temperature profile, in response to temperature and current induced local resistivity. In general, HEB analyses have focused on taking into account all the contributions to the power fed into the electron system, balanced by losses due to diffusion and electron-phonon relaxation. In recent work, it became clear that the dc current-voltage characteristics could not be described on the basis of power and electron temperatures alone. It turned out important to include the physical process that acts as the source of resistance in a superconducting film close to its transition temperature. This resistance is known to appear due to temperature and current enhanced two-dimensional (2D) phase slip events or flux flow. It was shown that this consider-
ation leads to a correct description of the dc $I(V)$ characteristics. It is assumed that the underlying physics is analogous to the Berezinskii-Kosterlitz-Thouless treatment, in which for thin superconducting films above a characteristic temperature $T >> T_{KT}$ pairs of free vortices with plus and minus signs and core radii $\xi \sim 4$ nm for NbN are created.

In the present manuscript we focus on the dynamic processes that govern the HEB mixer gain, IF impedance, and gain bandwidth. It is assumed that the mixing process at terahertz frequencies is controlled by the quadratic response to voltage, leading to an intermediate frequency signal in the electron temperature. We will assume that the vortex processes relevant at dc are too slow to follow the responses at terahertz and IF frequencies. We find that the two temperature electron cooling model introduced by Perrin-Vanneste, and expanded upon by Nebiosis, Semenov, Gousev, and Renk (NSGR) is very adequate in describing the IF response. The NSGR model includes an electrothermal feedback mechanism that modulates mixer’s inhomogeneous nonlinear mixing region via complex IF voltage reflections. It is this feedback mechanism that is responsible for fluctuations in the receiver noise temperature.

In this paper we present a unique data set and demonstrate that the modified NSGR model provides a self-consistent set of parameter values in good agreement with literature and measurement. The obtained parameter values may then be used to explore the maximum achievable bandwidth of NbN based HEB’s and provide guidance toward possible material improvement.

II. THEORY

At RF frequencies with $h\nu >> 2\Delta$, power is absorbed uniformly in a bridge with fixed cross-sectional area. Applying a LO signal the electron temperature in the bridge modulates with $[\sin(\omega_L t) + \sin(\omega_T t)]^2$, resulting in a modulation of the electron temperature at the difference frequency (IF) between $\omega_L$ and $\omega_T$. Since the upper frequency limit for nonequilibrium responses of the superconductors is set by the superconducting energy gap we assume that the vortex processes cannot follow the RF signals.

At IF’s the free vortex density modulation as a result of current is, when compared to the electron temperature, expected to be a lower order effect. In addition, though Knoedler and Voss have measured phase slip induced shot noise up to 100 kHz, the recombination/annihilation rate of free vortices at the intermediate frequencies we concern ourselves with is thought to be too slow to follow the IF signal. We will therefore assume that at the IF’s the temporal response is predominantly connected to the electron temperature ($\partial R / \partial T$). This allows the use of the NSGR model, where the nonthermal action of the current ($\partial R / \partial I$) has been neglected.

The primary cooling mechanism of quasiparticles in the superconducting film occurs via electron-phonon interaction, while the phonons, raised to a temperature that closely follows the electron temperature, escape into the substrate. Due to the thin (3.5–6 nm) film and strong coupling to the substrate, diffusion via the metal contact pads is assumed negligible in determining the temporal response. For this reason diffusion has been neglected in the NSGR model. Important to our discussion are the strongly temperature dependent heat capacities of the electrons, $c_e(T_e)$, and phonons, $c_{ph}(T_{ph})$. Following the two temperature analyses of Perrin-Vanneste, coupled differential equations are used, one for the electron temperature $T_e$ and one for the phonon temperature $T_{ph}$, to describe the heat balance in the film:

$$c_e \frac{dT_e}{dt} = P_{dc} + P_{LO} - p_{eph},$$  \hspace{1cm} (1)

$$c_{ph} \frac{dT_{ph}}{dt} = p_{eph} - p_{phs}.$$  \hspace{1cm} (2)

The powers are per unit volume, with $P_{dc}$ and $P_{LO}$ due to dc and LO power induced heating. $p_{eph}$ describes the power transfer between the quasiparticles and the phonons, and $p_{phs}$ the transfer between phonons and substrate (with a bath temperature $T_0$).

$$p_{eph} = A_e (T_e^n - T_{ph}^n), \hspace{1cm} A_e = \frac{c_e}{nT_e^{-1} \tau_{eph}}.$$  \hspace{1cm} (3)

$$p_{phs} = A_{ph} (T_{ph}^n - T_0^n), \hspace{1cm} A_{ph} = \frac{c_{ph}}{nT_{ph}^{n-1} \tau_{esc}}.$$  \hspace{1cm} (4)

For NbN, $n \sim 3.6$. Both $p_{eph}$ and $p_{phs}$ are assumed uniform in the bridge apart from their temperature dependence. In reality, the temperature profile across the bridge is a function of position and even though this has not been taken into account in the NSGR model, we are able to achieve good fits between model and measurement. Future models may be improved by taking the distributed temperature profile across the bridge into account.

To obtain a general solution to the heat balance equations, one has to make a certain assumption on how the local resistivity depends on current and electron temperature. Following Nebiosis et al., we obtain

$$Z = \frac{d}{dt}[I R(I, T_e)] = R(I, T_e) + \frac{\partial R}{\partial I} I + \frac{\partial R}{\partial T_e} \frac{dT_e}{dt}.$$  \hspace{1cm} (5)

where term $\partial R / \partial I$ is ignored at IF’s. $Z(\omega)$, the frequency dependent HEB output impedance, may be found by assuming that a small perturbation in the current, $dI = dI e^{i\omega t}$, causes a change in the electron temperature, $dT_e = dT e^{i(\omega + \varphi) t}$, and phonon temperature $dT_{ph} = dT_{ph} e^{i(\omega + \varphi) t}$. Substituting these partials into the linearized $(T_{e} - T_{ph} \approx 2T_0)$ heat balance, Eqs. (1) and (2), and solving it together with Eq. (5) give

$$Z(\omega) = R_0 \Psi(\omega) + C \Psi(\omega) - C.$$  \hspace{1cm} (6)

Strictly speaking the simplification that $T_e - T_{ph}$ holds (Sec. VI), however, the assumption that $T_{ph} \ll 2T_0$ and that of a uniform temperature distribution in the bridge is not entirely valid as it approximates a lumped element model. It does, however, provide a convenient closed form solution that fits the measured data. In Eq. (6), $\Psi(\omega)$ represents the time dependent modulation of the electron temperature, $\omega$ the IF radial frequency, $R_0$ the dc resistance at the operating point.
of the mixer, and C the self heating parameter.\textsuperscript{19,20} The latter is important as it forces the complex part of the impedance \cite{Eq. (6)} to be zero at very low and very high IF frequencies. $\Psi(\omega)$ is defined by three time constants, $\tau_1$, $\tau_2$, $\tau_3$:  

$$\Psi(\omega) = \frac{(1+i\omega\tau_1)(1+i\omega\tau_2)}{(1+i\omega\tau_3)}.$$  

(7)

The self-heating parameter $C$ can be described as  

$$C = \frac{F}{V} \frac{\partial R}{\partial T_e} \left( \frac{\tau_{\text{eph}}}{\epsilon_{\text{eph}}} + \frac{\tau_{\text{esc}}}{\epsilon_{\text{esc}}} \right).$$  

(8)

with $dV/dI$ the differential resistance at the operating point. In the transfer function $\Psi(\omega)$, $\tau_1$, $\tau_2$, $\tau_3$ may be solved as  

$$\tau_1^{-1}, \tau_2^{-1} = \frac{\Omega}{2} \left( 1 \pm \sqrt{1 - \frac{4 e_{\text{eph}}^{-1} e_{\text{esc}}^{-1}}{\Omega^2}} \right),$$  

(9)

with  

$$\Omega = \left( 1 + \frac{e_{\text{esc}}}{e_{\text{eph}}} \right) \tau_{\text{eph}}^{-1} + \tau_{\text{esc}}^{-1},$$  

(10)

and  

$$\tau_3^{-1} = \frac{e_{\text{eph}}}{e_{\text{esc}}} \tau_{\text{eph}}^{-1} + \tau_{\text{esc}}^{-1}.$$  

(11)

In Fig. 1 we plot the phonon escape time and electron-phonon scattering time with the corresponding $\Psi(\omega)$ time constants as a function of electron temperature for a 6 nm NbN superconducting film.

To derive an expression for the conversion gain of the mixer, we use standard lumped element formalism to obtain the frequency selective responsivity\textsuperscript{8,21,22} of a bolometer, but with the single pole time constant replaced by the more general temperature dependent electron transfer function $\Psi(\omega)$. Included in the responsivity is a complex load impedance $Z_l$, which connects across the output port of the bolometer, and the HEB output reflection coefficient $\Gamma_m^\text{IF}$. In this manner the self-heating electrothermal feedback, due to (complex) voltage reflections between mixer and IF circuitry, may be taken into account.

$$S(\omega) = \frac{dV_l}{dP} = \frac{\alpha}{\chi l R_o + Z_l}[\Psi(\omega) + \Gamma_m^\text{IF} C].$$  

(12)

with  

$$\Gamma_m^\text{IF} = \frac{R_o - Z_l}{R_o + Z_l}.$$  

(13)

Here $\alpha$ represents the RF coupling factor, and $I$ the signal current through the load (and device). Fundamentally the bolometer responsivity of Eq. (12) remains linked to the lumped element model, and a modification is needed to properly account for the different heating efficiencies of LO and dc signal power.\textsuperscript{10} This parameter is symbolized by $\chi$ and is an inverse measure of the width of the distributed temperature profile in the bridge. At high bias power $\chi \sim 1$, whereas at low dc bias and incident LO power $\chi$ may be as large as 3. Obtained values for $\chi$ in the context of the present analyses are found in Table II. In this formalism, the direct detection (bolometric) response of the hot electron bolometer\textsuperscript{27} may be accounted for by a change in $\chi$, bias current, and $R_o$. Regardless of these adjustments, the modified NSGR hot electron bolometer responsivity remains an approximation of the physical dynamics inside the bridge area,\textsuperscript{12} albeit a good one.

Note that because the IF load impedance connected to the mixer is in general complex, it is important to use the complex responsivity, and not the absolute responsivity, $|S(\omega)|$, to reflect the true nature of the electrothermal feedback on the conversion gain, $\eta(\omega)$. To find the (complex) conversion gain of the mixer, we use the standard expression  

$$\eta(\omega) = \frac{2S(\omega)^2}{Z_l} p_{\text{LO}}.$$  

(14)

After substitution of Eq. (12), and making the assumption that most of the signal current through the device is, in fact, dc bias current, i.e., $P_{\text{dc}} = I^2 R_o$, we find after some algebraic manipulation the magnitude of the conversion gain as  

$$\eta(\omega) = \frac{2 \alpha^2 p_{\text{LO}}}{\chi^2 P_{\text{dc}}} \left| \frac{R Z_l}{(R_o + Z_l)^2} \left[ \Psi(\omega) + \Gamma_m^\text{IF} C \right] \right|^2,$$  

(15)

where $p_{\text{LO}}$ is the LO power at the device, as estimated from the isothermal technique.\textsuperscript{24,25}

III. EXPERIMENT AND CALIBRATION

In the described experiment we use a submicron twin-slot NbN HEB mixer chip (M12-F2) with a bridge area of $1 \times 0.15 \mu m^2$.\textsuperscript{2} Before processing the starting film had a $T_c$ of 9.5 K. After fabrication the critical temperature of the submicron area HEB lowered to 8.3 K. Details on device’s noise temperature, mixer gain as a function of bias, and $R-T$ curve may be found in a separate paper by Yang et al.\textsuperscript{26} To obtain the 1.3 THz (Ref. 27) LO pumped HEB IF impedance the
following procedure was used: At 4.2 K we measured the complex reflection coefficient of the mixer block IF output with a vector network analyzer (VNA). The output power of the VNA was −65 dBm, low enough not to disturb the HEB $I/V$ curve. To improve the signal to noise ratio, 64 measurements were averaged. Included in the VNA measurement is a bias tee. Next we used HFSS, a full three-dimensional (3D) finite element electromagnetic field simulator, to obtain a two port $S$-parameter model of the mixer block IF circuit, including wire bonds, via holes, and air space. Finally, to obtain the actual LO pumped HEB IF impedance, a linear circuit simulator was employed to deembed the IF circuit from the VNA measurement. Further details on the calibration method may be found at Ref. 30. Though not applied here, it is also possible to eliminate the need of a full deembedding of the HEB mixer IF circuitry by using the mixer itself as a calibration source. This can be achieved with the HEB inside the cryostat. Here we use the HEB in its superconducting state as a short, and the HEB at 20 K as a load with known impedance. Measuring the full $S_{11}$ reflection coefficient at both states enables a full calibration of the VNA, with the reference plane at the HEB bridge itself. This technique eliminates the need of a 3D electromagnetic simulation, facilitating experimental analyses.

IV. IF IMPEDANCE

In Fig. 2 we show the bias points at which reflection and mixer gain measurements in the experiment were obtained. The bias points are chosen strategically along three (over-, optimal-, and underpumped) LO levels. The measured HEB IF impedance and mixer conversion were fitted against the model using Eqs. (6)–(11) to determine the IF impedance, and Eq. (16) to obtain the mixer gain (Sec. V). It was found essential to use both the measured impedance and calibrated mixer gain data to obtain a self-consistent fit for $\tau_{eph}$, $\tau_{esc}$, and the temperature dependent $c_e/c_{ph}$ ratio.

Figure 3 represents a subset of the data presented in Ref. 30. We find that particularly in the underpumped LO situation, the HEB IF impedance demonstrates large real and reactive components. It is here that the mean electron temperature is lowest. For all bias conditions in the range of 0–3 GHz, where the mixer gain is optimal, the real and imaginary components of the IF impedance are most dynamic, and a proper match to 50 Ω is difficult. The reason for this behavior is that the effect of $\tau_1$ and $\tau_3$ in the time dependent electron temperature, $\Psi(\omega)$, is largest in this frequency range (see also Fig. 5). The electrothermal feedback, via voltage reflections of the HEB superconducting bridge, is therefore most pronounced in the IF region with optimal mixer gain.

The input parameters for the fit procedure and resulting values for the fit parameters are shown in Table I. These parameters provide interesting statistics on the material prop-
TABLE I. HEB parameters for different bias conditions. Units of $dV/dl$, $R_e$, $R_{e'}$, $C$, $C'$, $\tau_{esc}$, $\tau_{eph}$, and $c_e/c_{ph}$ are inferred from fit values of $H9270$ $T_e(\text{ph})$, and $T_e(c_e/c_{ph})$ in Kelvin. Each row has three data sets ($Z_{Re}$, $Z_{Re'}$, $G_{Re}$) which are used to obtain a self-consistent set of fit values. The first three columns ($dV/dl$, $R_e$, and $C$) are derived from the measured $I/V$ curve. The three primary fit parameters are $\tau_{esc}$, $\tau_{eph}$, and $c_e/c_{ph}$. These determine the electron temperature time dependence. For some bias and LO settings it was found that the dc resistance at the operating point ($R_j$) and self heating parameter ($C$) needed adjustment. The modified values are depicted by $R_{e'}$ and $C'$. Especially in the more extreme bias states did we find significant changes to $R_e$ and $C$. This is likely due to the lumped element nature of the NSGR model, which does not completely account for all the dynamics inside the bridge area.

<table>
<thead>
<tr>
<th>V bias</th>
<th>$dV/dl$</th>
<th>$R_e$</th>
<th>$C$</th>
<th>$R_{e'}$</th>
<th>$C'$</th>
<th>$\tau_{esc}$</th>
<th>$\tau_{eph}$</th>
<th>$c_e/c_{ph}$</th>
<th>$T_e(\text{ph})$</th>
<th>$T_e(c_e/c_{ph})$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.09 mV opt</td>
<td>42</td>
<td>7.5</td>
<td>0.69</td>
<td>10.0</td>
<td>0.55</td>
<td>45.8</td>
<td>15.8</td>
<td>0.24</td>
<td>8.6</td>
<td>8.9</td>
</tr>
<tr>
<td>0.32 mV opt</td>
<td>110</td>
<td>21.3</td>
<td>0.67</td>
<td>27.5</td>
<td>0.62</td>
<td>65.4</td>
<td>12.7</td>
<td>0.22</td>
<td>9.9</td>
<td>9.3</td>
</tr>
<tr>
<td>0.53 mV opt</td>
<td>167</td>
<td>31.2</td>
<td>0.68</td>
<td>37.0</td>
<td>0.61</td>
<td>72.0</td>
<td>12.3</td>
<td>0.18</td>
<td>10.2</td>
<td>10.3</td>
</tr>
<tr>
<td>1.17 mV opt</td>
<td>168</td>
<td>58.5</td>
<td>0.48</td>
<td>58.5</td>
<td>0.48</td>
<td>65.8</td>
<td>10.3</td>
<td>0.15</td>
<td>11.3</td>
<td>11.4</td>
</tr>
<tr>
<td>2.14 mV opt</td>
<td>169</td>
<td>82.3</td>
<td>0.34</td>
<td>82.3</td>
<td>0.34</td>
<td>58.4</td>
<td>7.3</td>
<td>0.10</td>
<td>13.9</td>
<td>13.7</td>
</tr>
<tr>
<td>20.0 mV opt</td>
<td>150</td>
<td>140</td>
<td>0.034</td>
<td>40</td>
<td>0.033</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
<td>…</td>
</tr>
<tr>
<td>1.06 mV under</td>
<td>600</td>
<td>42.4</td>
<td>0.87</td>
<td>52.4</td>
<td>0.77</td>
<td>65.2</td>
<td>14.9</td>
<td>0.24</td>
<td>9.0</td>
<td>8.9</td>
</tr>
<tr>
<td>2.00 mV under</td>
<td>230</td>
<td>71.4</td>
<td>0.53</td>
<td>66.0</td>
<td>0.33</td>
<td>68.2</td>
<td>9.1</td>
<td>0.13</td>
<td>12.2</td>
<td>12.3</td>
</tr>
<tr>
<td>0.52 mV over</td>
<td>80</td>
<td>52.0</td>
<td>0.21</td>
<td>70.0</td>
<td>0.55</td>
<td>70.2</td>
<td>10.0</td>
<td>0.15</td>
<td>11.3</td>
<td>11.4</td>
</tr>
<tr>
<td>1.39 mV over</td>
<td>127</td>
<td>77.2</td>
<td>0.24</td>
<td>50.0</td>
<td>0.24</td>
<td>65.4</td>
<td>7.7</td>
<td>0.11</td>
<td>13.5</td>
<td>13.4</td>
</tr>
</tbody>
</table>

V. MIXER CONVERSION GAIN AND THE EFFECT OF ELECTROTHERMAL FEEDBACK

To properly model the HEB mixer conversion gain, the effect of voltage reflections on the electron temperature and subsequent mixing efficiency $(dR/dT)$ will need to be taken into account. This is important as voltage reflections at the IF port cause, via a self-heating electrothermal feedback mechanism, fluctuations in the mixer gain.

From experience it is known that there are some discrepancies between measurement and theory with existing HEB mixer models. One of these is due to an oversimplification of the IF impedance presented to the hot electron bolometer mixer, $15,8,10,14$. In nearly all instances, the IF impedance used in the electrothermal feedback formulism is assumed real. In actuality the IF impedance presented to the active device is both complex and frequency dependent. Because, as part of the deembedding exercise, an accurate $3D$ EM model $28$ of the IF embedding circuit inclusive of discontinuities and wire bonds was developed, it can now also be used to accurately predict the IF impedance presented to the HEB mixer chip. With this information we are able to calculate $\Gamma_{IF}$ and $[R_eZ_l/(R_e+Z_l)]$ in Eq. (15). A second problem with the traditional (idealized) mixer gain calculations is that it does not include a mechanism to account for parasitic device reactance. These can, for example, be introduced in the HEB mixer stripline circuitry, contact pads, and capacitance across the bridge. It is, however, also possible that it is related to an incomplete model of the HEB mixer. Since parasitic device reactance is not taken into account in the “idealized” responsivity formulism of Eq. (12), it may be advisable to include them. We find experimentally that the addition of a $10$ GHz ($\tau=15.8$ ps) fixed frequency pole to Eq. (15) helps to improve the high frequency accuracy of the modeled conversion gain. At low IF’s where the vast majority, if not all, of HEB’s operate the addition of an added pole to $\eta(\omega)$ and $Z(\omega)$ is of little consequence.

A final issue that needs addressing is the need for an efficiency factor. It is known, for example, that the hot electron bolometer mixer conversion gain and LO pumped $I/V$ curves are RF dependent. This is understood to be due to the heating efficiency of the “hot” electrons and the distributed temperature profile in the bridge ($\chi$, Sec. II). The HEB mixer gain modified for device parasitics and heating efficiency may thus be rewritten as

$$\eta(\omega) = \frac{2\alpha^2 P_{LO}}{\chi^2 P_{dc}} \left| \frac{1}{(1+i\omega \tau_p)^2} \left( R_eZ_l \left[ \Psi(\omega) + \Gamma_{IF}C \right] \right) \right|^2,$$

(16)

where $\tau_p=15.8$ ps. Note that $\tau_p$ is device and application dependent. $\alpha$, the optical coupling factor, is estimated to be $0.66 (-1.8$ dB). In Fig. 4 we show the measured and modeled mixer gain for three different biases and LO pump conditions. Fit parameters for the entire data set are shown in Tables I and II. Based on these results, Eq. (16) is seen to accurately describe both the amplitude and frequency dependence of the HEB mixer conversion gain.
The effect of electro-thermal feedback is taken into account by means of the (modeled) complex IF load impedance. Details in Tables I and II.

Some observations can be made: First, to minimize receiver noise temperature modulation across the IF operating bandwidth, one has to carefully consider ways to minimize the complex part of $Z_l$ at the superconducting bridge such that $\Gamma_{IF}$ is frequency independent. Second, setting $Z_l=\dot{R}_c$, such that $\Gamma_{IF}^{-1}$ not only minimizes the frequency dependent modulation of $\eta(\omega)$ but also maximizes the mixer gain. To do so in practice, it is desirable to terminate the reflected noise wave by means of a balanced amplifier or isolator between the mixer unit and the first low noise amplifier. It also requires a good understanding of the IF circuit (matching network and bias tee) including wire bonds that connect the HEB mixer chip.

To better understand how the time dependent electron transfer function and the parasitic device capacitance determine the HEB gain bandwidth and overall slope, we plot in Fig. 5 $\Psi(\omega)^{-1}$, and the transfer functions $(1+i\omega\tau_1)^{-1}$, $(1+i\omega\tau_2)^{-1}$, $(1+i\omega\tau_3)^{-1}$ at 0.53 mV bias and optimal LO signal level. Here $\tau_3$ (4.55 GHz) is seen to slightly compensate $\tau_1$ (1.83 GHz), whereas $\tau_2$ (15.8 GHz) enhances the effect of $\tau_1$, though to a very small extent. Adding $\tau_p$ to take into account residual device parasitics, we effectively synthesize a 2.20 GHz pole in $\eta(\omega)$ as indicated in Eq. (16). This is also depicted by $\Psi_{NSGR}$ in Table II. As may be seen from Table II, the IF bandwidth is bias and LO power dependent. By biasing the HEB mixer at a higher bias voltage (electron temperature), IF bandwidth and conversion efficiency may to some extent be traded off. This effect is in good agreement with results from literature.4,6,34,35

### VI. INCREASING THE IF BANDWIDTH OF HOT ELECTRON BOLOMETERS

For many radio-astronomy and atmospheric science applications the 2–3 GHz IF bandwidth reported here would be too small. Since $\Psi(\omega)^{-2} \propto \eta(\omega)$, it is meaningful to study the time dependent electron temperature to gain insight into ways in which the HEB mixer IF bandwidth may be enhanced. A close inspection of Eqs. (10) and (11), as shown in Fig. 6, indicates that a rise in the electron and phonon temperature results in a faster response time and therefore an improved gain bandwidth. The physical explanation is that with increasing temperature the phonon specific heat ($c_{ph}$) increases faster than the electron specific heat ($c_e$). Phonons are thus seen to act as an important intermediate heat bath between the electron gas and substrate. Note that for thinner films this effect is enhanced. Because thin films of NbN can have different critical temperatures depending on deposition conditions and thickness, it is important that both the critical temperature and thickness of the film be optimized. As a corollary, use of higher $T_c$ materials with strong electron-phonon interaction and a short phonon escape time should also be of benefit. Thus by reducing the film thickness one can increase the IF bandwidth, while for a given thickness an increased $T_c$ will also result in an increased bandwidth (Fig. 6).

### Table II. Mixer gain parameters. $\Psi_{NSGR}$ is the modeled $-3$ dB gain bandwidth (GHz), and $\nu_{expt}$, the experimentally obtained $-3$ dB gain bandwidth. $\chi$ describes the ratio of LO power to dc power heating efficiency, $P_{LO}$ in mW, and the LO frequency 1.3 THz (Ref. 27).

<table>
<thead>
<tr>
<th>$V_{bias}$</th>
<th>$\chi$</th>
<th>$\Psi_{NSGR}$</th>
<th>$\nu_{expt}$</th>
<th>$P_{LO}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.09 mV opt</td>
<td>2.632</td>
<td>2.10</td>
<td>1.8</td>
<td>55</td>
</tr>
<tr>
<td>0.32 mV opt</td>
<td>1.632</td>
<td>1.95</td>
<td>2.0</td>
<td>55</td>
</tr>
<tr>
<td>0.53 mV opt</td>
<td>1.365</td>
<td>2.20</td>
<td>2.3</td>
<td>55</td>
</tr>
<tr>
<td>1.17 mV opt</td>
<td>1.118</td>
<td>3.00</td>
<td>3.2</td>
<td>55</td>
</tr>
<tr>
<td>2.14 mV opt</td>
<td>0.978</td>
<td>3.80</td>
<td>4.0</td>
<td>55</td>
</tr>
<tr>
<td>20.0 mV opt</td>
<td>...</td>
<td>...</td>
<td>...</td>
<td>...</td>
</tr>
<tr>
<td>1.06 mV under</td>
<td>1.114</td>
<td>2.40</td>
<td>2.4</td>
<td>29</td>
</tr>
<tr>
<td>2.00 mV under</td>
<td>0.854</td>
<td>3.15</td>
<td>3.4</td>
<td>29</td>
</tr>
<tr>
<td>0.52 mV over</td>
<td>2.623</td>
<td>2.95</td>
<td>3.0</td>
<td>72</td>
</tr>
<tr>
<td>1.39 mV over</td>
<td>1.379</td>
<td>3.00</td>
<td>3.3</td>
<td>72</td>
</tr>
</tbody>
</table>
The temperature dependence in Fig. 6 is derived under the assumption that $T_e \sim T_{ph}$. To estimate the difference between $T_e$ and $T_{ph}$ for actual operating conditions, $T_e$ and $T_{ph}$ were calculated, using Eqs. (1) and (2). Under these conditions $T_{ph}$ is approximately $0.8T_e$, which in view of the small difference suggests that the Perrin-Vanneste two temperature model is applicable to the hot electron bolometers under discussion. In the case of the homogeneous model, the $T_e$ of the film is thus found to be a measure of the electron temperature. However, there is a distributed temperature profile in HEB mixers, which inevitably leads to deviations from the uniform temperature calculations of Perrin-Vanneste. The temperature in the center of the HEB bridge, depending on, for example, the interface transparency of the contacts and the operating condition, can in general exceed the critical temperature of the film (Table I). It may therefore be argued that the IF bandwidth follows the $T_e$ dependence as shown in Fig. 6, with possibly an enhanced bandwidth as a result of higher electron temperature due to device size, interface contact transparency, high bias, or overpumped LO. The by the co-authors reported IF bandwidth measurement of 6 GHz (Ref. 34) was performed on a much larger area device ($4 \times 0.4 \, \mu m^2$), with clean contacts that is not necessarily the same as the device under discussion. Although not fully understood, the result remains within the theoretical possibility of the presented analyses.

VII. CONCLUSION

A deembedding technique is demonstrated to obtain the IF impedance of a small area (0.15 $\mu m^2$) phonon-cooled HEB under a variety of bias and LO pump level conditions. In the same setup the HEB mixer conversion gain has, at an LO frequency of 1.3 THz, been measured in a 2.5–9 GHz IF bandwidth.

To understand the observations, we have successfully modeled the HEB IF impedance and mixer conversion gain based on a two temperature electron cooling model by Perrin-Vanneste and expanded upon by Nebosis et al. Good agreement in both amplitude and frequency between model and theory is obtained, and we are able to extract from the NSGR model values for the electron-phonon interaction time $\tau_{eph}$, the phonon escape time $\tau_{esc}$, and the ratio of the electron and phonon specific heat capacity $c_e/c_{ph}$. Indirectly, using published temperature and thickness relationships for NbN, we are able to infer the effective electron temperature of the bridge as a function of bias, LO pump level, and the thickness of the NbN film (6 nm for the device in this experiment). As the electron temperature of the bridge varies, the electron transfer time changes, influencing the IF impedance and mixer gain bandwidth. Because the phonon and electron heat capacity ratio for NbN is a strong function of temperature, it is found that along with a reduction in film thickness it is also important to maximize the critical temperature of the film. Using the NSGR model we are able to infer a maximum achievable IF bandwidth of NbN film HEB’s of ~5.5–6 GHz.

Finally, by using the complex IF impedance presented to the HEB chip we are able to demonstrate the effect of electrothermal feedback on the mixer gain. Flat mixer gain (receiver noise temperature) within IF band may only be achieved if the variance of the complex load impedance presented to the HEB mixing chip is small compared to the hot electron bolometer dc resistance at its operating point. Mixer gain is maximized when both the load impedances presented to the HEB device is real, close to the dc resistance of the device, and the power exchange function $\chi$ close to unity. Thus, using the modified NSGR model with a knowledge of the IF load impedance presented to the HEB mixer and a measured (LO pumped) $I/V$ curve, expressions for the impedance and mixer gain of thin NbN films may now be derived.

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In Ref. 24 it was found that the isothermal technique is an adequate method of estimating the LO power needed to pump a HEB mixer. It was also found that designing an optical coupling scheme that is capable of matching the highly divergent beam from the silicon lens antenna with more than 50% of efficiency is challenging.