Markers of breast cancer stromal fibroblasts in the primary tumour site associated with lymph node metastasis: a systematic review including our case series

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Synopsis

CAFs (cancer-associated fibroblasts), the most abundant cell type in breast cancer stroma, produce a plethora of chemokines, growth factors and ECM (extracellular matrix) proteins, that may contribute to dissemination and metastasis. Axillary nodes are the first metastatic site in breast cancer; however, to the present date, there is no consensus of which specific proteins, synthesized by CAFs, might be related with lymph node involvement. The purpose of this study was to perform a systematic review of CAF biomarkers associated with the presence of regional metastasis. PubMed was searched using the words: ‘breast cancer’ and ‘lymph node’ and fibroblast or stroma or microenvironment. After exclusions, eight studies evaluating biomarkers immunoexpression in CAFs and lymph node status were selected. Biomarkers evaluated in these studies may be divided in two groups, according to their ontology; extracellular matrix components [MMP13 (matrix metalloproteinase 13), TIMP2 (tissue inhibitor of metalloproteinases-2), THBS1 (thrombospondin 1), LGALS1 (lectin, galactoside-binding, soluble, 1)] and response to wounding [PDPN (podoplanin), PLAU (plasminogen activator, urokinase), PLAUR (plasminogen activator, urokinase receptor), CAV1 (caveolin 1), THBS1, LGALS1]. A positive expression of MMP13 and LGALS1 in CAFs was associated with enhanced OR (odds ratio) for regional metastasis. Contrariwise, CAV1 positive staining of fibroblasts was associated with decreased OR for nodal involvement. Expression of MMP13, PDPN and CAV1 was further tested in a new series of 65 samples of invasive ductal breast carcinomas by immunohistochemistry and no association between biomarkers expression in CAFs and nodal status was found. It was suggested that breast cancer subtypes may differentially affect CAFs behaviour. It would be interesting to evaluate the prognostic significance of these biomarkers in CAFs from different tumour types.

Key words: breast cancer, cancer-associated fibroblasts, caveolin 1, lymph node metastasis, MMP13, podoplanin

INTRODUCTION

There is increasing evidence that breast cancer behaviour reflects an interconnection between the malignant epithelial compartment and the surrounding microenvironment.

In contrast with normal physiological stroma, which maintains epithelial cell polarity and inhibits epithelial cell growth and transformation, tumour stroma may stimulate the whole tumour development process [1]. In fact, presence of stromal desmoplastic reaction and stroma-rich tumours were both significantly

Abbreviations used: CAFs, cancer-associated fibroblasts; CAV1, caveolin-1; ECM, extracellular matrix; HG3, histological grade 3; LGALS1, lectin, galactoside-binding, soluble, 1; MMP13, matrix metalloproteinase 13; OR, odds ratio; PDPN, podoplanin; PLAU, plasminogen activator, urokinase; PLAUR, plasminogen activator, urokinase receptor; SI, staining index; THBS1, thrombospondin 1; TIMP2, tissue inhibitor of metalloproteinases-2; TMA, tissue microarray assembly; uPA, urokinase-type plasminogen activator.

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associated with worse prognosis in breast cancer [2, 3]. In addition, a recent study classifying tumour stroma found that collagen and fibroblast dominant groups were associated with poor outcome [4].

Fibroblasts [also called CAFs (cancer-associated fibroblasts)], the most abundant cell type in breast cancer stroma, produce a plethora of chemokines, growth factors and ECM (extracellular matrix) proteins, all of which may contribute to increased dissemination and metastasis [5].

Although no major morphologic differences are recognized between CAFs and their normal counterparts, i.e. fibroblasts from the matched adjacent uninvolved mammary tissue or reduction mammoplasties, microarray and proteomic analysis revealed distinct mRNAs and protein expression profiles between them [6–11].

In co-culture assays it was shown that CAFs may induce epithelial cell proliferation and migration [12–15] and in animal models it was reported that activated fibroblasts may act as cofactors in cell transformation, promoting tumour development from probably initiated, but still apparently normal mammary cells [16]. In addition, a dynamic bidirectional signalling between CAFs and breast cancer cells was detected, indicating that the former may influence the transcriptional profile of breast cancer cells and vice versa, the latter may affect the gene signature of CAFs [14, 17].

It was reported that gene expression patterns from tumour stromal cells may be correlated with disease-free survival in breast cancer [7]. However, only a few works have directly examined stromal cells signature through microdissected samples [7, 18], whereas others have used different models as fibroblasts primary culture [10], tumour samples with differential contents of stroma tissue [19] or a panel of previously identified ECM-related genes [20], to estimate the influential effect of stroma in breast cancer behaviour.

Axillary nodes status is one of the established prognostic factors in breast cancer. However, to the present date, there is no consensus of which specific proteins, synthesized by CAFs, might be related with lymph node involvement. To address this issue a systematic review of studies evaluating CAF biomarkers associated with the presence of regional metastasis was undertaken and selected markers were further analysed in samples from a group of patients with breast cancer with involved or uninvolved nodes by immunohistochemistry.

MATERIALS AND METHODS

Search strategy
PubMed was searched by two reviewers (SM and MLHK or RAR) for relevant trials using the following words: ‘breast cancer’ and ‘lymph node’ and fibroblast or stroma or microenvironment. Electronic search was performed on March 4, 2013.

Inclusion criteria
Studies presenting data on specific biomarker in CAFs or stromal cells in the primary tumour and involvement of lymph nodes were included. Studies evaluating the stroma compartment without a specific reference to each cellular element were excluded, as well as studies employing mRNA analysis by RT–PCR or microarray without microdissection. At first, we did not exclude studies evaluating nodal status and stromal cells gene expression profiles, however, as tumour samples were not microdissected and results were based on indirect analysis, these works were then excluded. Studies evaluating fibroblasts for loss of heterozygosis and point mutations, studies in cell culture or animal models or other diseases than breast cancer and studies without reference to the nodal status of patients were also excluded. Only studies in English language with an available abstract through Pubmed were included (figure 1).

Seven reviews were identified, three of which, written before 1997, were not available as a full-text paper [21–23]. The other four reviewed pathways in breast cancer development: RANK–RANKL system, CCN6 and TGFβ (transforming growth factor β) or mainly dealt with immune system cells and were not further analysed [24–27]. All the cited references were checked. No systematic reviews were identified.

Patients
Breast cancer samples from 65 patients undergoing breast surgery at Hospital Samuel Libânio, in Pouso Alegre, MG, Brasil from 1997 to 2005, were retrospectively analysed. This study was approved by the Institutional Ethics Committee of Hospital Samuel Libânio (no. 1119/09). Mean age of patients was 57.78 ± 13.9 years (range: 23–84 years). All patients were diagnosed with IDCs (invasive ductal carcinomas), 54% of whom, presenting involved axillary nodes, and 24.6, 40.0 and 32.9% with disease clinical stages I, II or III, respectively (two unknown), ER (oestrogen receptor), PR (progesterone receptor) and HG3 (histological grade 3) were detected in 58.7, 50 and 40% of the samples, respectively.

TMA (tissue microarray assembly)
Paraffin blocks of the primary tumours were reviewed for the selection of a representative area of tumour stroma, which was then collected using a Manual Tissue Arrayer-1 (Beecher Instruments). Samples were orderly arranged in a grid and the first core was represented by a fragment of normal liver used as a reference. Samples of 3 μm were then placed on slides using special adhesives (Instrumedics Inc.). To obtain two levels of the same samples on the TMA, two sets of tumour triplets were assembled on the slides with a gap of 40 cuts between them. A second TMA was assembled containing mainly cancer cells.

Immunohistochemistry
Immunohistochemistry reactions were analysed using optical microscope Nikon Eclipse E200 (Nikon Instruments), magnification: 400×, aperture of the objective lenses: 40×/0.65 (∞/0.17-WD0.65) and temperature: 20°C/68°F equipped with Motic
1000 camera). The images were acquired by Motic Images Plus 2.0. Tissue sections were deparaffinized in xylene and rehydrated with graded ethanol. Antigen retrieval was performed using a pressure cooker in citrate buffer pH 6.0, followed by endogenous peroxidase blocking with hydrogen peroxidase solution (3%). Sections were then incubated with primary monoclonal antibodies: MMP13 (matrix metalloproteinase 13) (1:150, diluted Clone VIIIA2 from Lab Vision Corporation), CAV1 (caveolin-1; 1:800, from Cell Signaling Technology) and PDPN (podoplanin; clone D2–40, from Signet Laboratories). After primary antibody incubation and primary blocking a polymer-peroxidase (Novolink, Novocastra, Leika Biosystems) amplification step was done. Antigen detection was carried out in a solution containing 3,3-diaminobenzidine (Sigma) and 6% (v/v) H2O2. Counterstaining was performed with Harris haematoxylin (Merck).

A three-grade system was used to evaluate PDPN and CAV1 expression in stromal cells. CAV1 staining in stromal cells was graded as follows: negative expression (−), ≤ 5%; low expression (+), 5–50%; and high expression (++), >50%. PDPN was evaluated as: negative expression (−), ≤ 10%; low expression (+), 10–50%; and high expression (++), >50% [28,29]. Both the intensity and percentage of positivity were assessed to define the expression of MMP13. No brown particle staining: 0; light brown particle in cytoplasm: 1; moderate brown particle: 2; and dark brown particle: 3. The percentage of positive cells was classified into four groups. 1: <25%; 2: 25–50%; 3: 51–75% and 4, >75% positive cells. The SI (staining index), the sum of the intensity and the percentage of positive staining, was used to define high (SI ≥ 6) or low (SI ≤ 6) expression of MMP13 [30].

**Statistical analysis**

Pearson χ² and OR (odds ratio) were calculated using SPSS 11.0 version software (SPSS), and P value <0.05 was considered significant.

**RESULTS**

The aim of this study was to address the following question: Does the expression of stromal cell biomarkers increase the risk of presenting lymph node metastasis in breast cancer?

To accomplish this aim a comprehensive literature search for all studies addressing the relationship between biomarker expression in stromal cells and lymph node involvement was conducted (Figure 1).

At first, 297 titles were retrieved from which, six fulfilling the inclusion criteria. Two manuscripts [31,32] were subsequently added by MMB after reviewing her archives, one of them, a reference in one of the 78 full texts analysed [33]. Hence, eight manuscripts were reviewed. Most exclusions were taken for absence of lymph node data, exclusive analysis in node positive or negative disease; analysis of the stroma compartment but not...
specifically of the stromal cells. In total, 1408 patients were analysed and their clinical characteristics appear in Table 1.

Biomarkers evaluated in the reviewed studies may be divided into two groups, according to their ontology: extracellular matrix components [MMP13, TIMP2 (metalloproteinase inhibitor 2), THBS1 (thrombospondin 1), LGALS1 (lectin, galactoside-binding, soluble, 1)] and response to wounding [PDPN, PLAU (plasminogen activator, urokinase), PLAUR (plasminogen activator, urokinase receptor), CAV1, LGALS1 and THBS1].

In the first group, positive expression of MMP13 and LGALS1 in CAFs was associated with enhanced OR for regional metastasis [30, 34]. Contrariwise, positive expression of THBS1 showed a trend towards decreased OR for nodal involvement and TIMP2 expression was not associated with lymph node metastasis [35,36]. A combined evaluation of the expression of these extracellular matrix components (MMP13, TIMP2, LGALS1 and THBS1) in CAFs was now performed and found to be associated with an increased OR for involved nodes (table 2).

In the group of biomarkers involved in response to wounding (PDPN, PLAU, PLAUR, CAV1, THBS1 and LGALS1), two studies evaluated PDPN expression in CAFs, with contrasting results: one of them showed an increased and the other one a decreased OR for lymph node involvement [28, 32]. In addition, one study evaluated the expression of three proteins, PLAU, PLAUR and PAI1 (plasminogen activator inhibitor-1), in CAFs from the same tumour samples, but found no association with lymph node metastasis [31]. In another work, CAV1 positive staining of fibroblasts was associated with decreased OR for axillary involvement [37]. A combined evaluation of expression of response to wounding biomarkers was not associated with differential OR for involved nodes, as shown in Table 2.

After the systematic review, three biomarkers found associated with nodal metastasis, MMP13, PDPN and CAV1, were selected for further evaluation in a group of 65 patients with involved or uninvolved nodes (56 and 44 % of them, respectively). Expression analysis was determined in stroma-rich areas by immunohistochemistry reactions in TMA, as shown in Figure 2.

Metalloproteinase 13 expression was detected as a cytoplasmic staining in both CAFs, as well as malignant cells, in all tumour samples, except one. In CAFs, MMP13 strong expression evaluated as staining index \( \geq 6 \) was observed in 63.9 % of the samples (39/61). PDPN expression was also detected as a cytoplasmic staining in 73 % of CAFs (46/63). It was also observed in malignant cells in two tumour samples. Caveolin expression was detected on the membrane and/or cytoplasm of CAFs, exclusively (but not in malignant cells). In addition, caveolin expression was detected in at least 50 % of CAFs in 42.6 % of the samples (26/61).

In the present work no association between expression of these three proteins (MMP13, PDPN and CAV1) and lymph node involvement was found (table 2).

A metanalysis including present and previous results indicate that PDPN expression in CAFs is not associated with node involvement while MMP13 and CAV1 high expression are

<table>
<thead>
<tr>
<th>Author</th>
<th>Biomarkers</th>
<th>City, Country</th>
<th>Sample size (n)</th>
<th>Age (years)</th>
<th>IDC (%)</th>
<th>HG3 (%)</th>
<th>N+ (%)</th>
<th>ER+ (%)</th>
<th>PR+ (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Zhang et al. [30]</td>
<td>MMP13</td>
<td>Tianjin, China</td>
<td>n=263, Median: 50.3</td>
<td>100</td>
<td>100</td>
<td>62</td>
<td>51</td>
<td>62</td>
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</tr>
<tr>
<td>Nakopoulou et al. [36]</td>
<td>TIMP2</td>
<td>Athens, Greece</td>
<td>n=136, Mean: 57.09 (25–87)</td>
<td>79</td>
<td>58</td>
<td>66</td>
<td>66</td>
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<tr>
<td>Ioachim et al. [35]</td>
<td>THBS1</td>
<td>Ioannina, Greece</td>
<td>n=124</td>
<td>39</td>
<td>66</td>
<td>68</td>
<td>60</td>
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<tr>
<td>Jung et al. [34]</td>
<td>LGALS1</td>
<td>Jinju, Korea</td>
<td>n=105</td>
<td>41</td>
<td>61</td>
<td>87</td>
<td>40</td>
<td>87</td>
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<tr>
<td>Schoppmann et al. [32]</td>
<td>PDPN</td>
<td>Vienna, Austrian</td>
<td>n=387, Mean: 61</td>
<td>61</td>
<td>56</td>
<td>70</td>
<td>65</td>
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<tr>
<td>Pula et al. [28]</td>
<td>PDPN</td>
<td>Wroclaw, Poland</td>
<td>n=117, Mean: 56.6 (30–83)</td>
<td>100</td>
<td>40</td>
<td>34</td>
<td>79</td>
<td>65</td>
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<tr>
<td>Dublin et al. [31]</td>
<td>PLAU, PLAUR, PAI1</td>
<td>U.K.</td>
<td>n=142, Median: 56 (29–86)</td>
<td>62</td>
<td>34</td>
<td>46</td>
<td>70</td>
<td>56</td>
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<tr>
<td>Witkiewicz et al. [37]</td>
<td>CAV1</td>
<td>Michigan, U.S.A.</td>
<td>n=154, Median: 59.5 (28–96)</td>
<td>46</td>
<td>54</td>
<td>39</td>
<td>65</td>
<td>50</td>
<td></td>
</tr>
<tr>
<td>Present Series</td>
<td>MMP13, PDPN, CAV1</td>
<td>Pouso Alegre, Brazil</td>
<td>n=65, Mean: 57.7 (23–84)</td>
<td>100</td>
<td>40</td>
<td>34</td>
<td>79</td>
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Table 1: Clinical and tumour characteristics. IDC, invasive ductal carcinoma; HG3, histological grade 3; N+, positive node.
associated with increased and decreased OR, respectively, for axillary involvement (table 2).

**DISCUSSION**

Some studies have pointed out that a reactive stroma may negatively affect disease outcome in breast cancer [7, 20]. However, tumour microenvironment reflects stromal cells behavior and extracellular matrix content, which is determined by both stromal and cancer cells. Our aim was to evaluate which biomarkers expressed by stromal cells might be associated with the risk of positive nodes in breast cancer.

Two studies have previously directly analysed microdissected stromal cells from breast cancer samples describing stromal signatures predictive of poor outcome, but none of them specifically reported nodal involvement [7, 18]. One of these works described a reactive stroma profile, characterized by an increased expression of genes encoding ECM components, proteolytic enzymes and adhesion receptors [18]. In addition, some other stromal signatures indirectly identified, i.e., not from microdissected stromal cells, were also shown to have prognostic value [19, 20, 38].

As tumour metastasis involves a series of steps, including a reactive stroma, partly secreted by stromal cells, a relative high number of manuscripts were expected in this systematic review. However, after exclusions, eight studies were retrieved, all performed in tissue samples examined by immunohistochemistry. In these works, protein expression was specifically described in CAFs or stromal cells.

The studies identified in the present review, mainly focused on classical markers synthesized by stromal cells, as extracellular matrix components (MMP13, TIMP2, THBS1 and LGALS1) and proteins involved in response to wounding (PDLPN, PLAUR, CAV1).
Extracellular matrix signatures were previously shown to be related with tumour outcome and a variety of metagenes were described, including metalloproteases, whose expression levels were associated with breast cancer survival [18, 20, 38]. In the works reviewed in this analysis [30, 34-36], a positive expression of MMP13 and LGALS1 by stromal cells was associated with increased OR for nodal involvement, in contrast with positive THBS1 expression that showed a trend towards association with decreased OR for node positivity. A combined analysis of ECM components was performed, however, statistical significance to detect nodal involvement was not improved, probably because it involves expression of proteins with different functions and odds ratios in opposite directions.

Matrix metalloproteases are proteins involved in the breakdown of extracellular matrix. Among them, a higher immunorepression of MMP13 (collagenase 3) in stromal cells was associated with breast cancer survival [30]. In agreement, MMP13 expression by intratumoural fibroblasts was significantly associated with shorter relapse-free survival in luminal A tumours [39]. However, MMP13 was also detected in the cytoplasm of cancer cells [40] and its high immunoeexpression was also associated with poor outcome [30]. In addition, whole tumour MMP13 protein amount, analysed from tumour lysates and reflecting tumour and stromal cells, as well as ECM content, was not correlated with nodal status [41]. In accordance, MMP13 high expression in CAFs was not associated with axillary involvement in the present work. Hence, there is no consensus and it seems likely that a fine tune in MMP13 tumour content is involved in regulating nodal metastasis.

TIMPs are endogenous regulators of MMPs with contradictory activities as inhibiting MMPs and promoting tumour cell growth. In breast cancer, TIMP2 immunoeexpression may be localized in cancer as well as stromal cells, however mRNA in situ hybridization indicates a lower TIMP2 synthesis in stromal cells than in tumour cells [42]. It was shown that TIMP2 protein expression in stroma cells may be inversely correlated with tumour size; however, there was no association with lymph node involvement [36].

Galectin 1 is a beta galactoside-binding protein which may be involved in tumour progression. Galectin 1 is synthesized by cancer as well as stromal cells and its overexpression in both cell types in breast cancer samples, indistinctly, was also associated with high tumour grade, a poor prognosis marker [43]. In agreement, Galectin 1 stromal cell expression was another predictive marker of node involvement [34].

Thrombospondin (THBS1) is an antiangiogenic extracellular matrix protein that inhibits tumour growth and metastasis in animals. Although there is no consensus of its clinical relevance, there is a trend towards an inverse correlation between increased THBS1 expression in tumour stroma (evaluated as stromal area) or stromal cells and nodal positivity [35, 44]. These studies were not analysed together in the present systematic review as one of them [44] evaluated THBS1 in the stromal area but not specifically in the stroma fibroblasts.

PDPN is a type-I integral membrane glycoprotein, which expression was shown up-regulated in a number of different cancers [45]. Two studies evaluating stromal cells PDPN immunoeexpression, using equivalent cut-offs, reported contrasting results. PDPN loss of positivity in stromal cells was associated with presence of lymph node metastasis in one [32] but not in another study [28]. In the present work, PDPN expression in CAFs was not related with axillary involvement, further indicating that its relationship remains elusive.

The uPA (urokinase-type plasminogen activator/PLAU) and its main inhibitor PAI1 play key roles in tumour-associated processes and their elevated expression is correlated with negative outcomes in node negative breast cancer. However, clinical utility of these molecules as prognostic markers is limited by the use of ELISA tests for their detection in fresh or frozen tissue [46]. It is unclear whether their relative levels in the tumour stroma or in the tumour cells themselves are the most relevant to patient outcome. Using microdissected stromal and cancer cells it was shown that similar expression levels occur in both compartments and that quantity of tumour stroma in the tumour tissue specimen is not relevant [47]. However, in node negative patients, immunohistochemistry study has shown that both fibroblastic PAI1 and

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**Figure 2** Immunohistochemical staining of MMP13 (A and B), PDPN (C and D) and CAV1 (E and F) in CAFs from breast cancer samples from patients with involved (N+), and uninvolved (NO) nodes. Positive immunostaining indicated (arrows). Original magnification: MMP13: ×100, CAV1: ×400, PDPN: ×100.
diffuse stromal uPA are associated with local recurrence [48] and even though fibroblastic expression of uPA and uPAR (urokinase-type plasminogen activator receptor) and strong PAI1 expression was related to the presence of invasion or tumour size, it was not associated with node metastasis [31].

CAV1 is an important component of caveolae membranes and loss of CAV1 in the fibroblast compartment, rather than within breast cancer tumour cells was shown to be an independent predictor of lymph node metastasis and poor outcome [37]. In addition, it was reported that high levels of CAV1 in the stromal breast cancer tissue were associated with reduced frequency of nodal metastasis and improved survival [49]. These results, however, were not confirmed in the present series of IDC nor by other authors [29] who could neither verify an association between loss of stromal CAV1 and the occurrence of positive lymph nodes. Discrepancies may in part be due to tumour heterogeneity. Breast cancer is believed to be a heterogeneous disease and expression of stromal biomarkers may be connected to particular subtypes of breast carcinoma, as recently suggested [50]. Variations in expression of biomarkers in fibroblasts between different histological types was reported, demonstrating that invasive ductal breast carcinomas have high global expressions of MMPs, including MMP13, and TIMPs compared with the remaining types of breast carcinoma [51]. Furthermore, CAFs are identified by a lot of markers such as αSMA, S100A4, possibly because they originated from heterogeneous population [52], raising the possibility that specific subtypes of CAFs may be associated with different frequencies of the chosen markers.

In conclusion, only few works specifically evaluated biomarker expression in CAFs and their relation with nodal involvement. These studies were concerned in evaluating expression of extracellular matrix components and proteins involved in response to wounding, which are believed to be mainly synthesized and secreted by fibroblasts. In summary, there is some evidence that a high expression of MMP13 and PDPN may be related with increased OR for positive nodes and a positive expression of CAV1 with decreased OR for positive nodes. Breast cancer subtypes based on tumour transcriptional profile were shown to have prognostic importance. Hence, it would be interesting to analyse CAFs role in tumour aggressiveness in each specific breast carcinoma subtype, as well the relationship between stromal biomarkers and different CAF subsets.

AUTHOR CONTRIBUTION
Maria Aparecida Azevedo Koike Folgueira and M Mitzi Brentani were responsible for the study conception. Maria Lucia Hirata Katayama, Simone Maistro and Rosemire Aparecida Roela helped to compile and curate the data. Maria Aparecida Azevedo Koike Folgueira, Geetruidia H. de Bock, Maria Lucia Hirata Katayama and Simone Maistro carried out the statistical analysis and interpretation of results. Florita Gonzales Lopes Mundim and Simone Maistro performed immunohistochemistry reactions and analysis. All authors were involved in the study design, analysis and manuscript preparation.

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