Chemotherapy effectiveness and mortality prediction in surgically treated osteosarcoma dogs: A validation study

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\textbf{A R T I C L E  I N F O}

\textbf{Article history:}
Received 4 May 2015
Received in revised form 17 December 2015
Accepted 3 January 2016

\textbf{Keywords:}
Canine
Clinical prediction rule
Chemotherapy
Adjuvant
Personalized medicine
Oncology
Bone tumor

\textbf{A B S T R A C T}

Canine osteosarcoma is the most common bone cancer, and an important cause of mortality and morbidity, in large purebred dogs. Previously we constructed two multivariable models to predict a dog's 5-month or 1-year mortality risk after surgical treatment for osteosarcoma. According to the 5-month model, dogs with a relatively low risk of 5-month mortality benefited most from additional chemotherapy treatment. In the present study, we externally validated these results using an independent cohort study of 794 dogs. External performance of our prediction models showed some disagreement between observed and predicted risk, mean difference: \(-0.11\) (95% confidence interval [95% CI]-0.29; 0.08) for 5-month risk and \(-0.25\) (95% CI 0.10; 0.40) for 1-year mortality risk. After updating the intercept, agreement improved: \(-0.0064\) (95% CI-0.16; 0.16) and \(-0.002\) (95% CI-0.15; 0.15). The chemotherapy by predicted mortality risk interaction (\(P\)-value=0.01) showed that the chemotherapy compared to no chemotherapy effectiveness was modified by 5-month mortality risk: dogs with a relatively lower risk of mortality benefited most from additional chemotherapy. Chemotherapy effectiveness on 1-year mortality was not significantly modified by predicted risk (\(P\)-value=0.28). In conclusion, this external validation study confirmed that our multivariable risk prediction models can predict a patient's mortality risk and that dogs with a relatively lower risk of 5-month mortality seem to benefit most from chemotherapy.

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1. Introduction

Surgically treated dogs with appendicular osteosarcoma (OS), a malignant tumor of mesenchymal origin that produces osteoid, have a median survival time of 5 months (Brodey and Abt, 1976; Cooley and Waters, 1997; McNeill et al., 2007; Norrbin et al., 1989; Ru et al., 1998; Spodnick et al., 1992; Straw and Withrow, 1996). For the average patient, previous studies have shown that additional chemotherapy can extend median survival beyond these 5 months (Bailey et al., 2003; Chun et al., 2000, 2005; Straw et al., 1991; Vail et al., 2002).

Recently, using an Individual Patient Data Meta-Analysis (IPDMA), we constructed a multivariable prediction tool, predicting a dog’s risk of mortality at 5 months and 1 year after receiving surgical treatment for OS (Schmidt et al., 2013). This tool predicts mortality risk based on a patient’s age, weight, gender, neuter status, serum alkaline phosphatase (SALP) level, breed, and tumor location. In a nested study, we explored whether chemotherapy effectiveness differed between dogs with a different predicted risk (i.e., subgroup analysis; Schmidt et al., 2015). Results showed that chemotherapy (compared to no chemotherapy) was most effective in dogs with a relatively low predicted risk. This implies that perhaps dogs with a lower predicted risk of mortality should be...
preferentially treated with additional chemotherapy. Combining information on a dog’s mortality risk with a personalized estimate of treatment effect can aid veterinary professionals to better tailor treatment to a dog’s needs, which is relevant in terms of extending survival, decreasing healthcare costs, and increasing quality of life.

In the present study, we validate these findings using an independent cohort study collected at the Flint Animal Cancer Center at Colorado State University (Selmic et al., 2014). Specifically, we first applied our previously developed “original” prediction model to these external data and determined model performance. Second, we validated the differential chemotherapy effectiveness between dogs with different baseline mortality risks.

2. Materials and methods

The external validation of the prediction models and the chemotherapy subgroup-specific effects were evaluated using a subset of the Colorado State University cohort study (Selmic et al., 2014); data were collected based on a retrospectively review of electronic medical records. For the current analyses, dogs were eligible if they received surgical treatment (amputation or limb-spare) for OS. Because of the relatively rare occurrence, 49 dog receiving cisplatin/carboplatin, cisplatin or any other kind of (combination) chemotherapy were excluded. Patients were also excluded if they received radiation therapy (n = 133), had a zero or negative follow-up time (n = 15, measured from date of surgery to date of last contact), had an erroneous date of metastasis (after the date of death, n = 9), there was confirmed or a suspicion of metastasis at baseline (n = 16), received pamidronate (n = 9) or were small purebred dogs (n = 5). Exclusion criteria were identical to our discovery paper (Schmidt et al., 2015), with the slight difference that (to prevent small exposure categories) dogs with cisplatin or doxorubicin combination therapy, or small purebred dogs, were excluded. Data were collected based on medical records, hence routine (scintigraphy based) staging information was not always available. Additionally, we emphasize that sample size was determined in an opportunistic manner, without formal sample size calculations; because of the retrospective nature of this cohort, this did not impact patient safety.

For the 794 remaining patients, baseline data were available on age (years), weight (kg), gender (0 female, 1 male), neuter status (0 intact, 1 neutered), high serum alkaline phosphatase (SALP) defined as above 140 IU/dl, continuous monocytes count (10^9 cells/L), continuous lymphocyte counts (10^9 cells/L), breed (0 other breed, 1 Rottweiler, 2 Golden Retriever, 3 Labrador Retriever, 4 Greyhound, 5 Doberman, 6 mixed breed) and tumor location (0 other, 1 proximal humerus, 2 distal femur or proximal tibia, 3 distal radius). Additionally, we recorded whether a dog received chemotherapy (0 no chemotherapy, 1 carboplatin or 2 doxorubicin) and if it was alive at 5 months and 1 year (0 alive, 1 dead).

On average, 11% percentage of these variables were missing, the percentage missingness per variable was: 1-year mortality 6.05%, 5-month mortality 2.90%, chemotherapy 27.83%, age 0.13%, weight 0.13%, gender 0.00%, neuter status 0.00%, high SALP 9.57%, monocytes 18.89%, lymphocytes 18.89%, breed 0.00%, and tumor location 1.39%. Univariable analyses showed that missingness was dependent on observed variables (available upon request) indicating that a complete case analysis, excluding missing observations, would be biased (Groenwold et al., 2012; Rubin, 1976). Instead, we used the dependence between the missing observations and observed variables to impute missing values (Rubin, 1976) using the areglmpute algorithm from the Hmisc package version 3.14-5 (Harrell and Dupont, 2013). This algorithm was implemented using 5 burn-in iterations, predictive mean matching and 100 bootstrap samples to determine the (non) linear relationship between the continuous predictor variables and the missing values. To correct for the inherent underestimation of the variance, 15 imputed datasets were created (i.e., multiple imputation) (White and Carlin, 2010); results of the 15 imputed datasets were pooled using Rubin’s rules (Little and Rubin, 2002; Marshall et al., 2009).

2.1. Data analysis: prediction model validation

Based on the logistic regression version of our previous derived prediction model (Schmidt et al., 2013), a patient’s 5-month and 1-year risk of mortality was calculated by summing the product of the their baseline variables and the relevant coefficients (Table 1); please note that because dogs with combination doxorubicin or cisplatin therapy were excluded, the coefficients for these therapies become redundant. Formally, the predicted logit (mortality risk) was calculated using Eq. (1):

\[
\logit (\text{mortality risk}) = \logit(\hat{p}) = \hat{\beta}_0 + \sum_{j=1}^{I} \hat{\beta}_j x_{ij}
\]

Where \(i\) is the \(i\)th individual and \(j\) the \(j\)th variable presented in Table 1, \(\hat{\beta}_j\) is the natural logarithm of the odds ratio for 5-month mortality and \(x\) the variable status after surgical treatment. The predicted logit(1-year mortality risk) was estimated by replacing \(\hat{\beta}_1\) by \(\hat{\theta}_1\). Finally, the mortality risk was calculated by taking the inverse of the predicted logit (mortality risk), Table 1. Note that for ease of notation, we will often drop the “predicted” from logit (mortality risk); however unless stated otherwise this always refers to an estimate from Eq. (1).

Obviously, these calculations are only relevant for future patients if we can assume the model to be correctly specified (i.e., describe the relationship between the predictors and outcome sufficiently). To evaluate the models predictive performance in this independent validation study we calculated the c-statistic, calibration slope and calibration-in-the-large (Harrell et al., 1996). Calibration was also graphically assessed by plotting the mean observed risk per deciles on the y-axis and the predicted risk on the x-axis (i.e., a graphical representation of the Hosmer–Lemeshow goodness-of-fit test) (Harrell et al., 1996; Steyerberg, 2009; Steyerberg et al., 2010). Please, see Appendix A of Supplementary material for a description of the metrics interpretability.

Besides this simple external validation, the prediction models were corrected for any systematic difference between observed and predicted risk (i.e., calibration-in-the-large ≠ 0) by re-estimating the intercept in “Update 1” (Moons et al., 2012; Steyerberg, 2009). Such recalibration can be readily applied in clinical practice using a relatively small number of events (e.g., 30) (Steyerberg, 2009). To aid clinicians in updating the model to their local setting computer code is provided in Appendix A of Supplementary material.

2.1.1. Data analysis: estimating chemotherapy effectiveness

After determining the external performance of our prediction models (predicting 5-month and 1-year mortality risk), we assessed whether the effect of “any chemotherapy” (carboplatin or doxorubicin) compared to no chemotherapy in preventing mortality differed between patients with different predicted risks of mortality. To explore consistency, all analyses are repeated for carboplatin compared to no chemotherapy and doxorubicin compared to no chemotherapy at 5-month and 1-year mortality.

This approach to tailor chemotherapy effects was previously described in detail in Schmidt et al. (2015), which we brieﬂy repeat here. To get an estimate of the risk of mortality if the patient remained untreated with chemotherapy, we re-calculated the logit (mortality risk) by setting (possibly contrary to the fact) the chemotherapy variable to “no chemotherapy” in Eq. (1). Second, to test whether chemotherapy effects differed between patients with
different logit (mortality risks) a product term (i.e., the product of the variables chemotherapy and logit (mortality risk)) was added to a logistic regression model. This model regressed the mortality variable (5 months or 1 year) on chemotherapy, the logit (mortality risk), the product term and the potential confounders: age, weight, sex, neuter status, SALP, monocytes, lymphocytes, breed and tumor location. Significance of this product term was tested using a Wald based interaction test (Schmidt et al., 2014a). The chemotherapy effect for a patient with a specific logit(mortality risk) was calculated by adding the chemotherapy coefficient to the interaction term coefficient times the logit (mortality risk) (Schmidt et al., 2015).

To increase precision of the interaction effect, this was combined with the estimate of the previous study (Schmidt et al., 2015). Results were pooled using the inverse variance weighted estimator and between study heterogeneity was tested using the Q-statistic (Higgins and Thompson, 2002; Schmidt et al., 2014a).

### 2.1.2. Data analysis: sensitivity analyses

To explore the robustness of our approach the following three sensitivity analyses were performed:

<table>
<thead>
<tr>
<th>Variables</th>
<th>Regression coefficients at 5 months</th>
<th>Regression coefficients at 1 year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intercept</td>
<td>( \hat{\beta}_0 = -1.2379 )</td>
<td>( \hat{\beta}_0 = -0.4634 )</td>
</tr>
</tbody>
</table>

- **Chemotherapy**
  - No chemotherapy: \( \hat{\beta}_1 = -0.0000 \)
  - Cisplatin: \( \hat{\beta}_2 = -0.5108 \)
  - Lobaplatin, carboplatin: \( \hat{\beta}_3 = -0.5276 \)
  - Doxorubicin: \( \hat{\beta}_4 = -0.6539 \)
  - Doxorubicin combinations: \( \hat{\beta}_5 = -0.9676 \)

- **Age (years)**: \( \hat{\beta}_6 = 0.0296 \)
- **Weight (kg)**: \( \hat{\beta}_7 = 0.0198 \)
- **Male gender**: \( \hat{\beta}_8 = -0.2357 \)
- **Neutered**: \( \hat{\beta}_9 = -0.2357 \)
- **High SALP**: \( \hat{\beta}_{10} = 0.3716 \)

- **Breed**
  - Other breed: \( \hat{\beta}_{11} = -0.0000 \)
  - Rottweiler: \( \hat{\beta}_{12} = -0.1165 \)
  - Golden Retriever: \( \hat{\beta}_{13} = -0.1508 \)
  - Labrador Retriever: \( \hat{\beta}_{14} = -0.2107 \)
  - Greyhound: \( \hat{\beta}_{15} = 0.2546 \)
  - Doberman: \( \hat{\beta}_{16} = 0.3853 \)
  - Mixed breed: \( \hat{\beta}_{17} = -0.3147 \)

- **Tumor location**
  - Other: \( \hat{\beta}_{18} = 0.0000 \)
  - Prox. Humerus: \( \hat{\beta}_{19} = 0.4318 \)
  - Dist. Femur or Prox. Tibia: \( \hat{\beta}_{20} = -0.0305 \)
  - Dist. Radius: \( \hat{\beta}_{21} = -0.3711 \)

Example patient’s predicted logit(5-month mortality risk) = \(-1.2379 + 0.0000 \cdot \) no chemotherapy(0) \(+ 0.0296 \cdot \) 7.7 years \(+ 0.0198 \cdot \) 44 kg \(+ -0.2357 \cdot \) female(0) \(+ -0.2357 \cdot \) neutered(1) \(+ 0.3716 \cdot \) high salp(1) \(+ -0.0000 \cdot \) Other breed(0) \(+ 0.0000 \cdot \) Other location(0) \(- 0.0031 \)

Example patient’s predicted 5-month mortality risk = \(1/(1 + e^{-(-0.0031)})\) \(+ 0.4992 \)

Example patient’s predicted logit(1-year mortality risk) = \(-0.4634 + 0.0000 \cdot \) no chemotherapy(0) \(+ 0.0173 \cdot \) 7.7 years \(+ 0.0127 \cdot \) 44 kg \(+ 0.0740 \cdot \) female(0) \(- -0.1690 \cdot \) neutered(1) \(+ 0.4603 \cdot \) high salp(1) \(+ -0.0000 \cdot \) Other breed(0) \(+ 0.0000 \cdot \) Other location(0) \(+ 0.0632 \)

Example patient’s 1-year mortality risk = \(1/(1 + e^{-0.0632})\) \(+ 0.6267 \)

Numbers represent natural logarithms of the odds ratios of 5 month or 1 year mortality, please see Tables 3 and 4 for the model coefficient as odds ratio’s with 95% confidence intervals. Results were adjusted for all other presented variables and a random intercept for study. These multivariable logistic regression models are variation of the cox proportional hazard models described in Schmidt et al. (2013).

To explore the robustness of our approach the following three sensitivity analyses were performed:

- **Linear regression**
  - The continuous variables monocytes, lymphocytes, logit (mortality risk) and the chemotherapy by logit (mortality risk) with the outcome at 5 months and 1 year was assessed using restricted cubic splines with 5 knots (Harrell, 2001). No significant deviations form linearity could be found. Additionally, graphically exploring linearity showed that there was some deviation for the monocytes and lymphocytes (showing a slight sinus pattern) however, these could be approximated by a linear term. Previously, the linearity of age, weight and SALP was assessed using the same approach (Schmidt et al., 2013). In this analysis, SALP was observed to be non-linearly related with the outcome, because of this non-linear relationship SALP was dichotomized.

As described above, we explored external performance of our “original” prediction models for 5-month and 1-year mortality risk, without and with updating the intercept. As previously indicated (Schmidt et al., 2013), however, performance could perhaps be improved by adding more variables or recoding variables. To explore this we added the variables monocytes and lymphocytes to the model in “Update 2”. Additionally, to compare performance of our “original” model to a model optimally tailored to the current dataset we re-estimate the entire model with addition of the monocytes variable and a recoded breed variable (mixed breed, giant purebred, large purebred and medium purebred) in “Update 3”. Finally, to determine if reducing the model might improve performance, we performed a backward selection procedure using a P-value criterion of 0.30 (“Update”). To correct for model optimism, model performance metrics for updates 1–4 were calculated in 200 bootstrap samples (Harrell et al., 1996; Steyerberg, 2009; Steyerberg et al., 2010). Model performance of updates 2–4 was
very similar to the performance of Update 1 and the external validation (see Appendix Tables 1–3).

In the current cohort study chemotherapy was not allocated randomly. Thus it is likely that dogs receiving chemotherapy had a better prognosis than dogs not receiving chemotherapy, which would bias our results. Besides adjusting for measured confounders as described above, we explored this further by repeating all analyses regarding the chemotherapy by logit(mortality risk) interaction excluding patients dying in the first 30 days (a similar analysis was conducted in Schmidt et al. (2015)), excluding 23% and 9% of the mortality events at 5-month and 1-year. Results were very similar and are presented in Appendix Table 4.

All tests were applied using a significance level of 0.05 (unless stated otherwise), estimates are presented as odds ratios (OR) with 95% confidence intervals (95% CI). Analyses were carried out using the R statistical package for windows version 3.1.1 (R Development Core Team, 2013).

3. Results

Baseline characteristics are presented in Table 2. The median follow-up time was 241 days with 50% of the follow-up being between 146 days and 472 days. During follow-up 163 dogs did not receive any chemotherapy, 172 received carboplatin, 238 doxorubicin and for 221 patients the chemotherapy regime received was not recorded. Except for higher SALP levels, dogs not receiving chemotherapy were similar to dogs receiving carboplatin or doxorubicin therapy.

3.1. Results: prediction model validation

The external validation of the original prediction model for 5 months without any updating showed a slightly better c-statistic than in the original derivation data (0.67 95% CI 0.61; 0.72). Table 3. The calibration slope (1.15 95% CI 0.77; 1.52) and the calibration-in-the-large (−0.1050 95% CI 0.00 0.08) indicated that there was slight model misspecification in tail areas and that the model systematically overestimated the risk (Fig. 1). Updating the intercept corrected the systematic overestimation; calibration-in-the-large (−0.0004 95% CI 0.00 0.00 0.00).

At 1 year the c-statistic (Table 4) was 0.62 (95% CI 0.58; 0.66), indicating that it was difficult to discriminate between patients experiencing an event and those, which remained event free. While the model misspecification in the tail areas was small (calibration slope: 0.95 95% CI 0.62; 1.28), there was considerable underestimation, indicated by a calibration-in-the-large of 0.2519 (95% CI 0.10; 0.40). Updating the intercept resulted in an almost perfect calibrated model (Fig. 1).

3.2. Results: chemotherapy by mortality risk

Given the good performance of the original models we estimated the logit(mortality risk) under no chemotherapy treatment. The median and range logit(mortality risk) under no chemotherapy were: −0.60 (−1.75; 0.85) for 5 months and 0.26 (−0.72; 2.14) for 1 year. Transformed to the risk scale this becomes: 0.35 (0.15; 0.70) and 0.56 (0.33; 0.90).

For a patient with a 0.50 predicted risk of dying at 5 months [i.e., a logit (5-month mortality) of 0.00] the effect of “any chemotherapy” compared to no chemotherapy on preventing mortality was OR 0.45 (95% CI 0.25; 0.81; Table 5). The interaction effect showed there was considerable difference between patients with a different mortality risk: OR 1.89 (95% CI 0.83; 4.33). To get a more precise estimate results were pooled with those from Schmidt et al. (2015), resulting in an interaction effect OR 2.31 (95% CI 1.18; 4.53; Table 5). While there was considerable difference in effectiveness in the current population, the majority of patients benefitted from chemotherapy (Fig. 2).

For a patient with a 0.50 risk of 1-year mortality, chemotherapy was slightly less effective in preventing mortality OR 0.57 (95% CI 0.35; 0.91; Table 5). Furthermore, the interaction effect OR of 1.26 (95% CI 0.60; 2.63) was closer to the 1.00 indicating a smaller difference in effectiveness. Combining results with those from the previous study (Schmidt et al., 2015) resulted in a non-significant interaction effect: OR 1.39 (95% CI 0.76; 2.54; Table 5). Fig. 2, indeed shows a small difference in chemotherapy effectiveness. This indicates that perhaps the interaction effect is redundant. Excluding the interaction effect resulted in a chemotherapy effect that was equal for all patients regardless of their predicted risk: OR 0.60 (95% CI 0.39; 0.92).

Overall, the effects of any chemotherapy against no chemotherapy were comparable to the effects of carboplatin or doxorubicin against no chemotherapy (Table 5). The heterogeneity between the current study and the results from (Schmidt et al., 2013) were non-significant (available upon request).

4. Discussion

In this study, we described the external validation of two multivariable models predicting 5-month and 1-year mortality risk in dogs surgically treated for osteosarcoma (OS). Additionally, we reproduced a previously reported chemotherapy by predicted risk interaction effect, indicating that a dog’s predicted risk of mortality modifies the effectiveness of chemotherapy.

Results showed that both prediction models (for 5-month and 1-year mortality risk) generalized well to the current independent dataset. For the 1-year mortality prediction model recalibration of the intercept (Update 1) was needed to correct for differences in mortality incidence. This recalibration is common when applying a prediction model to a new setting and can easily be implemented in clinical practice using the R code provided in Appendix A of Supplementary material. Compared to the previous publication (Schmidt
Table 2
Baseline characteristics of 794 canines surgically treated for osteosarcoma stratified by treatment status.

<table>
<thead>
<tr>
<th>Variables</th>
<th>No chemotherapy</th>
<th>Carboxatin</th>
<th>Doxorubicin</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N=163</td>
<td>N=172</td>
<td>N=238</td>
</tr>
<tr>
<td>Follow up in days median(Q1; Q3)</td>
<td>145.00 (35.00;539.25)</td>
<td>256.00 (166.00;539.25)</td>
<td>269.00 (165.75;539.25)</td>
</tr>
<tr>
<td>5 month mortality N (%)</td>
<td>75 (49%)</td>
<td>30 (18%)</td>
<td>46 (20%)</td>
</tr>
<tr>
<td>1 year mortality N (%)</td>
<td>116 (79%)</td>
<td>93 (62%)</td>
<td>138 (59%)</td>
</tr>
<tr>
<td>Age (years) mean (sd)</td>
<td>8.80 (2.82)</td>
<td>7.96 (2.52)</td>
<td>8.56 (2.41)</td>
</tr>
<tr>
<td>Weight (kg) mean (sd)</td>
<td>41.42 (13.47)</td>
<td>42.87 (14.38)</td>
<td>39.84 (11.51)</td>
</tr>
<tr>
<td>Male gender N (%)</td>
<td>86 (53%)</td>
<td>100 (58%)</td>
<td>129 (54%)</td>
</tr>
<tr>
<td>Neutered N (%)</td>
<td>149 (91%)</td>
<td>158 (92%)</td>
<td>227 (95%)</td>
</tr>
<tr>
<td>High SALP N (%)</td>
<td>60 (42%)</td>
<td>45 (30%)</td>
<td>64 (28%)</td>
</tr>
<tr>
<td>Monocytes (10^9/L) median(Q1; Q3)</td>
<td>0.50 (0.30;0.80)</td>
<td>0.40 (0.30;0.80)</td>
<td>0.50 (0.30;0.80)</td>
</tr>
<tr>
<td>Lymphocytes (10^9/L) median(Q1; Q3)</td>
<td>1.30 (0.80;1.70)</td>
<td>1.25 (0.92;1.70)</td>
<td>1.20 (0.90;1.70)</td>
</tr>
</tbody>
</table>

Breed
- Other breed N(%) | 52 (32%) | 73 (42%) | 60 (25%) |
- Rottweiler N(%)   | 25 (15%) | 20 (12%) | 40 (17%) |
- Golden Retriever N(%) | 16 (10%) | 15 (9%) | 28 (12%) |
- Labrador Retriever N(%) | 17 (10%) | 31 (18%) | 29 (12%) |
- Greyhound N(%)    | 4 (2%)  | 5 (3%)   | 15 (6%)   |
- Doberman N(%)     | 9 (6%)  | 8 (5%)   | 8 (3%)    |
- Mixed breed N(%)  | 40 (25%) | 20 (12%) | 58 (24%) |

Tumor location
- Other N(%) | 42 (26%) | 44 (26%) | 60 (26%) |
- Prox. Humerus N(%) | 24 (15%) | 29 (17%) | 48 (21%) |
- Dist. Femur or Prox. Tibia N(%) | 66 (41%) | 69 (40%) | 66 (28%) |
- Dist. Radius N(%) | 29 (18%) | 29 (17%) | 59 (25%) |

Serum alkaline phosphatase (SALP); N equals the number of subjects, sd equals the standard deviation, Q1 indicates quartile 1, Q3 indicates quartile 3.

Table 3
External validation and updating of a multivariable model predicting 5-month mortality in canines surgically treated for osteosarcoma*.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Original model</th>
<th>External validation</th>
<th>Update 1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Odds ratio</td>
<td>Odds ratio</td>
<td>Odds ratio</td>
</tr>
<tr>
<td></td>
<td>β</td>
<td>β</td>
<td>β</td>
</tr>
<tr>
<td>Calibration slope</td>
<td>0.77 (0.55;1.00)</td>
<td>1.15 (0.77;1.52)</td>
<td>1.15 (0.77;1.52)</td>
</tr>
<tr>
<td>Calibration in the large</td>
<td>−0.0005 (−0.13;0.13)</td>
<td>−1.050 (−0.29;0.08)</td>
<td>−0.0004 (−0.16;0.16)</td>
</tr>
<tr>
<td>AUC (c-statistic)*</td>
<td>0.63 (0.59;0.67)</td>
<td>0.67 (0.61;0.72)</td>
<td>0.67 (0.61;0.72)</td>
</tr>
<tr>
<td>Intercept</td>
<td>−1.2379</td>
<td></td>
<td>−1.3429</td>
</tr>
</tbody>
</table>

Chemotherapy
- No chemotherapy Reference 0.0000
- Cisplatin 0.60 (0.31;1.15) −0.5108
- Carboxatin 0.59 (0.32;1.10) −0.5276
- Doxorubicin 0.52 (0.29;0.95) −0.6539
- Doxorubicin combinations 0.38 (0.21;0.68) −0.9676
- Age (years) 1.03 (0.97;1.09) 0.0296
- Weight (kg) 1.02 (1.00;1.03) 0.0198
- Male gender 0.79 (0.60;1.05) −0.2357
- Neutered 0.79 (0.54;1.15) −0.2357
- High SALP 1.45 (1.08;1.95) 0.3716

Breed
- Other breed Reference 0.0000
- Rottweiler 0.89 (0.58;1.35) −0.1165
- Golden retriever 0.86 (0.53;1.39) −0.1508
- Labrador retriever 0.81 (0.48;1.37) −0.2107
- Greyhound 1.29 (0.70;2.37) 0.2546
- Doberman 1.47 (0.81;2.69) 0.3853
- Mixed breed 0.73 (0.49;1.09) −0.3147

Tumor location
- Other Reference 0.0000
- Prox. humerus 1.54 (1.05;2.25) 0.4318
- Dist. femur or prox. tibia 0.97 (0.65;1.44) −0.0305
- Dist. radius 0.69 (0.46;1.04) −0.3711

* In the external validation the original model was applied to an independent dataset without re-estimating any coefficients. In update 1 the original model was again applied to the same independent dataset only now with a re-estimated intercept coefficient. In the original publication lomabatin and carboxatin where combined, in this validation study only carboxatin was available. Results are presented as odds ratios or the natural logarithm of the odds ratio (β) with 95% confidence intervals in brackets. The calibration slope measures how well observed and predicted risk correlates in the tails and is ideally 1. Calibration-in-the large is the mean difference between observed and predicted risk on the logit scale and measures any systematic over- or underestimation.

* The c-statistics is the proportion of subjects that experienced an event and that received a higher predicted risk than subjects that did not experience an event. Also note that while it is reported in the columns (β) it is not actually on the natural logarithmic scale but is a regular proportion bounded by 0 and 1.

et al., 2013) (original model in Tables 3 and 4), the discriminative ability (c-statistic between 0.62; 0.67) of both models was similar but modest. This indicates that the models have difficulty discriminating between subjects experiencing an event and those that did
Table 4
External validation and updating of a multivariable model predicting 1-year mortality in canines surgically treated for osteosarcoma.a.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Original model</th>
<th>External validation</th>
<th>Update 1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Odds ratio</td>
<td>Odds ratio</td>
<td>Odds ratio</td>
</tr>
<tr>
<td>Calibration slope</td>
<td>0.82 (0.61;1.02)</td>
<td>0.95 (0.63;1.28)</td>
<td>0.95 (0.63;1.28)</td>
</tr>
<tr>
<td>Calibration in the large</td>
<td>–0.002 (–0.12;0.12)</td>
<td>0.2519 (0.10;0.40)</td>
<td>–0.0019 (–0.15;0.15)</td>
</tr>
<tr>
<td>AUC (c-statistic)b</td>
<td>0.64 (0.60;0.67)</td>
<td>0.62 (0.58;0.66)</td>
<td>0.62 (0.58;0.66)</td>
</tr>
<tr>
<td>Intercept</td>
<td>–0.4634</td>
<td>–0.2114</td>
<td></td>
</tr>
<tr>
<td>Chemistry</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No chemotherapy</td>
<td>Reference</td>
<td>0.0000</td>
<td></td>
</tr>
<tr>
<td>Cisplatin</td>
<td>0.86 (0.45;1.64)</td>
<td>–0.1492</td>
<td></td>
</tr>
<tr>
<td>Carboplatin</td>
<td>1.00 (0.55;1.84)</td>
<td>0.0000</td>
<td></td>
</tr>
<tr>
<td>Doxorubicin</td>
<td>1.01 (0.56;1.81)</td>
<td>0.0070</td>
<td></td>
</tr>
<tr>
<td>Doxorubicin combinations</td>
<td>0.65 (0.37;1.14)</td>
<td>–0.4326</td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td>1.02 (0.97;1.07)</td>
<td>0.0173</td>
<td></td>
</tr>
<tr>
<td>Weight (kg)</td>
<td>1.01 (1.00;1.02)</td>
<td>0.0127</td>
<td></td>
</tr>
<tr>
<td>Male gender</td>
<td>1.08 (0.83;1.39)</td>
<td>0.0740</td>
<td></td>
</tr>
<tr>
<td>Neutered</td>
<td>0.84 (0.59;1.21)</td>
<td>–0.1690</td>
<td></td>
</tr>
<tr>
<td>High SALP</td>
<td>1.58 (1.19;2.11)</td>
<td>0.4603</td>
<td></td>
</tr>
<tr>
<td>Breed</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other breed</td>
<td>Reference</td>
<td>0.0000</td>
<td></td>
</tr>
<tr>
<td>Rottweiler</td>
<td>1.18 (0.78;1.77)</td>
<td>0.1648</td>
<td></td>
</tr>
<tr>
<td>Golden Retriever</td>
<td>1.06 (0.69;1.63)</td>
<td>0.0591</td>
<td></td>
</tr>
<tr>
<td>Labrador Retriever</td>
<td>1.03 (0.64;1.66)</td>
<td>0.0282</td>
<td></td>
</tr>
<tr>
<td>Greyhound</td>
<td>0.88 (0.50;1.56)</td>
<td>–0.1241</td>
<td></td>
</tr>
<tr>
<td>Doberman</td>
<td>1.50 (0.85;2.97)</td>
<td>0.4649</td>
<td></td>
</tr>
<tr>
<td>Mixed breed</td>
<td>0.84 (0.60;1.19)</td>
<td>–0.1687</td>
<td></td>
</tr>
<tr>
<td>Tumor location</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>Reference</td>
<td>0.0000</td>
<td></td>
</tr>
<tr>
<td>Prox. Humerus</td>
<td>2.38 (1.64;3.46)</td>
<td>0.6887</td>
<td></td>
</tr>
<tr>
<td>Dist. Femur or Prox. Tibia</td>
<td>1.34 (0.95;1.91)</td>
<td>0.2960</td>
<td></td>
</tr>
<tr>
<td>Dist. Radius</td>
<td>0.79 (0.56;1.12)</td>
<td>–0.2356</td>
<td></td>
</tr>
</tbody>
</table>

a In the external validation the original model was applied to an independent dataset without re-estimating any coefficients. In update 1 the original model was again applied to the same independent dataset now with a re-estimated intercept coefficient. In the original publication lobaplatin and carboplatin where combined, in this validation study only carboplatin was available. Results are presented as odds ratios or the natural logarithm of the odds ratio (β) with 95% confidence intervals in brackets. The calibration slope measures how well observed and predicted risk correlates in the tails and is ideally 1. Calibration—in the large is the mean difference between observed and predicted risk on the logit scale and measures any systematic over- or underestimation. The c-statistics is the proportion of subjects that experienced an event and that received a higher predicted risk than subjects that did not experience an event. Also note that while it is reported in the column (β) it is not actually on the natural logarithmic scale but is a regular proportion bounded by 0 and 1
b The c-statistics is the proportion of subjects that experienced an event and that received a higher predicted risk than subjects that did not experience an event. Also note that while it is reported in the column (β) it is not actually on the natural logarithmic scale but is a regular proportion bounded by 0 and 1.

Table 5
Treatment effect modification of adjuvant chemotherapy by predicted risk on 5-month and 1-year mortality incidence in canines surgically treated for osteosarcoma.

<table>
<thead>
<tr>
<th></th>
<th>Any chemotherapy</th>
<th>Carboplatin</th>
<th>Doxorubicin</th>
</tr>
</thead>
<tbody>
<tr>
<td>5 month mortality</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Original discovery</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main treatment effect</td>
<td>0.81 (0.41;1.62)</td>
<td>0.73 (0.12;4.53)</td>
<td>0.74 (0.26;2.09)</td>
</tr>
<tr>
<td>Interaction effect</td>
<td>3.41 (1.07;10.84)</td>
<td>4.06 (0.28;59.07)</td>
<td>6.46 (0.89;46.66)</td>
</tr>
<tr>
<td>External validation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main treatment effect</td>
<td>0.45 (0.25;0.81)</td>
<td>0.49 (0.23;1.07)</td>
<td>0.42 (0.22;0.81)</td>
</tr>
<tr>
<td>Interaction effect</td>
<td>1.89 (0.83;4.33)</td>
<td>2.25 (0.68;6.98)</td>
<td>1.74 (0.67;4.55)</td>
</tr>
<tr>
<td>Pooled estimates</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main treatment effect</td>
<td>0.58 (0.37;0.90)</td>
<td>0.52 (0.26;1.06)</td>
<td>0.49 (0.28;0.86)</td>
</tr>
<tr>
<td>Interaction effect</td>
<td>2.31 (1.18;4.53)</td>
<td>2.41 (0.83;6.99)</td>
<td>2.23 (0.94;5.30)</td>
</tr>
<tr>
<td>1 year mortality</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Original discovery</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main treatment effect</td>
<td>0.56 (0.28;1.10)</td>
<td>0.85 (0.30;2.38)</td>
<td>0.58 (0.19;1.74)</td>
</tr>
<tr>
<td>Interaction effect</td>
<td>1.71 (0.60;4.89)</td>
<td>0.48 (0.09;2.49)</td>
<td>2.50 (0.53;11.02)</td>
</tr>
<tr>
<td>External validation</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main treatment effect</td>
<td>0.57 (0.35;0.91)</td>
<td>0.68 (0.38;1.23)</td>
<td>0.49 (0.30;0.82)</td>
</tr>
<tr>
<td>Interaction effect</td>
<td>1.26 (0.60;2.63)</td>
<td>1.19 (0.46;3.06)</td>
<td>1.31 (0.58;2.98)</td>
</tr>
<tr>
<td>Pooled estimates</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main treatment effect</td>
<td>0.56 (0.38;0.83)</td>
<td>0.72 (0.43;1.20)</td>
<td>0.51 (0.80;0.32)</td>
</tr>
<tr>
<td>Interaction effect</td>
<td>1.39 (0.76;2.54)</td>
<td>0.95 (0.42;2.15)</td>
<td>1.51 (0.73;3.11)</td>
</tr>
</tbody>
</table>

Results presented as odds ratios (95% confidence intervals) with no chemotherapy as the reference group. The interaction effect describes by how much the treatment effect estimates change with on unit increase in the logit(predicted risk). All models were adjusted for the covariables age, gender, weight, neuter status, SALP, breed, tumour location, monocytes and lymphocytes; no model selection was performed. The original discovery refers results from the discovery study (Schmidt et al., 2015), the external validation refers to the current study, the pooled estimates were derived using a fixed effects inverse variance pooling; there was no significant between study heterogeneity (measured by the Q-statistic).

not. In part, this was caused by the fact that most patients had a similar risk. The good calibration (agreement between observed and predicted risk) indicates that the clustering of risk is a characteristic of the patient population, not a modelling error. Given the modest discriminative ability but good calibration, these risk prediction models are perhaps best used for identifying patients at a
high- or low risk of mortality, not for indicating which patient will actually die.

The chemotherapy by predicted risk (strictly speaking the logit of the predicted risk) interaction showed that chemotherapy was more effective in preventing 5-month mortality in lower risk patients (risk cut off 0.52). Our findings imply that short term (i.e., 5 months) effectiveness of chemotherapy depends on a dogs predicted risk of 5-month mortality. Contrary to our previous study (Schmidt et al., 2015), most patients benefitted from additional chemotherapy. This difference in overall chemotherapy effectiveness was caused by a lower baseline risk in this population compared to the previous study. This also demonstrates that in the presence of a chemotherapy interaction (for 5-month mortality) the overall average effect estimate is inappropriate, instead an individualized chemotherapy effect estimate should be used (Schmidt et al., 2014b). Due to this interaction a different fraction of patients will benefit from chemotherapy depending on the population specific predicted risk distribution. If every dog in a particular population benefits from chemotherapy (e.g., because the entire population consists of low risk patients), individualizing treatments becomes less important. Potentially, however, in a setting where each dog benefits, difference in chemotherapy effectiveness may still be of interest from a health economic perspective. At 1 year, we did not find an interaction (similar to the previous study, Schmidt et al., 2015), however, due to the wide confidence interval around the interaction effect, we cannot exclude that such an interaction does exist (Schmidt et al., 2016). Based on the current evidence it seems most appropriate, however, to use the main effect of chemotherapy compared to no chemotherapy, estimated in a model without an interaction, of OR 0.60 (95% CI 0.39; 0.92) for all patients regardless of their predicted risk. Finally, as in the discovery paper (Schmidt et al., 2015), we recognize that the above described interaction tests ignore the uncertainty in the predicted logit (mortality), underestimating the variance. To adjust for this, following the same approach as in our previous paper (Schmidt et al., 2015), we bootstrapped the original dataset refitting the prediction model (2000 bootstrap sample per imputed dataset), re-estimating the predicted risk and the interaction effect. Unexpectedly this led to smaller confidence intervals than when ignoring the first stage uncertainty: interaction OR 1.89 (95% CI 1.20; 2.97) and OR 1.26 (95% CI 0.98; 1.63), for 5 months and 1-year mortality. Possibly this decrease in variance is related to the fact that bootstrapping the original prediction models increases its external performance (i.e., fits external data better), decreasing differences between observed and predicted risk (Harrell, 2001). However, as shown above, at least for the 5-months prediction model, the predicted risk already fitted the observed risk reasonably well. Clearly, this issue of how to incorporate the first stage uncertainty needs further theoretical consideration.

Our finding that chemotherapy effectiveness depends on a second variable has also been shown in other studies. An example would be the synergistic effect between immunotherapy and chemotherapy (MacEwen and Kurzman, 1996; Vail et al., 1995). To the best of our knowledge, we are the first to show that chemotherapy effectiveness may depend on multiple variables.

The current study has some important limitations. First, in the current cohort study chemotherapy was not randomly allocated. While results were adjusted for potential confounding, it seems likely that there is still remaining bias due to residual or unobserved confounding. For example, decisions on euthanasia may partially dependent on the type of chemotherapy prescribed (e.g., due to differences in side effects), and almost certainly on the decision to not use further chemotherapy. Instead of focussing on all-cause mortality (as done here), one might be tempted to focus on “naturally” occurring death and censor (using a survival model) or disregard (using logistic regression) dogs who were euthanized. However, confounding bias would likely remain and even in its absence this would introduce bias due to competing risks (Satagopan et al.,

Fig. 2. Estimated effect of any chemotherapy compared to no chemotherapy on 5-month (left) and 1-year (right) mortality incidence in surgically treated dogs with osteosarcoma. Figure shows the odds ratio (OR) of any chemotherapy treatment (solid line) with 95% confidence intervals (dotted lines) for dogs with different predicted risks of 5-month mortality. The horizontal solid line indicates a neutral OR of 1.00. At the bottom a spike histogram is given, corresponding to the patient frequencies of the x-axis measurement.
2004), an issue we addressed in Schmidt et al. (2013). On the other hand, because the decisions around chemotherapy may not only depend on a patient's life expectancy but also on an owner's willingness to pay, some degree of randomness might be expected. Nevertheless, we expect there to be some degree of residual confounding (by unmeasured variables affecting both chemotherapy decision and life expectancy) (Herman and Robins, 2006) in the estimates presented, and therefore, we hope that our findings might lead to the initiation of new, or re-analysis of historical, randomized clinical trials (RCTs) further exploring the validity of our results.

Second, as reported, some observations were missing. Instead of focusing on complete observation, which (by ignoring dependencies) leads to selection bias, missing values were imputed (Groenwold et al., 2012; White and Carlin, 2010). Third, no correction for multiple testing was applied. Given the modest amount of tests and that similar findings were reported in a second independent study (Schmidt et al., 2015), we feel that such a correction is redundant. Fourth, we emphasize that this is only a single validation study, based on a sample collected in a university hospital in the USA, hence results may differ from a primary care setting in another region. Before implementing the prediction model in a clinical setting, we suggest to validate the model anew, using for example historical medical records and if need recalibrate the model using the code provided in Appendix A in Supplementary material. Based on a recent publication from Collins et al. (2015) it seems that such a validation study needs at the very least 100 events to provide moderately precise and unbiased estimates of model performance (i.e., calibration and discrimination).

Nevertheless, given the performance in this single external validation study we would expect, even in settings where the predicted risk does not agree with the the underlying true risk, that ranking of high- versus low risk patients will still be possible. Because of this we have included an excel spreadsheet to aid in calculating a patient's risk of mortality (see Appendix A in Supplementary material). To reiterate, unless a local validation study is performed, we would not expected the predicted risk from this spreadsheet program to match the true risks. Therefore, we are hesitant to provide thresholds to categorize high-, moderate- and low-risk patients, instead we suggest practitioners use this tool to help rank patients to identify relatively high- or low-risk patients (compared to the “average” patient encountered in their practice). After multiple validation studies, perhaps a consensus could be reached on risk thresholds. For example, in human cardiovascular heart disease (CHD), risk threshold were decided by consensus in guideline groups based on information on external validation of CHD prediction models (e.g., the Framingham (D’Agostino et al., 2008)), treatment efficacy (e.g., statins) and safety, and cost-effectiveness (Hingorani and Hemingway, 2011; Hingorani and Psaty, 2009). Due to the mentioned lack of randomization we have not included the interaction with chemotherapy in the spreadsheet, we feel that this is best included after (historical) RCT data have confirmed this interaction.

5. Conclusions

Based on our results, we conclude that a dog's risk of mortality, after surgical treatment for osteosarcoma, can be predicted using the models presented. Dogs with a lower predicted risk of 5-month mortality seem to benefit most from additional chemotherapy.

Conflict of interest

None of the authors of this paper has a financial or personal relationship with other people or organisations that could inappropriately influence or bias the content of the paper.

Authors contribution

A.F. Schmidt, J. Kirpensteijn, R.H.H. Groenwold and M. Nielen contributed to the idea and design of the study. S.J. Withrow, L.E. Selmic and J.H. Burton collected and shared the data. A.F. Schmidt performed the analyses and drafted the manuscript. J. Kirpensteijn, R.H.H. Groenwold, O.H. Klungel, J.H. Burton, S.J. Withrow, L.E. Selmic and M. Nielen provided guidance during initial planning of the paper and during critical revision. A.F. Schmidt had full access to all of the data and takes responsibility for the integrity of the data presented.

Funding

This work was supported by Research Focus Areas funding of the Utrecht University, and the University Medical Center Utrecht, which is a collaboration between the faculties of medicine, science, and veterinary medicine. Additionally support was received by the Flint Animal Cancer Center at Colorado State University. The study sponsors were not involved in the design, collection, analysis or writing of this manuscript.

Prior postings and presentations

This study and its results were neither previously published, nor presented at conferences.

Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.prevetmed.2016.01.004.

References