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Observation of the decay $B^0_s \to \phi\pi^+\pi^-$ and evidence for $B^0 \to \phi\pi^+\pi^-$

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(LHCb Collaboration)
(Received 19 October 2016; published 10 January 2017)

The first observation of the rare decay $B^0_s \to \phi\pi^+\pi^-$ and evidence for $B^0 \to \phi\pi^+\pi^-$ are reported, using $pp$ collision data recorded by the LHCb detector at center-of-mass energies $\sqrt{s} = 7$ and 8 TeV, corresponding to an integrated luminosity of 3 fb$^{-1}$. The branching fractions in the $\pi^+\pi^-$ invariant mass range $400 < m(\pi^+\pi^-) < 1600$ MeV/$c^2$ are $[3.48 \pm 0.23 \pm 0.17 \pm 0.35] \times 10^{-6}$ and $[1.82 \pm 0.25 \pm 0.41 \pm 0.14] \times 10^{-7}$ for $B^0_s \to \phi\pi^+\pi^-$ and $B^0 \to \phi\pi^+\pi^-$ respectively, where the uncertainties are statistical, systematic and from the normalization mode $B^0 \to \phi\phi$. A combined analysis of the $\pi^+\pi^-$ mass spectrum and the decay angles of the final-state particles identifies the exclusive decays $B^0_\Delta \to \phi f_0(980)$, $B^0_\Delta \to \phi f_0(1270)$, and $B^0 \to \phi\rho$ with branching fractions of $[1.12 \pm 0.16_{-0.08}^{+0.09} \pm 0.11] \times 10^{-6}$, $[0.61 \pm 0.13_{-0.06}^{+0.12} \pm 0.06] \times 10^{-6}$ and $[2.7 \pm 0.7 \pm 0.2 \pm 0.2] \times 10^{-7}$, respectively.

DOI: 10.1103/PhysRevD.95.012006

I. INTRODUCTION

The decays $B^0_s \to \phi\pi^+\pi^-$ and $B^0 \to \phi\pi^+\pi^-$ have not been observed before. They are examples of decays that are dominated by contributions from flavor changing neutral currents (FCNC), which provide a sensitive probe for the effect of physics beyond the Standard Model because their amplitudes are described by loop (or penguin) diagrams where new particles may enter [1]. A well-known example of this type of decay is $B^0 \to \phi\phi$ which has a branching fraction of $1.9 \times 10^{-5}$ [2]. First measurements of the CP-violating phase $\phi_\Delta$ in this mode have recently been made by the LHCb Collaboration [3,4]. The decay $B^0_s \to \phi f_0(980)$ also proceeds via a gluonic $b \to s$ penguin transition [see Fig. 1(a)], with an expected branching fraction of approximately $2 \times 10^{-6}$, based on the ratio of the $B^0_s \to J/\psi f_0(980)$ and $B^0_s \to J/\psi\phi$ decays [2]. When large statistics samples are available, similar time-dependent CP violation studies will be possible with $B^0_s \to \phi f_0(980)$.

The decay $B^0_s \to \phi\rho$ is of particular interest, because it is an isospin-violating $\Delta I = 1$ transition which is mediated by a combination of an electroweak penguin diagram and a suppressed $b \to u$ transition [see Fig. 1(b)]. The predicted branching fraction is $[4.4^{+2.2}_{-0.7}] \times 10^{-7}$, and large CP-violating asymmetries are not excluded [5].

The corresponding $B^0$ decays are mediated by CKM-suppressed $b \to d$ penguin diagrams, and are expected to have branching fractions an order of magnitude lower than the $B^0_s$ decays. The BABAR experiment has set an upper limit on the branching fraction of the decay $B^0 \to \phi\rho^0$ of $3.3 \times 10^{-7}$ at 90% confidence level [6].

This paper reports a time-integrated and flavor-untagged search, using a data set with an integrated luminosity of approximately 3 fb$^{-1}$ collected by the LHCb detector in 2011 and 2012 at center-of-mass energies of $\sqrt{s} = 7$ and 8 TeV, respectively. This leads to the first observation of $B^0 \to \phi\pi^+\pi^-$ decays, and evidence for $B^0 \to \phi\pi^+\pi^-$ decays, with the $\pi^+\pi^-$ invariant mass in the range $400 < m(\pi^+\pi^-) < 1600$ MeV/$c^2$. A combined angular and $\pi^+\pi^-$ mass analysis of the $B^0 \to \phi\pi^+\pi^-$ sample identifies contributions from the exclusive decays $B^0 \to \phi f_0(980)$, $B^0 \to \phi f_2(1270)$, and $B^0 \to \phi\rho$. There is also a significant S-wave $\pi^+\pi^-$ contribution in the high-mass region $1350 < m(\pi^+\pi^-) < 1600$ MeV/$c^2$.

The branching fractions for both the inclusive and exclusive decays are determined with respect to the normalization mode $B^0_s \to \phi\phi$. This mode has a very similar topology and a larger branching fraction, which has been measured by the LHCb Collaboration [7] to be $B(B^0_s \to \phi\phi) = [1.84 \pm 0.05 \pm 0.07 \pm 0.11 \pm 0.12] \times 10^{-5}$, where the uncertainties are respectively statistical, systematic, from the fragmentation function $f_s/f_d$ giving the ratio of $B^0_s$ to $B^0$ production at the LHC, and from the measurement of the branching fraction of $B^0 \to \phi\phi$ at the $B$ factories [8,9].

II. DETECTOR AND SOFTWARE

The LHCb detector [10,11] is a single-arm forward spectrometer covering the pseudorapidity range $2 < \eta < 5$. It is designed for the study of particles containing $b$ or $c$...
1.0% at the beam, in GeV

PYTHIA 6 [14] with a specific LHCb configuration[15].

hadron.

b vertices consistent with the decay of a

where the kaon from the decay

Another background arises from the decay

Pairs of oppositely charged kaons that originate from a

common vertex are combined to form a φ meson candidate.

The transverse momentum of the φ meson is required to be

larger than 0.9 GeV/c and the invariant mass to be within

10 MeV/c² of the known value [2]. Similarly, pairs of

oppositely charged pions are combined if they form a

common vertex and if the transverse momentum of the

π⁺ π⁻ system is larger than 1 GeV/c. For this analysis,

the invariant mass of the pion pair is required to be in the

range 400 < m(π⁺ π⁻) < 1600 MeV/c², below the charm

threshold. The φ candidates and π⁺ π⁻ pairs are combined to

form B⁰ or B̅⁰ meson candidates. To further reject

combinatorial background, the reconstructed flight path of

the B candidates must be consistent with coming from a PV.

There are several decays of b hadrons proceeding via

charmed hadrons that need to be explicitly removed. The
decay modes B⁰_majority → D⁺ π⁻ and B⁰_majority → D⁻ π⁺ are rejected when the invariant mass of the K⁺ K⁻ π⁻ system is within 3
standard deviations (σ) of either D meson mass. The decay
mode B → D K⁺ π⁻ is rejected when the invariant mass of either of the K⁺ π⁻ combinations is within 2σ of the D⁰ mass.
Backgrounds from D⁻ decays to K⁺ π⁻π⁻ and from
Λ⁺̅ decays to p K⁺ π⁻ are removed if the three-body
invariant mass, calculated assuming that either a π⁻ or a
proton has been misidentified as a kaon, is within 3σ of the
charm hadron mass.

Another background arises from the decay B⁰_majority → φ K⁺ 0, where the kaon from the decay K⁺ 0 → K⁺ π⁻ is misidenti-

fied as a pion. To remove it, the invariant masses m(K⁺ π⁻)
and m(K⁺ K⁻ K⁺ π⁻) are calculated assuming that one of the
K⁺ has been misidentified as a π⁺, and candidates are
rejected if m(K⁺ π⁻) is within 3 decay widths of the K⁺ 0, and
m(K⁺ K⁻ K⁺ π⁻) is consistent with the B⁰ mass to

III. SELECTION

The offline selection of candidates consists of two parts.
First, a selection with loose criteria is performed that
reduces the combinatorial background as well as removing
some specific backgrounds from other exclusive b-hadron
decay modes. In a second stage a multivariate method is
applied to further reduce the combinatorial background and
improve the signal significance.

The selection starts from well-reconstructed particles
that traverse the entire spectrometer and have pT >
500 MeV/c. Spurious tracks created by the reconstruction
are suppressed using a neural network trained to discrimi-
nate between these and real particles. A large track IP with
respect to any PV is required, consistent with the track
coming from a displaced secondary decay vertex. The
information provided by the ring-imaging Cherenkov
detectors is combined with information from the tracking
system to select charged particles consistent with being a
kaon, pion or proton. Tracks that are identified as muons
are removed at this stage.

Pairs of oppositely charged kaons that originate from a
common vertex are combined to form a φ meson candidate.

The transverse momentum of the φ meson is required to be
larger than 0.9 GeV/c and the invariant mass to be within
10 MeV/c² of the known value [2]. Similarly, pairs of
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the invariant mass of the pion pair is required to be in the
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mode B → D K⁺ π⁻ is rejected when the invariant mass of either of the K⁺ π⁻ combinations is within 2σ of the D⁰ mass.
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and m(K⁺ K⁻ K⁺ π⁻) are calculated assuming that one of the
K⁺ has been misidentified as a π⁺, and candidates are
rejected if m(K⁺ π⁻) is within 3 decay widths of the K⁺ 0, and
m(K⁺ K⁻ K⁺ π⁻) is consistent with the B⁰ mass to

In the simulation, pp collisions are generated using
Pythia 6 [14] with a specific LHCb configuration [15].
Decays of hadronic particles are described by EvtGen
[16], in which final-state radiation is generated using
Photos [17]. The interaction of the generated particles
with the detector and its response are implemented using
the Geant4 toolkit [18] as described in Ref. [19].
within 3 times the experimental resolution. The higher resonance mode $B^0 \rightarrow \phi K^0_s(1430)$ is vetoed in a similar fashion. The efficiency of the charm and $\phi K^{*0}$ vetoes is 94%, evaluated on the $B^0 \rightarrow \phi \pi^+\pi^-$ simulation sample, with the $\phi K^{*0}$ veto being 99% efficient. For the decay $B^0 \rightarrow \phi \pi^+\pi^-$ this efficiency is reduced to 84% by the larger impact of the $\phi K^{*0}$ veto.

In the second stage of the selection a boosted decision tree (BDT) [20,21] is employed to further reduce the combinatorial background. This makes use of twelve variables related to the kinematics of the $B$ meson candidate and its decay products, particle identification for the kaon candidates and the $B$ decay vertex displacement from the PV. It is trained using half of both the simulated signal sample and the background events from the data in the PV. It is trained using half of both the simulated signal sample, and the background events from the data in the range $5450 < m(K^+K^-\pi^+\pi^-) < 5600$ MeV/$c^2$, and validated using the other half of each sample. For a signal efficiency of 90% the BDT has a background rejection of 99%.

A sample of $B^0_s \rightarrow \phi\phi$ candidates has been selected using the same methods as for the signal modes, apart from the particle identification criteria and the $m(K^+K^-)$ mass window for the second $\phi$ meson, and without the $\phi K^{*0}$ veto. The BDT deliberately does not include particle identification for the pion candidates, because this part of the selection is different between the signal mode and the $B^0_s \rightarrow \phi\phi$ normalization mode.

For the signal mode a tighter selection is made on the pion identification as part of a two-dimensional optimization together with the BDT output. The figure of merit (FOM) used to maximize the discovery potential for a new signal is [22],

$$\text{FOM} = \frac{\varepsilon_s}{5/2 + \sqrt{B}},$$

where $\varepsilon_s$ is the signal efficiency evaluated using the simulation and $B$ is the number of background candidates expected within a 50 MeV/$c^2$ window about the $B^0_s$ mass. The optimized selection on the BDT output and the pion identification has a signal efficiency $\varepsilon_s = 0.846$.

**IV. INVARIANT MASS FIT**

The yields for the inclusive $B^0_s \rightarrow \phi\pi^+\pi^-$ and $B^0 \rightarrow \phi\pi^+\pi^-$ signals are determined from a fit to the invariant $K^+K^-\pi^+\pi^-$ mass distribution of selected candidates in the range $5100 < m(K^+K^-\pi^+\pi^-) < 5600$ MeV/$c^2$. The fit includes possible signal contributions from both $B^0_s$ and $B^0$ decays, as well as combinatorial background. Backgrounds from partially reconstructed decays such as $B^0_s \rightarrow \phi\phi(\rightarrow \pi^+\pi^- n^0)$ and $B^0 \rightarrow \phi\eta(\rightarrow \pi^+\pi^-\gamma)$ are negligible in the region $m(K^+K^-\pi^+\pi^-) > 5100$ MeV/$c^2$. After the veto the contribution from $B^0 \rightarrow \phi K^{*0}$ can also be neglected.

The line shapes for the $B^0_s \rightarrow \phi\pi^+\pi^-$ signal and $B^0 \rightarrow \phi\phi$ normalization mode are determined using simulated events, and parametrized by a sum of two Gaussian functions with a common mean and different widths. In the fits to data the means and widths of the narrow Gaussians for the $B^0_s$ modes are fitted, but the relative widths and fractions of the broader Gaussians relative to the narrow ones are taken from the simulation. The mean and width of the $B^0$ signal shape are scaled down from $B^0_s \rightarrow \phi\pi^+\pi^-$ to account for the mass difference [2], and to correct for a slight modification of the $B^0$ shape due to the $\phi K^{*0}$ veto. The combinatorial background is modeled by an exponential function with a slope that is a free parameter in the fit to the data.

Figure 2 shows the result of the extended unbinned maximum likelihood fit to the $m(K^+K^-\pi^+\pi^-)$ distribution. There is clear evidence for both $B^0_s \rightarrow \phi\pi^+\pi^-$ and $B^0 \rightarrow \phi\phi$ signals. The $B^0_s$ and $B^0$ yields are 697 ± 30 and 131 ± 17 events, respectively, and the fit has a chi-squared per degree of freedom, $\chi^2$/ndf, of 0.87. Figure 3 shows the $m(K^+K^-\pi^+\pi^-)$ distribution for the $B^0_s \rightarrow \phi\phi$ normalization mode, with a fit using a sum of two Gaussians for the $B^0_s$ signal shape. There are 2424 ± 51 events above a very low combinatorial background. Backgrounds from other decay modes are negligible with this selection.

To study the properties of the $B^0_s \rightarrow \phi\pi^+\pi^-$ signal events, the combinatorial background and $B^0_s$ contribution are subtracted using the sPlot method [23]. The results of the invariant mass fit are used to assign to each event a signal weight that factorizes out the signal part of the sample from the other contributions. These weights can then be used to project out other kinematic properties of the signal, provided that these properties are uncorrelated with $m(K^+K^-\pi^+\pi^-)$. In the next section the decay angle and $m(\pi^+\pi^-)$ distributions of the $B^0_s \rightarrow \phi\pi^+\pi^-$ signal events

![Figure 2](image-url)
are used to study the resonant $\pi^+\pi^-$ contributions. Figure 4 shows the $K^+K^-$ invariant mass distribution for the $B_s^0 \rightarrow \phi\pi^+\pi^-$ signal, which is consistent with a dominant $\phi$ meson resonance together with a small contribution from a nonresonant $S$-wave $K^+K^-$ component. The $\phi$ contribution is modeled by a relativistic Breit-Wigner function, whose natural width is convolved with the experimental $K^+K^-$ mass resolution, and the $S$-wave component is modeled by a linear function. The $S$-wave $K^+K^-$ component is fitted to be $(8.5 \pm 3.8)\%$ of the signal yield in a $\pm 10$ MeV/$c^2$ window around the known $\phi$ mass. A similar fit to the $B_s^0 \rightarrow \phi\phi$ normalization mode gives an $S$-wave component of $(1.4 \pm 1.1)\%$.

V. AMPLITUDE ANALYSIS

There are several resonances that can decay into a $\pi^+\pi^-$ final state in the region $400 < m(\pi^+\pi^-) < 1600$ MeV/$c^2$. These are listed in Table I together with the mass models used to describe them and the source of the model parameters. To study the resonant contributions, an amplitude analysis is performed using an unbinned maximum likelihood fit to the $m(\pi^+\pi^-)$ mass and decay angle distributions of the $B_s^0$ candidates with their signal weights obtained by the sPlot technique. In the fit the uncertainties on the signal weights are taken into account in determining the uncertainties on the fitted amplitudes and phases.

Three decay angles are defined in the transversity basis as illustrated in Fig. 5, where $\theta_1$ is the $\pi^+\pi^-$ helicity angle between the $\pi^+$ direction in the $\pi^+\pi^-$ rest frame and the $\pi^+\pi^-$ direction in the $B$ rest frame, $\theta_2$ is the $K^+K^-$ helicity angle between the $K^+$ direction in the $\phi$ rest frame and the $\phi$ direction in the $B$ rest frame, and $\Phi$ is the acoplanarity angle between the $\pi^+\pi^-$ system and the $\phi$ meson decay planes.

The LHCb detector geometry and the kinematic selections on the final state particles lead to detection efficiencies that vary as a function of $m(\pi^+\pi^-)$ and the decay angles. This is studied using simulated signal events, and is parametrized by a four-dimensional function using Legendre polynomials, taking into account the correlations between the variables. Figure 6 shows the projections of the detection efficiency and the function used to describe it. There is a significant drop of efficiency at $\cos\theta_1 = \pm 1$, a smaller reduction of efficiency for $\cos\theta_2 = \pm 1$, a flat efficiency in $\Phi$, and a monotonic efficiency increase with

### Table I. Possible resonances contributing to the $m(\pi^+\pi^-)$ mass distribution.

<table>
<thead>
<tr>
<th>Resonance</th>
<th>Spin</th>
<th>Shape</th>
<th>Mass</th>
<th>Width</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>$f_0(500)$</td>
<td>0</td>
<td>Bugg</td>
<td>400–800</td>
<td>Broad</td>
<td>BES [25]</td>
</tr>
<tr>
<td>$\rho^0$</td>
<td>1</td>
<td>BW</td>
<td>775</td>
<td>149</td>
<td>PDG [2]</td>
</tr>
<tr>
<td>$f_0(980)$</td>
<td>0</td>
<td>Flatté</td>
<td>980</td>
<td>40–100</td>
<td>LHCb [27]</td>
</tr>
<tr>
<td>$f_0(1270)$</td>
<td>2</td>
<td>BW</td>
<td>1275</td>
<td>185</td>
<td>PDG [2]</td>
</tr>
<tr>
<td>$f_0(1370)$</td>
<td>0</td>
<td>BW</td>
<td>1200–1500</td>
<td>200–500</td>
<td>PDG [2]</td>
</tr>
<tr>
<td>$f_0(1430)$</td>
<td>2</td>
<td>BW</td>
<td>1421</td>
<td>30</td>
<td>DM2 [28]</td>
</tr>
<tr>
<td>$\rho(1450)$</td>
<td>1</td>
<td>BW</td>
<td>1465</td>
<td>400</td>
<td>PDG [2]</td>
</tr>
<tr>
<td>$f_0(1500)$</td>
<td>0</td>
<td>BW</td>
<td>1461</td>
<td>124</td>
<td>LHCb [29]</td>
</tr>
</tbody>
</table>

---

2 Note that the description of the broad $f_0(1370)$ and $f_0(1500)$ resonances by Breit-Wigner functions is known not to be a good approximation when they both make significant contributions [24].
This efficiency dependence is included in the amplitude fits.

The decay rate for the mass range \(m(\pi^+\pi^-) < 1100\) MeV/c^2 can be described primarily by the S-wave and P-wave \(\pi^+\pi^-\) contributions from the \(f_0(980)\) and \(\rho^0\) mesons. The S-wave contribution is parametrized by a single amplitude \(A_S\). For the P-wave there are three separate amplitudes \(A_0, A_\perp\), and \(A_\parallel\) from the possible spin configurations of the final state vector mesons. The amplitudes \(A_j\), where \(j = (0, \perp, \parallel, S)\), are complex and can be written as \(|A_j| e^{i\delta_j}\). By convention, the phase \(\delta_S\) is chosen to be zero.

In the region \(m(\pi^+\pi^-) > 1100\) MeV/c^2 the differential decay rate requires additional contributions from the D-wave \(f_2(1270)\) meson and other possible resonances at higher mass.

The total differential decay rate is given by the square of the sum of the amplitudes. It can be written as

\[
\frac{d^4\Gamma}{d\cos\theta_1 d\cos\theta_2 d\Phi dm_{\pi\pi}} = \frac{9}{8\pi} \sum_i T_i f_i(\theta_1, \theta_2, \Phi) M_i(m_{\pi\pi}) d\Omega_4(KK\pi\pi),
\]

where the \(T_i\) are either squares of the amplitudes \(A_j\) or interference terms between them, \(f_i\) are decay angle distributions, \(M_i\) are resonant \(\pi^+\pi^-\) mass distributions and \(d\Omega_4\) is the phase-space element for four-body decays. The detailed forms of these functions are given in Table II for the contributions from the \(f_0(980)\), \(\rho^0\) and \(f_2(1270)\) resonances. Note that interference terms between CP-even...
TABLE II. The individual terms $i = 1$ to $i = 6$ come from the S-wave and P-wave $\pi^+\pi^-$ amplitudes associated with the $f_0^0(980)$ and $p^0$, and the terms $i = 7$ to $i = 12$ come from the D-wave amplitudes associated with the $f_2^0(1270)$. See the text for definitions of $T_i$, $f_i$, and $\mathcal{M}_i$, and for a discussion of the interference terms omitted from this table.

<table>
<thead>
<tr>
<th>$i$</th>
<th>$T_i$</th>
<th>$f_i(\theta_1, \theta_2, \Phi)$</th>
<th>$\mathcal{M}<em>i(m</em>{\pi\pi})$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$</td>
<td>A_0</td>
<td>^2$</td>
</tr>
<tr>
<td>2</td>
<td>$</td>
<td>A_1</td>
<td>^2$</td>
</tr>
<tr>
<td>3</td>
<td>$</td>
<td>A_2</td>
<td>^2$</td>
</tr>
<tr>
<td>4</td>
<td>$</td>
<td>A_3</td>
<td>^2$</td>
</tr>
<tr>
<td>5</td>
<td>$</td>
<td>A_4</td>
<td>^2$</td>
</tr>
<tr>
<td>6</td>
<td>$</td>
<td>A_0 A_1</td>
<td>^2$</td>
</tr>
<tr>
<td>7</td>
<td>$</td>
<td>A_0^{1270} A_0^0</td>
<td>^2$</td>
</tr>
<tr>
<td>8</td>
<td>$</td>
<td>A_1^{1270} A_2^0</td>
<td>^2$</td>
</tr>
<tr>
<td>9</td>
<td>$</td>
<td>A_2^{1270} A_3^0</td>
<td>^2$</td>
</tr>
<tr>
<td>10</td>
<td>$</td>
<td>A_3^{1270} A_1^{1270}</td>
<td>^2$</td>
</tr>
<tr>
<td>11</td>
<td>$</td>
<td>A_0^{1270} A_5^0</td>
<td>^2$</td>
</tr>
</tbody>
</table>

Amplitudes ($A_0$, $A_1$, $A_0^{1270}$) and CP-odd amplitudes ($A_2$, $A_1$, $A_0^{1270}$, $A_1^{1270}$) can be ignored in the sum of $B_0^0$ and $\bar{B}_0^0$ decays in the absence of CP violation, as indicated by the measurements in the related decay $B_0^0 \to \phi\phi$ [4]. With this assumption one CP-even phase $\delta_0^{1270}$ can also be chosen to be zero. The fit neglects the interference terms between P- and D-waves, and the P-wave-only interference term ($i = 4$ in Table II), which are all found to be small when included in the fit. This leaves only a single P-wave phase $\delta_1$ and two D-wave phases $\delta_2^{1270}$ and $\delta_2^{1270}$ to be fitted for these three resonant contributions.

Several amplitude fits have been performed including different resonant contributions. All fits include the $f_0^0(980)$ and $f_2^0(1270)$ resonances. The high-mass region $1350 < m(\pi^+\pi^-) < 1600$ MeV/c$^2$ has been modeled by either an S-wave or a D-wave $\pi^+\pi^-$ contribution, where the masses and widths of these contributions are determined by the fits, but the shapes are constrained to be Breit-Wigner functions. In each case the respective terms in Table II from $f_0^0(980)$ or $f_2^0(1270)$ have to be duplicated for the higher resonance. For the higher S-wave contribution this introduces one new amplitude $A_3^{1500}$ and phase $\delta_3^{1500}$, and there is an additional interference term between the two S-wave resonances. For the higher D-wave contribution $f_2^0(1430)$ there are three new amplitudes and phases, and several interference terms between the two D-wave resonances. A contribution from the P-wave $\rho(1450)$ has also been considered, but is found to be negligible and is not included in the final fit. The fit quality has been assessed using a binned $\chi^2$ calculation based on the projected $\cos\theta_1$, $\cos\theta_2$ and $m(\pi^+\pi^-)$ distributions. In the high-mass region the best fit uses an S-wave component with a fitted mass and width of $1427 \pm 7$ MeV/c$^2$ and $143 \pm 17$ MeV/c$^2$, hereafter referred to as the $f_0^0(1500)$ for convenience. The mass is lower than the accepted value of $1504 \pm 6$ MeV/c$^2$ for the $f_0^0(1500)$[2]. It is also lower than the equivalent S-wave component in $B_0^0 \to J/\psi\pi^+\pi^-$ where the fitted mass and width were $1461 \pm 3$ MeV/c$^2$ and $124 \pm 7$ MeV/c$^2$ [29]. This may be due to the absence of contributions from the $\rho^0$ and $f_2^0(1270)$ in $B_0^0 \to J/\psi\pi^+\pi^-$. It has been suggested [24,30] that the observed $m(\pi^+\pi^-)$ distributions can be described by an interference between the $f_0^0(1370)$ and $f_0^0(1500)$, but with the current statistics of the $B_0^0 \to \phi\pi^+\pi^-$ sample it is not possible to verify this.

In the low-mass region $m(\pi^+\pi^-) < 900$ MeV/c$^2$ the effect of adding a contribution from the $\rho^0$ is studied. The $\rho^0$ contribution significantly improves the fit quality and has a statistical significance of $4.5\sigma$, estimated by running pseudexperiments. A contribution from the $f_0^0(500)$ has been considered as part of the systematics. The preferred fit, including the $\rho^0$, $f_0^0(980)$, $f_2^0(1270)$ and $f_0^0(1500)$, has $\chi^2/n\text{dof} = 34/20$. Removing the $\rho^0$ increases this to $\chi^2/n\text{dof} = 53/24$, and replacing the S-wave $f_0^0(1500)$ with a D-wave $f_2^0(1430)$ increases it to $\chi^2/n\text{dof} = 78/16$. The projections of the preferred fit, including the $\rho^0$, $f_0^0(980)$, $f_2^0(1270)$ and $f_0^0(1500)$, are shown in Fig. 7. The fitted amplitudes and phases are given in Table III. From Fig. 7 it can be seen that the low numbers of observed candidates in the regions $|\cos\theta_1| > 0.8$ and $|\cos\theta_2| < 0.4$ require a large S-wave $\pi^+\pi^-$ contribution, and smaller P-wave and D-wave contributions.

To convert the fitted amplitudes into fractional contributions from different resonances they need to be first
Interference terms between the resonances are small, but not completely negligible. When calculating the fit fractions and event yields, the interference terms are included in the total yield but not in the individual resonance yields. As a consequence, the sum of the fractions is not 100%. Table IV gives the fit fractions and the corresponding event yields for the resonant contributions to the $B_{s}^{0} \rightarrow \phi\pi^{+}\pi^{-}$ decay for the fits with and without a $\rho^{0}$.

**VI. DETERMINATION OF BRANCHING FRACTIONS**

The branching fractions are determined using the relationship

$$
\frac{1}{\cos \theta_{1}} \cos \frac{1}{2} - 0.5 - 0.5 0.5 1
\sum_{n=0, \pi+} \frac{1}{(0.2)}
0 \quad 20 \quad 40 \quad 60 \quad 80 \quad 100 \quad 120 \quad 140 \quad 160 \quad 180
$$

![FIG. 7. Projections of (a) $\cos \theta_{1}$, (b) $\cos \theta_{2}$, (c) $\Phi$, and (d) $m(\pi^{+}\pi^{-})$ for the preferred fit. The $\rho^{0}$ contribution is shown by the dotted (black) line, the $f_{0}(980)$ by the dot-dashed (blue) line, the $f_{2}(1270)$ by the double-dot-dashed (magenta) line and the $f_{0}(1500)$ by the dashed (cyan) line. Note that the expected distributions from each resonance include the effect of the experimental efficiency. The solid (red) line shows the total fit. The points with error bars are the data, where the background has been subtracted using the $B_{s}^{0}$ signal weights from the $K^{+}K^{-}\pi^{+}\pi^{-}$ invariant mass fit.]

**TABLE III. The resonance amplitudes and phases from the preferred fit to the $m(\pi^{+}\pi^{-})$ and decay angle distributions of the $B_{s}^{0}$ candidates, including the $\rho^{0}$, $f_{0}(980)$, $f_{2}(1270)$ and $f_{0}(1500)$. See text for definitions of the amplitudes and phases.**

<table>
<thead>
<tr>
<th>Amplitude</th>
<th>Fit value</th>
<th>Phase</th>
<th>Fit value (rad)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$A_{0}$</td>
<td>0.212 ± 0.035</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$A_{\parallel}$</td>
<td>0.049 ± 0.031</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$A_{\perp}$</td>
<td>0.168 ± 0.026</td>
<td>$\delta_{\perp}$</td>
<td>+1.90 ± 0.28</td>
</tr>
<tr>
<td>$A_{S}$</td>
<td>0.603 ± 0.036</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$A_{0}^{1270}$</td>
<td>0.295 ± 0.058</td>
<td>$\delta_{0}^{1270}$</td>
<td>−0.62 ± 0.18</td>
</tr>
<tr>
<td>$A_{\parallel}^{1270}$</td>
<td>0.203 ± 0.042</td>
<td>$\delta_{\parallel}^{1270}$</td>
<td>+1.26 ± 0.25</td>
</tr>
<tr>
<td>$A_{\perp}^{1270}$</td>
<td>0.261 ± 0.037</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$A_{S}^{1500}$</td>
<td>0.604 ± 0.031</td>
<td>$\delta_{S}^{1500}$</td>
<td>+3.14 ± 0.30</td>
</tr>
</tbody>
</table>

summed over the different polarizations and then squared. Interference terms between the resonances are small, but not completely negligible. When calculating the fit fractions and event yields, the interference terms are included in the total yield but not in the individual resonance yields. As a consequence, the sum of the fractions is not 100%. Table IV gives the fit fractions and the corresponding event yields for the resonant contributions to the $B_{s}^{0} \rightarrow \phi\pi^{+}\pi^{-}$ decay for the fits with and without a $\rho^{0}$. 
TABLE IV. Fit fractions in % and event yields for the resonances contributing to $B_0^0 \rightarrow \phi \pi^+ \pi^-$. Results are quoted for the preferred model with a $\rho^0$, and for an alternative model without a $\rho^0$ which is used to evaluate systematic uncertainties.

<table>
<thead>
<tr>
<th>Resonance</th>
<th>Fit fractions</th>
<th>Event yields</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>without $\rho^0$</td>
<td>with $\rho^0$</td>
</tr>
<tr>
<td>$\rho^0$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$f_0(980)$</td>
<td>39.5 ± 2.9</td>
<td>35.6 ± 4.3</td>
</tr>
<tr>
<td>$f_2(1270)$</td>
<td>23.5 ± 2.7</td>
<td>15.1 ± 3.2</td>
</tr>
<tr>
<td>$f_0(1500)$</td>
<td>26.5 ± 2.2</td>
<td>34.7 ± 3.4</td>
</tr>
</tbody>
</table>

The signal yields $N(\phi \pi^+ \pi^-)$ for the inclusive modes are taken from the fit to the $K^+ K^- \pi^+ \pi^-$ mass distribution in Fig. 2, and for the normalization mode $N(\phi \phi)$ is taken from the fit to the $K^+ K^- K^+ K^-$ mass distribution in Fig. 3. The factor $f_p = (93 \pm 4\%)$ corrects for the difference in the fitted S-wave $K^+ K^-$ contributions to the $K^+ K^-$ mass distribution around the nominal $\phi$ mass between the signal and normalization modes. The branching fraction $B(\phi \rightarrow K^+ K^-) = (48.9 \pm 0.5\%)$[2] enters twice in the normalization mode. The factor $f_s/f_d = 0.259 \pm 0.015$[31] only applies to the $B_0^0 \rightarrow \phi \pi^+ \pi^-$ mode in the above ratio, but also appears in the ratio of $B_s^0 \rightarrow \phi \phi$ relative to $B^0 \rightarrow \phi K^+$, so it effectively cancels out in the determination of the $B_0^0 \rightarrow \phi \pi^+ \pi^-$ branching fraction. For the $B_0^0 \rightarrow \phi \pi^+ \pi^-$ mode it is included in the determination of $B(B_s^0 \rightarrow \phi \phi)$ [7]. The total selection efficiencies $e_{\phi \pi^+ \pi^-}^{\text{tot}}$ and $e_{\phi \phi}^{\text{tot}}$ are given in Table V.

TABLE V. Selection efficiencies for the signal and normalization modes in %, as determined from simulated event samples. Here “Initial selection” refers to a loose set of requirements on the four tracks forming the $B$ candidate. The “Offline selection” includes the charm and $\phi K^0$ vetoes, as well as the BDT. Angular acceptance and decay time refer to corrections made for the incorrect modeling of these distributions in the inclusive and $B_s^0 \rightarrow \phi f_0(980)$ simulated event samples.

<table>
<thead>
<tr>
<th>Efficiency</th>
<th>$B_s^0(B^0) \rightarrow \phi \pi^+ \pi^-$</th>
<th>$B_s^0(B^0) \rightarrow \phi \rho^0$</th>
<th>$B_s^0(B^0) \rightarrow \phi f_0(980)$</th>
<th>$B_s^0(B^0) \rightarrow \phi \phi$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Detector acceptance</td>
<td>17.4</td>
<td>18.1</td>
<td>18.0</td>
<td>17.1</td>
</tr>
<tr>
<td>Initial selection</td>
<td>8.43</td>
<td>7.35</td>
<td>8.48</td>
<td>14.6</td>
</tr>
<tr>
<td>Trigger</td>
<td>34.9</td>
<td>34.9</td>
<td>34.5</td>
<td>28.6</td>
</tr>
<tr>
<td>Offline selection</td>
<td>63.9 (57.1)</td>
<td>62.5</td>
<td>63.2</td>
<td>59.3</td>
</tr>
<tr>
<td>Particle identification</td>
<td>87.5</td>
<td>87.5</td>
<td>87.5</td>
<td>93.9</td>
</tr>
<tr>
<td>Angular acceptance</td>
<td>95.9 (100)</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>Decay time</td>
<td>100</td>
<td>100</td>
<td>104.5</td>
<td>100</td>
</tr>
<tr>
<td>Total</td>
<td>0.275 (0.256)</td>
<td>0.254</td>
<td>0.303</td>
<td>0.398</td>
</tr>
</tbody>
</table>

For the inclusive modes the branching fractions with $400 < m(\pi^+ \pi^-) < 1600$ MeV/c^2 are

$$B(B^0_s(0) \rightarrow \phi \pi^+ \pi^-) = [3.48 \pm 0.23] \times 10^{-6},$$

and

$$B(B^0 \rightarrow \phi \pi^+ \pi^-) = [1.82 \pm 0.25] \times 10^{-7},$$

where the quoted uncertainties are purely statistical, but include the uncertainties on the yield of the normalization mode, and on the S-wave $K^+ K^-$ contributions to the signal and normalization modes. For the exclusive $B_s^0$ modes the signal yields are taken from the final column in Table IV. The branching fractions are

$$B(B_s^0 \rightarrow \phi f_0(980)) = [1.12 \pm 0.16] \times 10^{-6},$$

$$B(B_s^0 \rightarrow \phi f_2(1270)) = [0.61 \pm 0.13] \times 10^{-6},$$

and

$$B(B_s^0 \rightarrow \phi \rho^0) = [2.7 \pm 0.6] \times 10^{-7}.$$

The remaining $1.5 \times 10^{-6}$ of the inclusive $B_0^0$ branching fraction is mostly accounted for by an S-wave contribution in the region 1350–1600 MeV/c^2 as discussed in the previous section.

TABLE VI. Systematic uncertainties in % on the branching fractions of $B_s^0$ and $B^0$ decays. All the uncertainties are taken on the ratio of the signal to the normalization mode. Uncertainties marked by a dash are either negligible or exactly zero. The asymmetric uncertainties on $f_0(980)$ and $f_2(1270)$ come from the differences in yields between the fits with and without the $\rho^0$ contribution.

<table>
<thead>
<tr>
<th>Systematic</th>
<th>$B_s^0 \rightarrow \phi \rho^0$</th>
<th>$B_s^0(B^0) \rightarrow \phi f_0(980)$</th>
<th>$B_s^0(B^0) \rightarrow \phi f_2(1270)$</th>
<th>$B_s^0(B^0) \rightarrow \phi \pi^+ \pi^-$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trigger</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Hadronic interactions</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Offline selection</td>
<td>2.3</td>
<td>2.3</td>
<td>2.3</td>
<td>2.3</td>
</tr>
<tr>
<td>Particle identification</td>
<td>0.3</td>
<td>0.3</td>
<td>0.3</td>
<td>0.3</td>
</tr>
<tr>
<td>Angular acceptance</td>
<td>3.8</td>
<td>–</td>
<td>3.8</td>
<td>3.8 (10.7)</td>
</tr>
<tr>
<td>Decay time acceptance</td>
<td>1.1</td>
<td>1.1</td>
<td>1.1</td>
<td>1.1 (–)</td>
</tr>
<tr>
<td>m($K^+ K^- \pi^+ \pi^-$)</td>
<td>1.2</td>
<td>1.2</td>
<td>1.2</td>
<td>1.2 (19.5)</td>
</tr>
<tr>
<td>fit</td>
<td>2.5</td>
<td>+4.7/−0.4</td>
<td>+17.6/−2.7</td>
<td>–</td>
</tr>
<tr>
<td>Amplitude analysis</td>
<td>6.0</td>
<td>6.0</td>
<td>6.0</td>
<td>–</td>
</tr>
<tr>
<td>Total</td>
<td>7.0</td>
<td>+8.2/−6.7</td>
<td>+19.2/−8.1</td>
<td>4.8 (22.4)</td>
</tr>
</tbody>
</table>
VII. SYSTEMATIC UNCERTAINTIES

Many systematic effects cancel in the ratio of efficiencies between the signal and normalization modes. The remaining systematic uncertainties in the determination of the branching fractions come from replacing the $\pi^+\pi^-$ pair with a second $\phi$ meson decaying to two kaons. The systematic uncertainties are summarized in Table VI.

The trigger selection has a different performance for the $B^0_s \rightarrow \phi\pi^+\pi^-$ signal and for the $B^0_s \rightarrow \phi\phi$ normalization mode due to the different kinematics of the final state hadrons. The simulation of the trigger does not reproduce this difference accurately for hadronic decays, and a $D^0 \rightarrow K^-\pi^+$ control sample, collected with a minimum bias trigger, is used to evaluate corrections to the trigger efficiencies between the simulation and the data. These are applied as per-event reweightings of the simulation as a function of track $p_T$, particle type $K$ or $\pi$, and magnetic field orientation. For both the signal and normalization modes there are large corrections of $\approx 30\%$, but they almost completely cancel in the ratio, leaving a systematic uncertainty of $0.5\%$ from this source.

Another aspect of the detector efficiency that is not accurately modeled by the simulation is hadronic interactions in the detector. A sample of simulated $B^0 \rightarrow J/\psi K^0$ events is used to determine the fraction of kaons and pions that interact within the detector as a function of their momentum. On average this varies from $11\%$ for $K^+$ to $15\%$ for $\pi^-$. These numbers are then scaled up to account for additional material in the detector compared to the simulation. The effect partly cancels in the ratio of the signal and normalization modes leaving a $0.5\%$ systematic uncertainty from this source.

The offline selection efficiency has an uncertainty coming from the performance of the multivariate BDT. This has been studied by varying the selection on the $B^0 \rightarrow \phi\phi$ normalization mode, and extracting the shapes of the input variables from data using the sPlot technique. The distributions agree quite well between simulation and data, but there are small differences. When these are propagated to the signal modes they lead to a reduction in the BDT efficiency. Again the effect partially cancels in the ratio leaving a systematic uncertainty of $2.3\%$.

The offline selection also has an uncertainty coming from the different particle identification criteria used for the $\pi^+\pi^-$ in the signal and the $K^+K^-$ from the second $\phi$ in the normalization mode. Corrections between simulation and data are studied using calibration samples, with kaons and pions binned in $p_T$, $\eta$ and number of tracks in the event. There is an uncertainty of $0.1\%$ from the size of the calibration samples. Using different binning schemes for the corrections leads to a slightly higher estimate for the systematic uncertainty of $0.3\%$.

For the angular acceptance there is an uncertainty in the $m(\pi^+\pi^-)$ and angular distributions for the inclusive decays, and in the polarizations of the $\rho^0$ and $f_2(1270)$. A three-dimensional binning in $(\cos\theta_1, \cos\theta_2, m(\pi^+\pi^-))$ is used to reweight the simulation to match the data distributions for these modes. The accuracy of this procedure is limited by the number of bins and hence by the data statistics. By varying the binning scheme, systematic uncertainties of $3.8\%$ ($10.7\%$) are determined for $B^0_s$ ($B^0$) from this reweighting procedure. The larger $B^0_s$ uncertainty reflects the smaller signal yield. The angular distribution of the $B^0 \rightarrow \phi\phi$ normalization mode is modeled according to the published LHCb measurements [4], which introduces a negligible uncertainty.

The decay time acceptance of the detector falls off rapidly at short decay times due to the requirement that the tracks are consistent with coming from a secondary vertex. For $B^0_s$ decays the decay time distribution is modeled by the flavor-specific lifetime, but it should be modeled by a combination of the heavy and light mass eigenstates, depending on the decay mode. A systematic uncertainty of $1.1\%$ is found when replacing the flavor-specific lifetime by the lifetime of the heavy eigenstate and determining the change in the decay time acceptance. There is no effect on $B^0$ decays or on the normalization mode where the lifetime is modeled according to the published measurements.

The $K^+K^-\pi^+\pi^-$ and $K^+K^-K^-\pi^-$ invariant mass fits are repeated using a single Gaussian and using a power-law function to model the tails of the signal shapes. For the $m(K^+K^-\pi^+\pi^-)$ fit contributions from partially reconstructed backgrounds are added, including $B^0 \rightarrow \phi\phi(\pi^+\pi^-\pi^0)$ and $B^0 \rightarrow \phi\eta(\pi^+\pi^-\gamma)$. These changes lead to uncertainties on the $B^0_s$ ($B^0$) yields of $1.2\%$ ($19.5\%$). The large uncertainty on the $B^0$ yield comes both from the change in the signal shape and from the addition of partially reconstructed $B^0$ backgrounds. This systematic uncertainty reduces the significance of the $B^0$ signal from $7.7\sigma$ to $4.5\sigma$.

The results of the amplitude analysis for the exclusive $B^0_s$ decays depend on the set of input resonances that are used. The effect of including the $\rho$ is treated as a systematic uncertainty on the $f_0(980)$ and $f_2(1270)$ yields (see Table IV). The effect of adding either an $f_0(500)$ or a $\rho(1450)$ is treated as a systematic uncertainty on all the exclusive modes.

The difference between the S-wave $K^+K^-$ components in the signal and normalization modes is measured to be $(7.1 \pm 4.0)\%$ from fits to the $K^+K^-$ mass distributions. The uncertainty on this is treated as part of the statistical error. However, the S-wave component of the signal sample was not included in the amplitude analysis where it would give a flat distribution in $\cos\theta_1$. A study of the dependence of the S-wave $K^+K^-$ component as a function of $m(\pi^+\pi^-)$ does not indicate a significant variation, and the statistical uncertainty of $6\%$ from this study is taken as a systematic uncertainty on the yields of the exclusive modes extracted from the amplitude analysis.
VIII. SUMMARY AND CONCLUSIONS

This paper reports the first observation of the inclusive decay \( B_0 \to \phi\pi^+\pi^- \). The branching fraction in the mass range \( 400 < m(\pi^+\pi^-) < 1600 \text{ MeV}/c^2 \) is measured to be

\[
\mathcal{B}(B_0 \to \phi\pi^+\pi^-) = [3.48 \pm 0.23 \pm 0.17 \pm 0.35] \times 10^{-6},
\]

where the first uncertainty is statistical, the second is systematic, and the third is due to the normalization mode \( B_0 \to \phi\phi \).

Evidence is also seen for the inclusive decay \( B_0 \to \phi\pi^+\pi^- \) with a statistical significance of \( 7.7\sigma \), which is reduced to 4.5\( \sigma \) after taking into account the systematic uncertainties on the signal yield. The branching fraction in the mass range \( 400 < m(\pi^+\pi^-) < 1600 \text{ MeV}/c^2 \) is

\[
\mathcal{B}(B_0 \to \phi\pi^+\pi^-) = [1.82 \pm 0.25 \pm 0.41 \pm 0.14] \times 10^{-7}.
\]

An amplitude analysis is used to separate out exclusive contributions to the \( B_0 \) decays. The decay \( B_0 \to \phi f_0(980) \) is observed with a significance of 8\( \sigma \), and the product branching fraction is

\[
\mathcal{B}(B_0 \to \phi f_0(980), f_0(980) \to \pi^+\pi^-) = [1.12 \pm 0.16^{+0.09}_{-0.08} \pm 0.11] \times 10^{-6}.
\]

The decay \( B_0 \to \phi f_2(1270) \) is observed with a significance of 5\( \sigma \), and the product branching fraction is

\[
\mathcal{B}(B_0 \to \phi f_2(1270), f_2(1270) \to \pi^+\pi^-) = [0.61 \pm 0.13^{+0.12}_{-0.08} \pm 0.06] \times 10^{-6}.
\]

There is also a contribution from higher mass S-wave states in the region 1350–1600 MeV/c^2, which could be ascribed to a linear superposition of the \( f_0(1370) \) and the \( f_0(1500) \). There is 4\( \sigma \) evidence for the decay \( B_0 \to \phi\rho^0 \) with a branching fraction of

\[
\mathcal{B}(B_0 \to \phi\rho^0) = [2.7 \pm 0.7 \pm 0.2 \pm 0.2] \times 10^{-7}.
\]

This is lower than the Standard Model prediction of \( [4.4^{+2.7}_{-2.4}] \times 10^{-7} \), but still consistent with it, and provides a constraint on possible contributions from new physics in this decay.

With more data coming from the LHC it will be possible to further investigate the exclusive decays, perform an amplitude analysis of the \( B_0 \) decays, and eventually make measurements of time-dependent \( CP \) violation that are complementary to the measurements already made in the \( B_0 \to \phi\phi \) decay.

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[6] B. Aubert et al. (BABAR Collaboration), Searches for B Meson Decays to φφ, φρ, φf_0(980), and f_0(980)f_0(980) Final States, Phys. Rev. Lett. 101, 201801 (2008).
OBSERVATION OF THE DECAY $B^0 \rightarrow \phi K^0_s$
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Sezione INFN di Milano Bicocca, Milano, Italy
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