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Published in:
Proceedings of the Workshop on Nonlinear and Adaptive Control

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Document Version
Publisher's PDF, also known as Version of record

Publication date:
1996

Link to publication in University of Groningen/UMCG research database

Citation for published version (APA):

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On Disturbance Attenuation Properties of Control Schemes for Euler-Lagrange Systems: Theoretical and Experimental Results

Jacqueline Scherpen*, Romeo Ortega **, Gerardo Escobar**

* Delft University of Technology, Dept. of Electrical Engg.
P.O. Box 5031, 2600 GA Delft, THE NETHERLANDS
J.M.A.Scherpen@et.tudelft.nl

** Université de Compiègne, URA CNRS 817
BP 649, 60206, Compiègne, FRANCE
rortega@hds.univ-compiegne.fr, Gerardo.Escobar@hds.utc.fr

Workshop on Nonlinear and Adaptive Control, September 1996

Abstract

In this note we analyse the (local) disturbance attenuation properties of some asymptotically stabilizing nonlinear controllers for Euler-Lagrange systems reported in the literature. Our objective with this study is twofold: first, to compare the performance of these schemes from a perspective different from stabilizability; second, to quantify the basic tradeoff between robust stability and robust performance for these designs. We consider passivity-based and feedback linearization schemes developed for the control of DC-to-DC converters and rigid robots. For the DC-to-DC problem we show that for both controllers there exists a lower bound to the achievable attenuation level, i.e. a lower bound to the $L_2$-gain of the closed loop operator from disturbance to regulated output, which is independent of the design parameters. Also, for the passivity based scheme we obtain an upper bound for the disturbance attenuation, which is insured provided we sacrifice the convergence rate. For rigid robots we show that both approaches yield arbitrarily good disturbance attenuation without compromising the convergence rate. The results are verified and analysed for the DC-to-DC converter in an experimental set-up.

Keywords: Euler-Lagrange Systems, disturbances, nonlinear control, passivity based control, feedback linearization.
1 Introduction

A lot of research in recent years has been devoted to the problem of developing control algorithms for mathematical models of physical systems. In view of its practical interest many researchers have concentrated on systems described by Euler-Lagrange equations, and in particular, in mechanical, electromechanical and power electronic systems. Several alternative approaches have been taken to design asymptotically stabilizing controllers for these systems. For instance, passivity concepts have been invoked to control robots, e.g., [20], [18], [13], [3], induction motors [7], and DC-to-DC converters [16]. Feedback linearization is another technique that is used to control these systems, e.g., [19], [12], [15].

In applications these systems are typically subject to external disturbances. For instance, load variations (e.g., frictions) affect the performance in robots and induction motors, while the regulated voltage in converter devices is perturbed by fluctuations in the external voltage source. Our main motivation in this paper is to analyse and compare the disturbance attenuation properties of some (local) nonlinear controllers for Euler-Lagrange systems reported in the literature. Further, we want to investigate how, if at all, improving this performance indicator affects the robust stability measure. We use the tools provided by the recent theoretical research on the analysis of the $L_2$-gain of nonlinear systems, e.g., [9], [21]. Furthermore, we describe experiments for the DC-to-DC converter that have been performed to verify and test our results.

The remaining of the paper is organized as follows. In Section 2 we consider DC-to-DC “boost” converters. First, we present the circuit and its (averaged) model as well as the passivity-based and feedback linearization controllers reported in [16] and [15], respectively. Then, we prove some of the disturbance attenuation properties of these schemes. In Section 3 we carry out this $L_2$ gain analysis for rigid robots. We continue in Section 4 with the description and analysis of the results of the experiments with the DC-to-DC converter. We wrap up the paper with some concluding remarks.

2 DC-to-DC Converters

2.1 Model and Stabilization Problem

We consider the switch-regulated “boost” converter circuit of Figure 1. The differential equations describing the circuit are given by

\[
\begin{align*}
\dot{x}_1 &= -(1 - v) \frac{1}{L} x_2 + \frac{E + \omega}{L} \\
\dot{x}_2 &= (1 - v) \frac{1}{C} x_1 - \frac{1}{RC} x_2
\end{align*}
\]

where $x_1$ and $x_2$ represent, respectively, the input inductor current and the output capacitor voltage variables; $E > 0$ represents the nominal constant value of the external voltage source and $\omega$ is an unknown disturbance, which satisfies $|\omega| < E$; $v$, which takes values in the discrete set \{0, 1\}, denotes the switch position function, and acts as a control input. The regulated output is $x_2$ which should be driven to some constant desired value $E_o > E$. 

\[2\]
A Pulse Width Modulation (PWM) policy regulating the switch position function \( v \), may be specified as follows,

\[
v(t) = \begin{cases} 
1 & \text{for } t_k \leq t < t_k + \mu(t_k)T \\
0 & \text{for } t_k + \mu(t_k)T \leq t < t_k + T 
\end{cases}
\]

where \( t_k \) represents a sampling instant defined by \( t_{k+1} = t_k + T \), \( k = 0, 1, \ldots \); the parameter \( T > 0 \) is the fixed sampling period, also called the duty cycle. The duty ratio function, \( \mu(\cdot) \), ranging on the closed interval \([0, 1]\), is the control input to the average PWM model given by [16]

\[
\begin{align*}
\dot{z}_1 &= -u \frac{1}{L} z_2 + \frac{E + \omega}{L} \\
\dot{z}_2 &= u \frac{1}{C} z_1 - \frac{1}{RC} z_2
\end{align*}
\]

where \( u := 1 - \mu \), and we denote by \( z_1 \) and \( z_2 \) the average input current and the average output capacitor voltage, respectively. As discussed in [16] this model accurately describes the behavior of the converter provided the switching is sufficiently fast and the capacitor voltage is bounded away from zero, i.e., \( x_2 \geq \epsilon > 0 \).

### 2.2 Control Laws

In this subsection we recall two control laws proposed in the literature to regulate (2.1). In the absence of external disturbances, i.e., when \( \omega \equiv 0 \), they both achieve (local) asymptotic stabilization, that is, they insure that for suitable initial conditions \( z_2 \to E_o = \text{const} > E \) with internal stability.

**A. Passivity-based controller**

In [16] the following (nonlinear dynamic state feedback) controller that preserves passivity of the closed loop was proposed

\[
\begin{align*}
\dot{z}_{2d} &= -\frac{1}{RC} \left\{ z_{2d} - \frac{E_o^2}{E z_{2d}} \left[ E + R_1 \left( z_1 - \frac{E_o^2}{RE} \right) \right] \right\} , \quad z_{2d}(0) > 0 \\
u &= -\frac{1}{z_{2d}} \left[ E + R_1 \left( z_1 - \frac{E_o^2}{RE} \right) \right]
\end{align*}
\]
where \( R_1 > 0 \) is a design parameter that injects the damping required for asymptotic stability.

**B. Feedback linearizing controller**

In [15] a (nonlinear static state feedback) controller that linearizes the input-output behaviour of the system was proposed as follows. Consider the circuits total energy that is given by \( H := \frac{1}{2}(Lz_1^2 + Cz_2^2) \). Applying the control

\[
u = \frac{1}{(\frac{E}{L} + \frac{2}{RC}z_1)}z_2 \left\{ \left( \frac{2}{R^2C} - \frac{a_1}{R} + \frac{a_2C}{2} \right) \dot{z}_1^2 + \left( \frac{a_1E}{L} + \frac{a_2L}{2} \right) z_1 + \frac{E^2}{L} - a_2H_d \right\}
\]

where \( a_1, a_2 > 0 \) are the design parameters, and

\[H_d := \frac{E_o^2}{2}(C + \frac{L}{R^2E_o^2}E_o^2),\]

yields in the new coordinates \([H, \dot{H}]\) the closed loop linear model given by

\[\dot{H} + a_1\dot{H} + a_2H = a_2H_d\]

(2.5)

Notice that \( H_d \) is chosen such that as \( H \to H_d \) we have \( z_2 \to E_o \) as desired.

**2.3 Disturbance Attenuation Properties**

In this subsection we will study the disturbance attenuation capabilities of the two controllers given above. Since both controllers achieve local asymptotic stabilizability, the disturbance attenuation capabilities we study are obviously local too. Furthermore, by the Total Stability Theorem (see [8]) the internal stability of the closed loop system implies that the solutions still exists in a neighborhood of the equilibrium for the disturbances \( \omega \in \mathcal{L}_2 \cap \mathcal{L}_c^\infty \), where \( \mathcal{L}_c^\infty = \{ \omega | \sup_t \| \omega \| \} \). In order to use this result we have to consider small signal disturbances, i.e., in the remainder we assume without further mentioning that we are dealing with small signal disturbances.

Towards this end, we will evaluate some bounds on the achievable \( \mathcal{L}_2 \) gain of the closed loop operator from the external disturbance \( \omega \) to the regulated output \( z_2 \). The qualifier “achievable” stems from the fact that these bounds are independent of the controller parameters.

It should be remarked that, contrary to what is often the case in the literature, we do not treat stability together with the disturbance attenuation.

**2.3.1 Preliminary Lemma**

First, we present a lemma which establishes an \( \mathcal{L}_2 \) gain property of the passivity based controller. This lemma will be instrumental for the analysis below.

**Lemma 2.1** Consider the system (2.1) in closed loop with the controller (2.2), (2.3). Then, the \( \mathcal{L}_2 \) gain\(^1\) of the operator \( T_{\omega z_2} : \omega \mapsto \tilde{z}_2 \), where \( \tilde{z}_2 := z_2 - 2z_2d \), can be made arbitrarily small with a suitable choice of the design parameter \( R_1 \).

\(^1\)We recall that the \( \mathcal{L}_2 \) gain of an operator \( T : \mathcal{L}_2^n \to \mathcal{L}_2^n \) is defined as

\[\|T\|_{\mathcal{L}_2} \triangleq \sup_{\omega \in \mathcal{L}_2} \frac{\|T\omega\|_2}{\|\omega\|_2}\]
Proof
For ease of reference we define the following, more compact, matrix representation of (2.1),
\[ \mathcal{D} \dot{z} - u^T f(z) + R z = \epsilon \]  
(2.6)
where
\[ \mathcal{D} := \begin{bmatrix} L & 0 \\ 0 & C \end{bmatrix} ; \quad \mathcal{J} := \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} ; \quad \mathcal{R} := \begin{bmatrix} 0 & 0 \\ 0 & \frac{1}{\pi} \end{bmatrix} ; \quad \epsilon := \begin{bmatrix} E + \omega \\ 0 \end{bmatrix} \]

It can easily be verified that the closed loop is described by (2.2), (2.3) and
\[ \mathcal{D} \dot{\tilde{z}} + u^T \mathcal{J} \tilde{z} + \begin{bmatrix} R_1 & 0 \\ 0 & \frac{1}{\pi} \end{bmatrix} \tilde{z} = \begin{bmatrix} \omega \\ 0 \end{bmatrix} \]  
(2.7)
where \( \tilde{z} := z - \frac{E^2}{\pi \frac{\pi^2}{2}} + z_{2d} \).

We find it convenient now to write the equations in state space form
\[ \dot{x} = f(x) + g(x) \omega, \]  
(2.8)
where we have defined \( x = [x_1, x_2, x_3] := [\tilde{z}_1, \tilde{z}_2, z_{2d}] \), and \( f(x), g(x) \) are obtained from (2.2), (2.3), and (2.7). The equilibrium of this system is given by \( f(x_0) = 0, x_0 = [0, 0, E] \). Since we are interested in the operator \( T_{\omega_{\tilde{z}_2}} \) we take as “output” signal \( y = h(x) := x_2 \). We know that (e.g. [21], Theorem 2) if for \( \gamma > 0 \) there exists a smooth nonnegative solution \( V(x) \) to the following Hamilton-Jacobi inequality
\[ \frac{\partial V}{\partial x}(x,f(x)) + \frac{1}{2} \frac{\partial V}{\partial x}(x) g(x) g(x)^T \frac{\partial V}{\partial x}(x) + \frac{1}{2} h^T(x) h(x) \leq 0, \quad V(x_0) = 0, \]  
(2.9)
then the \( \mathcal{L}_2 \) gain of \( T_{\omega_{\tilde{z}_2}} \) is smaller than or equal to \( \gamma \). Now, we look at the quadratic function \( V_d := \frac{1}{2} \tilde{z}^T D \tilde{z} \) whose derivative satisfies
\[ \dot{V}_d = -\tilde{z} \begin{bmatrix} R_1 & 0 \\ 0 & \frac{1}{\pi} \end{bmatrix} \tilde{z} < 0 \quad \forall \, \tilde{z} \neq 0 \]  
(2.10)
and plug \( V = K_1 V_d \), with \( K_1 \) a positive constant, in equation (2.9) to obtain
\[ -K_1 R_1 \tilde{z}_2^2 - \frac{1}{R} K_1 \tilde{z}_2^2 + \frac{1}{2} \gamma^2 K_1^2 \tilde{z}_1^2 + \frac{1}{2} \tilde{z}_2^2 \leq 0 \]

Obviously, if \( K_1 \geq \frac{1}{2} R \) and \( \frac{1}{2} \gamma^2 K_1^2 K_1 R_1 \leq 0 \), then \( V \) satisfies the inequality (2.9). Choose \( K_1 = \frac{1}{2} R \), then the inequality holds for all \( \gamma^2 \geq \frac{R}{4 R^2} \), and \( \gamma \) can be made arbitrarily small by choosing \( R_1 \) arbitrarily large. This concludes the proof.

\[ \square \]

From the lemma above we see that increasing the damping \( (R_1) \) we decrease the effect of the disturbances on the signal \( \tilde{z}_2 \). On the other hand, it follows from (2.10) that
\[ \dot{V}_d \leq -\alpha V_d, \quad \alpha := \min(R_1, \frac{1}{\pi}) \frac{\min(R_1, \frac{1}{\pi})}{\max(L, C)} > 0 \]
where \( \| \cdot \|_2 \) is the \( \mathcal{L}_2 \) induced norm, and \( \| \cdot \|_2 \) the \( \mathcal{L}_2 \) norm, see e.g. [5]
Hence, the convergence rate of $\tilde{z}$ to zero is also improved by pumping up this gain. From these observations one might be tempted to try a high-gain design, which a more careful analysis reveals not to be a good idea. To see this notice that $\tilde{z}_2 \to 0$ does not imply that $z_2 \to E_o$ as desired, unless $z_{2d} \to E_o$ as well. To study the behavior of the latter consider the signal $\eta := \frac{1}{2}(z_{2d}^2 - E_o^2)$, which satisfies
\[
RC\dot{\eta} = -2\eta + \frac{R_1 E_o^2}{E} \tilde{z}_1
\]
This equation clearly shows that increasing the damping will induce a “peaking” in $\eta$, and consequently a slower convergence of $z_{2d} \to E_o$.

2.3.2 Lower Bounds

It is important to remark that the “ideal” disturbance attenuation property of the passivity based controller established in lemma 2.1 is with respect to the output signal $\tilde{z}_2$, while the actual regulated output of the system is $z_2$. Unfortunately, in the following theorem we prove with the help of a result that relates the $\mathcal{L}_2$-gain of the nonlinear system with the $\mathcal{L}_2$-gain of its linearization, that for neither one of the controllers we can actually obtain arbitrarily small disturbance attenuation for $z_2$.

**Proposition 2.2** Consider the system (2.1) in closed loop with the passivity-based controller (2.2), (2.3). Then, the $\mathcal{L}_2$ gain of the operator $T_{\omega z_2} : \omega \mapsto z_2$ satisfies the lower bound
\[
\|T_{\omega z_2}\|_{\mathcal{L}_2} \geq \frac{E_o}{2E}
\]
On the other hand, for the linearizing controller (2.4) we have the lower bound
\[
\|T_{\omega z_2}\|_{\mathcal{L}_2} > \frac{L^3 E_o^3}{E^3 CR^2 + 2LEF_o^2}
\]

**Proof**
The proof makes use of the linearization of the closed loop systems, and Proposition 6 of [21], which proves that if the linearized system has $\mathcal{L}_2$ gain $> (\geq) \gamma$ then the original nonlinear system also has $\mathcal{L}_2$ gain $> (\geq) \gamma$.

Let us first consider the passivity based control scheme described by (2.7), (2.2), and (2.3). We are interested in the output function $z_2 = \tilde{z}_2 + z_{2d}$. Define $x$, $f(x)$, and $g(x)$ as in the proof of Lemma 2.1, and take now as the output function $h(x) = x_2 + x_3$. Linearizing about the equilibrium $x_0 = [0, 0, E_o]$ gives
\[
A := \frac{\partial f}{\partial x}(x_0) = \begin{bmatrix} -\frac{R_1}{E} & -\frac{E}{CE_o} & 0 \\ -\frac{E}{CE_o} & -\frac{1}{RC} & 0 \\ \frac{1}{RC} & 0 & -2\frac{E}{CR} \end{bmatrix} \quad B := g(x_0) = \begin{bmatrix} \frac{1}{L} \\ 0 \\ 0 \end{bmatrix} \quad C := \frac{\partial h}{\partial x}(x_0) = \begin{bmatrix} 0 & 1 & 1 \end{bmatrix}
\]
Some simple calculations yield the transfer function of this system as
\[
\hat{G}(s) := C(sI - A)^{-1}B = \frac{1}{d(s)} \left( \frac{E}{LC E_o}(s + \frac{2}{CR}) + \frac{R_1 E_o}{LC E}(s + \frac{1}{RC}) \right)
\]
where
\[ d(s) := \det(sI - A) = (s + \frac{2}{CR}) \left( s^2 + \frac{1}{RC} + \frac{R_1}{L} s + \frac{E_o^2 R_1 + 2E^2 R}{LRC E_o^2} \right) \]

By definition of the \( \mathcal{L}_2 \) norm of a linear time invariant system, we know that
\[ \|G\|_{\mathcal{L}_2} = \sup_{w} |\hat{G}(jw)| \geq |\hat{G}(0)| = \frac{E_o}{2E}. \]

This completes the proof of the first part.

For the feedback-linearizing scheme consider the model (2.1), with the control (2.4). We are interested in the output \( z_2 \). It is readily checked that the (unforced systems) equilibria \( \bar{z} = [\bar{z}_1, E_o] \) satisfy \( \bar{z}_1 = \frac{E_o}{ER} \). The transfer matrix of the linearized system is given by
\[ \hat{G}_1(s) := \frac{K}{s^2 + a_1 s + a_2} \]

where
\[ K := \frac{(E^4 L R^2 + a_1 L^2 R E^2 E_o^2 + a_2 L^3 E_o^4)}{E_o (E^3 C R^2 + 2L E E_o^2)} \]

To compute a lower bound for the \( \mathcal{L}_2 \) gain of this system independent of the design parameters \( a_1, a_2 > 0 \) we have to consider two cases:

- If \( a_2 \leq \frac{1}{3} a_1^2 \), then
  \[ \gamma_1 := \|G_1\|_{\mathcal{L}_2} = \frac{K}{a_2} > \frac{L^3 E_o^3}{E^3 C R^2 + 2L E E_o^2}. \]

- If \( a_2 > \frac{1}{3} a_1^2 \), then
  \[ \gamma_2 := \|G_1\|_{\mathcal{L}_2} = \frac{K^2}{a_1^2 (a_2 - \frac{1}{3} a_1^2)}. \]

Take \( a_2 = ca_1^2 \), then for all \( c > \frac{1}{3} \)
\[ \gamma_2 > \frac{1}{c - \frac{1}{3}} \left( \frac{c E_o^3 R^3}{E^3 C R^2 + 2L E E_o^2} \right)^2 > \left( \frac{L^3 E_o^3}{E^3 C R^2 + 2L E E_o^2} \right)^2 \]

Combining these two cases we get that
\[ \|G_1\|_{\mathcal{L}_2} \geq \min(\gamma_1, \gamma_2) > \frac{L^3 E_o^3}{E^3 C R^2 + 2L E E_o^2}, \]

which concludes the proof of this theorem.
2.3.3 An Upper Bound for the Passivity-Based Controller

The above proposition gives limits on the achievable disturbance attenuation which depend on the system parameters and desired set point, but are independent of the design parameters. In the following we develop an upper bound on the disturbance attenuation for the passivity based controller.

Again, consider the model of the closed loop system (2.2), (2.3), and (2.7) expressed shortly as in (2.8). Then, we shift the coordinates such that the system has its equilibrium in 0, hence we define $\tilde{z}_d = z_d - E_o$ and consider equation (2.7) together with

\[
\begin{align*}
\dot{\tilde{z}}_d &= \frac{1}{CR}(\tilde{z}_d + E_o) + \frac{E_o^2}{CRE(\tilde{z}_d + E_o)}(E + R_1\tilde{z}_1) \quad (2.11) \\
y &= \tilde{z}_2 + \tilde{z}_d (= z_2 - E_o),
\end{align*}
\]

To obtain an upper bound for the disturbance attenuation we must find for this system a non-negative solution to the Hamilton-Jacobi inequality (2.9) for a certain $\gamma$. In order to find such solution, we split it into two parts. The first part is taken from Lemma 2.1, i.e., define

\[
V_1(\tilde{z}) := \frac{K_1}{2} \tilde{z}^T D \tilde{z},
\]

with $K_1 > 0$. Additionally, we consider a term

\[
V_2(\tilde{z}_d) := K_2(\frac{1}{3}\tilde{z}_d + \frac{1}{2}E_o)\tilde{z}_d^2
\]

with $K_2 > 0$, which is motivated by the fact that $\frac{\partial V}{\partial \tilde{z}_d} = K_2(\tilde{z}_d + E_o)\tilde{z}_d$, and therefore helps us to cancel the term $\tilde{z}_d$ in the output. Now define $V(\tilde{z}_1, \tilde{z}_2, \tilde{z}_d) := V_1(\tilde{z}_1, \tilde{z}_2) + V_2(\tilde{z}_d)$. Note that $V \geq 0$ for all $\tilde{z}_d \geq -\frac{3}{2}E_o (\Leftrightarrow z_d \geq -\frac{3}{2}E_o)$. If we substitute $V$ into the inequality (2.9), then we obtain

\[
\begin{align*}
-K_1R_1\tilde{z}_1^2 - \frac{K_1}{R}\tilde{z}_2^2 - \frac{K_2}{CR}(\tilde{z}_d + E_o)^2\tilde{z}_d^2 &+ \frac{K_2E_o^2}{CRE}(E + R_1\tilde{z}_1)\tilde{z}_d + \frac{K_2^2}{2\gamma^2}\tilde{z}_d^2 \\
&+ \frac{1}{2}\tilde{z}_2^2 + \tilde{z}_2\tilde{z}_d + \frac{1}{2}\tilde{z}_d^2 \leq 0
\end{align*}
\]

(2.12)

Use $\tilde{z}_2\tilde{z}_d \leq \frac{1}{2}\tilde{z}_2^2 + \frac{1}{2}\tilde{z}_d^2$, and $\tilde{z}_1\tilde{z}_d \leq \frac{1}{2}\tilde{z}_1^2 + \frac{1}{2}\tilde{z}_d^2$, then inequality (2.12) is satisfied if

\[
\left( -K_1R_1 + \frac{1}{2} \frac{K_2R_1E_o^2}{CRE} + \frac{K_2^2}{2\gamma^2} \right) \tilde{z}_1^2 + \left( -\frac{K_1}{R} + 1 \right) \tilde{z}_2^2 + \left( -\frac{K_2}{CR}(\tilde{z}_d + 2E_o) + \frac{K_2E_o^2R_1}{2CRE} + 1 \right) \tilde{z}_d^2 \leq 0
\]

is satisfied. Clearly, this last inequality holds if

1. $-K_1R_1 + \frac{1}{2} \frac{K_2R_1E_o^2}{CRE} + \frac{K_2^2}{2\gamma^2} \leq 0$

2. $-\frac{K_1}{R} + 1 \leq 0$

\[8\]
3. \[-\frac{K_2}{CR}(\bar{z}_{2d} + 2E_o) + \frac{K_2E_o^2R_1}{2CRE} + 1 \leq 0\]

Condition 3 implies that $R_1$, which is a design parameter, should fulfill

\[R_1 \leq \frac{2E}{E_o^2}\left(-\frac{CR}{K_2} + 2E_o + \bar{z}_{2d}\right).\]

With $\bar{z}_{2d} \geq -\frac{3}{2}E_o$ it follows that if

\[R_1 \leq \frac{2E}{E_o^2}\left(-\frac{CR}{K_2} + \frac{1}{2}E_o\right)\]

then condition 3 is fulfilled. Since $R_1 > 0$ we have that $K_2$ should fulfill

\[K_2 > \frac{2CR}{E_o}.\]

From condition 2 we obtain

\[K_1 \geq R.\]

Finally, from condition 1 we obtain that

\[\gamma^2 \geq \frac{1}{R_1} \frac{K_2^2CRE}{R_3(2K_1CRE - K_2E_o^2)} \quad \text{and} \quad 2K_1CRE - K_2E_o^2 > 0.\]

Now, to optimize the disturbance attenuation property we have to find $K_1$ and $K_2$ such that $\gamma$ is as small as possible. This leads us to the constrained optimization problem summarized in the following proposition.

**Proposition 2.3** Consider the system (2.1) in closed loop with the controller (2.2), (2.3) with the design parameter $R_1 < \frac{E}{E_o}$. Then, for all disturbances $\omega$ such that $\bar{z}_{2d}(t) > -\frac{1}{2}E_o$, we have the bound

\[\|\bar{z}\|_2 \leq \tilde{\gamma}\|\omega\|_2\]

where $\tilde{\gamma}$ is the solution to the following optimization problem

\[\tilde{\gamma}^2 := \min_{K_3,K_4} \frac{1}{2R_1} \cdot \frac{K_3K_4^2E_o}{K_4 - 1}\]

with

\[R_1 \leq \frac{E}{E_o}\left(-\frac{1}{K_3} + 1\right)\]

\[K_3K_4 \geq \frac{RE}{E_o}\]

\[K_3 > 1\]

\[K_4 > 1\]

**Proof**

The proof is given in the steps towards this proposition, where for convenience we have defined

\[K_3 := \frac{K_2}{2CR}, \quad K_4 := \frac{K_1}{K_2} \cdot \frac{2CRE}{E_o^2}\]
The following remarks are in order:

- Even though we can not solve analytically the optimization problem posed above, standard software can be used to find $\tilde{\gamma}$ for a given system and a damping gain satisfying $R_1 < \frac{E}{E_{\infty}}$. It is interesting to note that the latter bound exhibits again the tradeoff between robust stability and robust performance to external disturbances. This stems from the fact that $R_1$, which relates with the convergence rate as explained in Section 2.3.1, cannot be chosen larger than $\frac{E}{E_{\infty}}$ to insure the disturbance attenuation $\tilde{\gamma}$. Furthermore, the expressions above provide some useful guidelines for the selection of the system parameters to enhance the disturbance attenuation properties of the amplifier.

- Notice from (2.3) that to avoid singularities the controller state $z_{2d}$ should be always positive and bounded away from zero. As discussed in [16] this requirement, which is consistent with the domain of validity of the averaged model, is needed even in the absence of external disturbances. Hence, the assumption made above on the disturbances is by no means restrictive in the present context.

## 3 Rigid Robots

In this section we consider the problem of attenuation of input disturbances in rigid robots performing a trajectory tracking task. In this case we will provide conditions on the controller tuning parameters such that both, passivity-based and feedback linearization schemes, yield closed loops with arbitrarily good disturbance attenuation properties without compromising the convergence rate. The solution of the Hamilton-Jacobi inequality follows from a similar analysis as in Lemma 2.1, by considering the physics of the system, and adding a term to fulfill the inequality. In other related work (i.e., [14], [1],[2]) the problem of designing a controller such that the closed loop satisfies an $\mathcal{H}_\infty$ bound is considered. This in contrast with our approach where the emphasis is on deriving conditions for existing closed loop schemes to achieve such disturbance attenuation properties. We believe, the overall analysis here is more transparent, in particular the solutions to the corresponding Hamilton-Jacobi inequalities, motivated by the system structure, are simpler.

It is well known (e.g. [19]) that the free dynamics of rigid robots (with rotational joints) are described by

$$D(q)\ddot{q} + C(q, \dot{q})\dot{q} + G(q) = u + \omega$$

where $q \in \mathbb{R}^n$ denotes the joint angular positions, $d_1I \geq D(q) \geq d_2I > 0$ the inertia matrix, $C(q, \dot{q})\dot{q}$ the centrifugal and Coriolis forces, $G(q)$ the gravitational forces, and $u$ the input torques, which we assume are perturbed by some external disturbance $\omega$.

The $k$th element of $C(q, \dot{q})$ is univocally defined from the elements of $D(q)$ via the Christoffel symbols of the first kind [13]

$$c_{ki} := \frac{1}{2} \sum_{i=1}^{n} \left( \frac{\partial d_{kj}}{\partial q_i} + \frac{\partial d_{ki}}{\partial q_j} - \frac{\partial d_{ij}}{\partial q_k} \right) \dot{q}_i$$

such that

$$\dot{D}(q) = C(q, \dot{q}) + C^T(q, \dot{q})$$

(3.2)
In the absence of external disturbances, a globally exponentially stable controller that preserves passivity in closed loop is given by

\[ u = -D(q)(\ddot{q}_d - \lambda \dot{q}) - C(q, \dot{q})(\ddot{q}_d - \lambda \dot{q}) - k_1(\ddot{q} + \lambda \dot{q}) - k_2 \dot{q} + G(q) \tag{3.3} \]

where \( q_d(t) \) is the desired angular trajectory, \( \ddot{q} = q - q_d \), and \( \lambda, k_1, k_2 > 0 \) are design parameters, see e.g. [3]. We are interested here in the choice of these parameters for optimal attenuation of the torque disturbance on the position and speed tracking errors. The solution to this problem is summarized in the proposition below. As mentioned before, a similar result, as well as its extension to the more challenging flexible joint robots, may be found in the interesting works of [1], [2].

**Proposition 3.1** Consider (3.1) in closed loop with (3.3) with the output signal \( z := [\ddot{q}, \dot{q}]^T \).

For a fixed \( \gamma > 0 \), assume

\[
\begin{align*}
k_1 &> \frac{1}{2} \left( \frac{1}{\gamma^2} + 1 + \lambda \right) \\
k_2 &> \frac{1}{2\lambda}(\lambda^2 + \lambda + 1)
\end{align*}
\]

Under these conditions, the \( L_2 \) gain of the operator \( T_{wz} : \omega \to z \) satisfies the bound \( ||T_{wz}||_2 \leq \gamma \). Consequently, arbitrarily good disturbance attenuation is achievable by increasing the gain \( k_1 \).

**Proof**

The proof follows immediately by plugging in the Hamilton-Jacobi inequality (2.9) the quadratic function

\[
V(s, \dot{q}) := \frac{1}{2} s^T D(q)s + \frac{k_2}{2} |\dot{q}|^2
\]

where, for convenience, we have defined \( s := \dot{q} + \lambda \ddot{q} \), to obtain, after some basic bounding and the use of the skew-symmetry property (3.2), the inequality

\[
-\left[ k_1 - \frac{1}{2} \left( \frac{1}{\gamma^2} + 1 + \lambda \right) \right] |s|^2 - \left[ \lambda k_2 - \frac{1}{2} (\lambda^2 + \lambda + 1) \right] |\dot{q}|^2 \leq 0
\]

\( \square \)

Evaluating the derivative of \( V \) along the trajectories of the unforced closed loop system we get

\[
\dot{V} = -k_1 |s|^2 - k_2 \lambda |\dot{q}|^2
\]

Henceforth, in contrast with the converter problem, in this case there is no tradeoff between converge rate and disturbance attenuation to be made. This seems to stem from the fact that rigid robots are fully actuated systems, that is, the number of degrees of freedom is equal to number of controls.

Its easy to see that a similar property is enjoyed by the feedback linearization (computed torque) controller

\[
u = D(q)[\ddot{q}_d - k_1 \ddot{q} - k_2 \dot{q}] + C(q, \dot{q})\dot{q} + G(q)
\]

11
which yields the closed loop system

\[ \ddot{q} + k_1 \dot{q} + k_2 q = D^{-1}(q)\omega \]

Notice that the system is linear up to \( D \), but in view of the uniform boundedness of \( D(q) \), we have \( |D^{-1}(q)\omega| \leq \frac{1}{\alpha} |\omega| \).

4 Experimental configuration for the DC-to-DC converter

The experimental card was assembled using low cost commercial electronic elements placed on a card designed in the laboratory. In Figure 2 we show the experimental set-up consisting of the boost circuit card that receives control signals from a D/A converter of a DSpace card placed in a PC. The DSpace card acquires, using an A/D converter, the output voltage and inductor current signals previously conditioned from the boost card. Two DC power supplies are necessary, one of them to provide energy to the system (we'll refer to it as the power supply in the rest of the paper), and the other one to feed the electronic part of the card.

![Figure 2: Experimental set-up](image_url)

4.1 Boost circuit card description

In Figure 3 we show the main card which is formed by a boost circuit, a pulse width modulation circuit (PWM), a current sensor, a current to voltage converter and a voltage divisor functioning as signal conditioners.

The boost circuit is composed of an inductor, a capacitor, a resistive charge and a switch. The last one is implemented by interconnecting a FET transistor and a rapid diode in a suitable manner, and fed by a DC power supply. The values of the elements can be found in Table 1.

A current sensor is introduced, which is useful for the control laws. In this card we can choose between controlling the boost circuit by means of a PWM or by directly introducing a switching signal, depending on the position of the mode switch. We can connect or disconnect another resistive charge to the output by means of a digital signal coming from the DSpace
Figure 3: Boost circuit cart

<table>
<thead>
<tr>
<th>Element</th>
<th>Value</th>
<th>Unities</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capacitance</td>
<td>1000</td>
<td>$\mu F$</td>
</tr>
<tr>
<td>Inductance</td>
<td>12.1</td>
<td>$mH$</td>
</tr>
<tr>
<td>Resistance</td>
<td>100</td>
<td>$\Omega$</td>
</tr>
<tr>
<td>Power supply</td>
<td>10</td>
<td>$Volt$</td>
</tr>
</tbody>
</table>

Table 1: Values of the elements

card, giving us the possibility to introduce disturbances in the resistive charge. A driver has been interconnected with the power supply and the circuit, to allow us to introduce signals from an instrument without low impedance at the output, e.g. a signal generator or even the PC. We use it to add disturbances to the power source. Programs are written on a PC using the C language.

5 Experimental results

The two control schemes studied in the present article, i.e., the Passivity Based Controller (PBC) and the Feedback Linearizing Controller (FLC), have been implemented in the above boost circuit card. We study the closed loop behaviour for disturbances in the power supply, for variation in the output resistance, and for changes in the desired output voltage. This allows us to compare both control schemes, and to verify the theoretical results. In all experiments we have as a desired output voltage $V_d = 20\text{ Volt}$ unless otherwise indicated.
5.1 Typical responses

In Figure 4 and Figure 5 we show the typical responses for the system under PBC and FLC respectively, they include the inductor current $x_1(t)$, capacitor voltage $x_2(t)$ duty ratio $\mu(t)$ and for the PBC also the desired capacitor voltage $\hat{z}_{2d}$.

![Figure 4: Typical responses for PBC](image)

![Figure 5: Typical responses for FLC](image)

5.2 Frequency response to periodic disturbances in the source

In this experiment we consider the frequency responses of the output voltage under periodic perturbations introduced in the power supply. Hence, we add to the $E$ source a perturbation
\( w(t) = A_w \sin(2\pi ft) \) for different values of \( f \), and for \( A_w = 3 \) Volts. In Figure 6 it can be observed that these frequency responses are similar to that of a low pass filter.

![Figure 6: Disturbance frequency response for FLC and PBC, respectively](image)

Note that for the case of PBC, the cutoff frequency is approximately \( 3.4 \) Hz whereas for the FLC it is \( 9 \) Hz. These frequencies depend on the given power converter parameters and we can try to vary it by changing the design parameters \( R_1 \) and \( a_1, a_2 \) respectively. It is important to remark that this cutoff frequency is relatively small compared to the natural line frequency noise, which means that the rejection of this kind of natural perturbations is assured.

### 5.3 Tracking a desired voltage signal

The controllers are designed for regulation, but in some applications it is desirable for the closed loop system to follow a time-varying output signal \( V_d(t) \). This characteristic is related with the frequency response for a periodic \( V_d(t) \) signal. In Figure 7 we show the response of the systems for several frequencies.

![Figure 7: Frequency response to a desired periodic output voltage in PBC and FLC, respectively](image)

The experiment is performed with signals of the form \( V_d(t) = V_{d0} + A \sin(2\pi ft) \). and we initiate in \( V_{d0} \). This means that we only consider the alternating part of the response. The cutoff frequencies that we obtain can be used for comparing the two control schemes. From
the figures we obtain for the PBC that \( f_c = 8.3 \text{Hz} \) and for the FLC that \( f_c = 8.5 \text{Hz} \).

In Figure 8 and 9 we show the typical responses of the closed loop systems. Here the values of the frequencies are set on 2 Hz for both control laws.

![Figure 8: Response to a periodic desired signal \( V_d(t) \) for PBC](image1)

![Figure 9: Response to a periodic desired signal \( V_d(t) \) for FLC](image2)

### 5.4 Response to an \( L_2 \) disturbance signal

In this experiment we add an \( L_2 \) disturbance signal \( w(t) \) to the power supply. We do this by means of a squared signal from a signal generator. Characteristics of this signal are: amplitude 3\text{Volt} and duration 0.1\text{sec}. In Figure 10 and 11 we can see the response of the circuit variables when this disturbance is applied.
Figure 10: Response to a $w(t) \in \mathcal{L}_2$ for PBC

Figure 11: Response to a $w(t) \in \mathcal{L}_2$ for FLC
Signal \( w(t) \) is applied after the output has arrived to the equilibrium point. Hence, in order to compute the \( L_2 \) norm of the output, we only have to consider the error signal caused by the disturbance, i.e., we compute the difference between the voltage output and its equilibrium value. In Figure 12 we show \( w(t) \) and the output error signals \( \tilde{x}_2(t) \).

From the experiments we obtain the following gains

\[
\gamma_{PBC} = \frac{||\tilde{x}_2||_2}{||w||_2} = 2.0315 \quad \text{PB Controller}
\]

\[
\gamma_{FLC} = \frac{||\tilde{x}_2||_2}{||w||_2} = 3.5137 \quad \text{FL Controller}
\]

On the other hand, substituting the parameters values given in table 1 into the equations for the lower bounds given in Proposition 2.2, we obtain respectively for the PB and FL controllers

\[
||\tau_{w\tilde{x}_2}||_2 > \frac{E_0}{2E} = 1
\]

\[
||\tau_{w\tilde{x}_2}||_2 > \frac{L^3E_0^3}{E^3CR^2 + 2LEE_0^2} = 1.4037 \times 10^{-6}
\]

As we can see the values obtained experimentally fulfill these bounds.

### 5.5 Upper bound for the disturbance attenuation

The upper bound for the disturbance attenuation for the case of PBC is obtained as the solution of an optimization problem (Proposition 2.3). This yields

\[
\bar{\gamma} = 36.8356
\]
\[ R_1 = 0.4269 \]
\[ K_1 = 100.1178 \]
\[ K_2 = 0.0685 \]

In Figure 13 we present a 3D graphic for \( \bar{\gamma}^2 \) as a function of parameters \( K_3 \) and \( K_4 \) that fits the conditions given in the optimization problem for the set of parameters in the real circuit. In this graphic the optimal point is marked with a star and is located at the perimeter.

![3D graphic](image)

**Figure 13:** Function \( \bar{\gamma}(K_3, K_4) \) and optimal point (\( * \))

## 6 Concluding Remarks

The long term motivation of the present study is to provide a framework to compare, from a perspective different from stabilization, various existing controllers proposed for Euler-Lagrange systems. A similar research, albeit specialized to robots with flexible joints, was reported in [4], where the comparison was based on continuity properties and adaptivity. As alternative performance indicator we propose here to adopt the robustness to external disturbances, which is measured via the \( \mathcal{L}_2 \) norm of the corresponding closed loop operator. Although this indicator may be extremely conservative\(^2\), we believe it is a natural way to set up the basic tradeoff between robust stability and robust performance.

In this brief note we have studied these questions for passivity-based and feedback linearizing controllers as applied to DC-to-DC converters and rigid robots. Current research is under way to extend this study to other systems and controllers. In particular, we are interested in carrying out the analysis for backstepping-based controllers and induction motors. Furthermore, more experimental results are under way.

\(^2\)Particularly for nonlinear systems where it is not clear how to discriminate the more viable disturbances.
Acknowledgement

We would like to thank I. Zein for his help with the experiments.
This work was partly realized while the first author was visiting Université de Compiègne under the auspices of the Commission of European Communities under contract ERB CHRX CT 93-0380.

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