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Floater, Michael S; Kosinka, Jiri

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Barycentric interpolation and mappings on smooth convex domains

Michael S. Floater  
Centre of Mathematics for Applications,  
Department of Informatics, University of Oslo  
PO Box 1053, Blindern  
0316 Oslo, Norway  
michaelf@ifi.uio.no

Jiří Kosinka  
Centre of Mathematics for Applications,  
Department of Informatics, University of Oslo  
PO Box 1053, Blindern, 0316 Oslo, Norway  
Department of Mathematics, Faculty of Applied Sciences, University of West Bohemia  
Univerzitní 8, 301 00 Plzeň, Czech Republic  
jiri.kosinka@gmail.com

ABSTRACT
In a recent paper, Warren, Schaefer, Hirani, and Desbrun proposed a simple method of interpolating a function defined on the boundary of a smooth convex domain, using an integral kernel with properties similar to those of barycentric coordinates on simplexes. When applied to vector-valued data, the interpolation can map one convex region into another, with various potential applications in computer graphics, such as curve and image deformation. In this paper we establish some basic mathematical properties of barycentric kernels in general, including the interpolation property and a formula for the Jacobian of the mappings they generate. We then use this formula to prove the injectivity of the mapping of Warren et al.

Categories and Subject Descriptors
G.1.1 [Mathematics of Computing]: Numerical Analysis—Interpolation

General Terms
Theory, Design

Keywords
Interpolation, barycentric coordinates, barycentric mapping, injectivity.

1. INTRODUCTION
Generalizations of barycentric coordinates to arbitrary polygons and polyhedra have become a useful mathematical tool in various areas of computer graphics, such as shading, plane and space deformation, and animation. Mean value coordinates have emerged as a popular choice, due to their generality and surprising robustness over complex geometric shapes [5, 10, 18, 3, 7, 4, 8, 12, 15, 16]. For the specific case of convex polygons and polyhedra, however, the coordinates of Wachspress and their generalizations due to Warren et al. [20, 22, 24, 13, 23] can be used instead, and the fact that they can be expressed in the form of rational functions can be viewed as an advantage; planar mean value coordinates involve square roots. We also now know [8] that barycentric mappings between convex polygons are always injective when based on Wachspress coordinates; in contrast, mean value coordinates can lead to fold-over, albeit in rather extreme cases. Related studies of barycentric coordinates and applications can be found in [6, 11, 15, 17, 21, 19, 25].

The main purpose of this paper is to investigate properties of the integral-based coordinates proposed by Warren, Schaefer, Hirani, and Desbrun [24] for convex sets with smooth boundary. These coordinates are a continuous analog of Wachspress coordinates; there is now one coordinate function for every point on the boundary curve, and one can view the whole set of coordinate functions as a single bivariate function that can be used as an integral kernel for interpolating data on the boundary. Our main result is to show that mappings between convex sets based on this kernel are always injective. In addition, we establish some basic mathematical properties of barycentric kernels in general, including the interpolation property and a formula for the Jacobian of the mappings they generate.

Let $\Omega$ be a bounded, open, strictly convex region of $\mathbb{R}^2$ with boundary $\partial \Omega$. By strict convexity we mean that no three points on $\partial \Omega$ are collinear. Suppose that $p : [a, b] \rightarrow \mathbb{R}^2$, with $p(a) = p(b)$, is a continuous parametric representation of $\partial \Omega$, which is injective in $[a, b]$. Given a continuous function $f : \partial \Omega \rightarrow \mathbb{R}$ we are interested in finding an interpolant $g : \Omega \rightarrow \mathbb{R}$, i.e., a function with the property that for any $t \in [a, b)$,

$$
g(x) \rightarrow f(p(t)) \text{ as } x \rightarrow p(t), \text{ for } x \in \Omega.
$$

One possible way of constructing such an interpolant is by an integral of the form

$$
g(x) = \int_a^b \lambda(x, t) f(p(t)) \, dt. \quad (1)
$$

Here, we assume that both $f$ and the kernel $\lambda$ are continuous in $t$. As we will see there are various choices of the
kernel \( \lambda \) that guarantee the interpolation property: that \( g \) interpolates \( f \).

One example is the Poisson kernel in the case that \( \Omega \) is the unit disc. With \( a = -\pi \), \( b = \pi \), and \( p(t) = (\cos t, \sin t) \), the Poisson kernel is

\[
\lambda(x, t) = \frac{1 - ||x||^2}{2\pi(1 - 2x \cdot p(t) + ||x||^2)}.
\]

It is well known [1] that in this case \( g \) is the unique harmonic extension of \( f \) to \( \Omega \), i.e., \( g \) is the solution to

\[
\Delta g = 0 \quad \text{in} \, \Omega,
\]

\[
g = f \quad \text{in} \, \partial \Omega.
\]

An interesting alternative construction of this harmonic interpolant in terms of averages of linear interpolants to \( f \) was given in [9]. See also Section 3 of [2].

Recently, two kernels have been found that yield interpolation for any convex domain, with smooth enough boundary. Warren, Schaefer, Hirani, and Desbrun [24] proposed the kernel

\[
\lambda(x, t) = w(x, t) / \int_a^b w(x, s) \, ds,
\]

(2)

where

\[
w(x, t) = \frac{p(t) \times p'(t)}{\| (p(t) - x) \times p'(t) \|^2},
\]

(3)

for domains for which \( p \in C^2[a, b] \), with \( \times \) denoting the cross product of vectors in \( \mathbb{R}^2 \), i.e., \( p \times q := p_1 q_2 - p_2 q_1 \), where \( p = (p^1, p^2) \) and \( q = (q^1, q^2) \). Later, following the integral construction of mean value coordinates [5], a 'mean value' kernel was found [12, 4], namely (2) with \( w \) replaced by

\[
w(x, t) = \frac{(p(t) - x) \times p'(t)}{\|p(t) - x\|^3},
\]

which is defined for \( p \in C^1[a, b] \).

2. INTERPOLATION

We will say that the kernel \( \lambda \) in (1) is barycentric if for all \( x \in \Omega \),

\[
\lambda(x, t) \geq 0, \quad t \in [a, b),
\]

(4)

\[
\int_a^b \lambda(x, t) \, dt = 1,
\]

(5)

\[
\int_a^b \lambda(x, t) p(t) \, dt = x.
\]

(6)

In this section we show that if \( \lambda \) is any barycentric kernel and \( f \) in (1) is continuous then \( g \) interpolates \( f \). A crucial point that makes this work is the strict convexity of \( \Omega \).

We start by deriving a property of the coordinates that makes precise the intuitive idea that if \( \lambda \) is barycentric then \( \lambda(x, \cdot) \) converges to a delta function as \( x \) converges to a boundary point. To show this we will use the linear precision property of such \( \lambda \), namely that if \( L \) is some linear polynomial, \( L(x) = a_0 + a_1x + a_2y \), where \( x = (x, y) \), and \( f = L| \Omega \) then \( g = L| \Omega \). This follows from the two equations (5) and (6).

**Lemma 1.** For any \( s_1, s_2, \) with \( a \leq s_1 < s < s_2 < b \), let \( J = [a, b] \setminus [s_1, s_2] \). If \( \lambda \) is barycentric then, for \( x \in \Omega \),

\[
\int_J \lambda(x, t) \, dt \to 0 \quad \text{as} \quad x \to p(s).
\]

**Proof.** Let \( L \) be any infinite line passing through \( p(s) \) that lies to one side of \( \Omega \). Such a line must exist because \( \Omega \) is convex. Then, for any \( x \in \Omega \) let \( h(x) \) denote the perpendicular height of \( x \) from \( L \). Algebraically we can express \( h \) as the scalar product

\[
h(x) = n \cdot (x - p(s)),
\]

where \( n \) is the unit vector perpendicular to \( L \) that points into \( \Omega \). Since \( h \) is a linear function, the linear precision property of \( \lambda \) implies that

\[
h(x) = \int_a^b \lambda(x, t) h(p(t)) \, dt.
\]

Due to the non-negativity of \( \lambda(x, t) \) it follows that

\[
h(x) \geq \int_J \lambda(x, t) h(p(t)) \, dt.
\]

Moreover, due to the convexity of \( \Omega \), we have, for \( t \in J \),

\[
h(p(t)) \geq \min\{h(p(s_1)), h(p(s_2))\},
\]

which is strictly positive due to the strictness of the convexity of \( \Omega \). Thus we have the upper bound,

\[
\int_J \lambda(x, t) \, dt \leq \frac{h(x)}{\min\{h(p(s_1)), h(p(s_2))\}},
\]

and since \( h(x) \to 0 \) as \( x \to p(s) \), the lemma clearly follows.

Due to the lemma, we can now prove the interpolation property, using a similar approach to that of Theorem 4 of [4].

**Theorem 1.** If \( \lambda \) is barycentric and \( f \) is continuous then \( g \) interpolates \( f \).

**Proof.** Let \( p(s) \) be any boundary point and let \( \epsilon > 0 \). Since \( f \circ p \) is continuous at \( t = s \), we can choose \( \gamma > 0 \) small enough that \( |f(p(t)) - f(p(s))| < \epsilon/2 \) for \( t \in I \), where \( I = [s - \gamma, s + \gamma] \). Next, observe that for \( x \in \Omega \),

\[
g(x) - f(p(s)) = \int_a^b \lambda(x, t) (f(p(t)) - f(p(s))) \, dt.
\]

We can split this integral into two parts, \( \int_a^b = \int_J + \int_J \), where \( J = [a, b] \setminus I \). Then, with \( M := \sup_{y \in \Omega} |f(y)| \),

\[
|g(x) - f(p(s))| \leq \sup_{t \in J} |f(p(t)) - f(p(s))| \int_J \lambda(x, t) \, dt
\]

\[
+ 2M \int_J \lambda(x, t) \, dt,
\]

and since \( \int_J \lambda(x, t) \, dt \leq 1 \),

\[
|g(x) - f(p(s))| < \frac{\epsilon}{2} + 2M \int_J \lambda(x, t) \, dt.
\]
By Lemma 1, there is some $\delta > 0$ such that if $\|x - p(s)\| \leq \delta$
then
$$\int \lambda(x, t) \, dt < \frac{\epsilon}{4M},$$
in which case $|g(x) - f(p(s))| < \epsilon$.  $\square$

3. BARYCENTRIC MAPPINGS

Let $\Psi \subset \mathbb{R}^2$ be a second convex domain, whose boundary $\partial \Psi$ is represented parametrically as $q : [a, b] \to \mathbb{R}^2$, which is continuous and injective in $[a, b]$, with $q(a) = q(b)$. We can associate with any barycentric kernel $\lambda$ a mapping $f : \Omega \to \mathbb{R}^2$ by

$$f(x) = \int_a^b \lambda(x, t) q(t) \, dt, \quad x \in \Omega. \quad (7)$$

By the interpolation property, if $\Omega$ is strictly convex we know that $f(x) = q(t)$ as $x \to p(t)$ for $x \in \Omega$ and so we can continuously extend $f$ to $\partial \Omega$ by defining $f(p(t)) = q(t)$. An important question though is whether $f$ is a one-to-one mapping from $\Omega$ to $\Psi$. Certainly, by the convexity of $\Psi$, the point $f(x)$, being a weighted positive average of the boundary points $q(t)$, must belong to $\Psi$, so $f$ maps $\Omega$ to $\Psi$. The remaining question is whether $f$ is injective. Assuming $p$ and $q$ are anticlockwise parameterizations, as in Fig. 1, the injectivity of $f$ reduces to the question of whether its Jacobian is positive in $\Omega$. To help in answering this we derive a useful formula for the Jacobian, analogous to the one in [8]. Let $f(x) = (f(x), g(x))$, and $\partial_i h(x) := \partial h_i / \partial x^i$, $i = 1, 2$. Then the Jacobian of $f$ is the determinant

$$J(f) = \begin{vmatrix} \partial_1 f & \partial_1 g \\ \partial_2 f & \partial_2 g \end{vmatrix}. $$

For differentiable functions $h_1(x), h_2(x), h_3(x)$, let

$$D(h_1, h_2, h_3) = \begin{vmatrix} h_1 & h_2 & h_3 \\ \partial_1 h_1 & \partial_2 h_2 & \partial_3 h_3 \\ \partial_2 h_1 & \partial_2 h_2 & \partial_2 h_3 \end{vmatrix}.$$ 

Moreover, we define $A(a, b, c)$ as the signed area of the triangle $[a, b, c]$,

$$A(a, b, c) = \frac{1}{2} \begin{vmatrix} a^1 & b^1 & c^1 \\ a^2 & b^2 & c^2 \end{vmatrix},$$

where $a = (a^1, a^2), b = (b^1, b^2), c = (c^1, c^2)$.

**Lemma 2.** If $\lambda$ is a barycentric kernel, differentiable with respect to the second variable, then

$$\int_{a \leq s < t < u \leq b} D(\lambda(x, s), \lambda(x, t), \lambda(x, u)) \, ds \, dt \, du.$$ 

**Proof.** Differentiating (5) and (7) gives the matrix equation

$$\begin{pmatrix} 1 & f(x) & g(x) \\ 0 & \partial_1 f(x) & \partial_1 g(x) \end{pmatrix} =$$

$$\begin{pmatrix} \int \lambda(x, t) \, dt & \int \lambda(x, t) q_1(t) \, dt & \int \lambda(x, t) q_2(t) \, dt \\ \int \partial_1 \lambda(x, t) \, dt & \int \partial_1 \lambda(x, t) q_1(t) \, dt & \int \partial_1 \lambda(x, t) q_2(t) \, dt \end{pmatrix},$$

with $q(t) = (q_1(t), q_2(t))$, and all integrals over $t \in [a, b]$. Therefore, $J(f(x))$ is the determinant of the $3 \times 3$ matrix on the right hand side. We now use the integral version of the Cauchy-Binet theorem (c.f. [14], Formula (2.5)). For functions $A_1, \ldots, A_n$ and $B_1, \ldots, B_n$, the theorem states that

$$\left( \int_a^b A_i(t) B_j(t) \, dt \right)_{ij} =$$

$$\int_{a \leq t_1 \leq t_2 \leq \ldots \leq t_n \leq b} \left( A_i(t_1) \right)_{i1} \left( B_j(t_1) \right)_{j1} \, dt_1 \, dt_2 \ldots \, dt_n.$$ 

Putting $n = 3$ and letting $A_1(t) = \lambda(x, t), A_2(t) = \partial_1 \lambda(x, t), A_3(t) = \partial_2 \lambda(x, t)$, and $B_1(t) = 1, B_2(t) = q_1(t), B_3(t) = q_2(t)$ in this formula gives the result. $\square$

Since all areas $A(q(s), q(t), q(u))$ in Lemma 2 are positive this gives a sufficient condition for injectivity.

**Theorem 2.** If

$$D(\lambda(x, s), \lambda(x, t), \lambda(x, u)) > 0$$

for all $x \in \Omega$ and all $a \leq s < t < u \leq b$ then $f$ is injective.

4. THE MAPPING OF WARREN ET AL.

Consider now the barycentric kernel (2–3). The barycentric property (6) was established for this kernel in Section 3.2 of [24] as the special, planar case of a remarkable general
are now ready to establish the injectivity of the mappings it gives (8) because
\[ C \text{ and integrating this equation with respect to } n \text{ we have} \]
then with \( p \) to show this we express the vector \( p(t) - x \) as a linear combination of the two vectors \( p'(t) \) and \( p''(t) \):
\[ p(t) - x = k(t)p'(t) + l(t)p''(t). \]
Taking the cross product of this equation first with \( p''(t) \), then with \( p'(t) \) gives the solutions
\[ k(t) = \frac{(p(t) - x) \times p''(t)}{p'(t) \times p''(t)}, \quad l(t) = -\frac{(p(t) - x) \times p'(t)}{p'(t) \times p''(t)}. \]
It follows that
\[ w(x, t)(p(t) - x) = m(t)p'(t) - n(t)p''(t), \]
where
\[ m(t) = \frac{(p(t) - x) \times p''(t)}{((p(t) - x) \times p'(t))^2}, \quad n(t) = \frac{1}{(p(t) - x) \times p'(t)}. \]
Then, using the fact that
\[ (p - x) \times p' = (p - x) \times p''', \]
we have \( n'(t) = -m(t) \). Hence
\[ w(x, t)(p(t) - x) = -(np')'(t), \]
and integrating this equation with respect to \( t \) from \( a \) to \( b \) gives (8) because \( a(a)p'(a) = n(b)p'(b) \).

With the knowledge that the kernel (2–3) is barycentric, we are now ready to establish the injectivity of the mappings it generates.

**Theorem 3.** Let \( \Omega \) be a strictly convex domain with a \( C^2 \) boundary curve and let \( \Psi \) be any convex domain. Then \( f : \Omega \to \Psi \) given by (7) and (2–3) is injective.

**Proof.** By Theorem 2 and Lemma 2 of [8] it is sufficient to show that
\[ D(w(x, s), w(x, t), w(x, u)) > 0 \]
for all \( a \leq s < t < u \leq b \) and for all \( x \in \Omega \), with \( w \) in (3). The main steps of the proof are now similar to those in the proof of Theorem 3 of [8]. One can see that
\[ D(w(x, s), w(x, t), w(x, u)) = w(x, s)\nabla w(x, t) \times \nabla w(x, u) + w(x, t)\nabla w(x, u) \times \nabla w(x, s) + w(x, u)\nabla w(x, s) \times \nabla w(x, t), \]
where \( \nabla w := (\partial_1 w, \partial_2 w) \), and a simple calculation shows that
\[ \nabla w(x, t) = w(x, t) - 2p'(t) \times (p'(t) - x) \]
where \( p^\perp = (-p^2, p^1) \). It follows that
\[ \nabla w(x, s) \times \nabla w(x, t) = 4w(x, s)w(x, t)R(s, t), \]
where
\[ R(s, t) = \frac{p'(s) \times p'(t)}{(p(s) - x) \times p'(s)(p(t) - x) \times p'(t)} \]
and consequently
\[ D(w(x, s), w(x, t), w(x, u)) = 4(R(t, u) + R(u, s) + R(s, t)). \]
So, in analogy to the proof of Theorem 3 in [8], the task is to show that the sum of the three terms \( R(u, s), R(s, t), \) and \( R(t, u) \) is positive. By the convexity of \( \Omega \) and the ordering \( s < t < u \), at least two of these terms must be positive. Thus, without loss of generality, it is sufficient to show that the sum is positive in the case that \( R(u, s) > 0, R(s, t) > 0, \) and \( R(t, u) < 0 \), as illustrated in Fig. 2. Then, with \( \tau_i \) denoting the tangent line at \( p(t) \), consider the three lines \( \tau_a, \tau_t, \tau_u \). They intersect in three points: \( r_1 \) the intersection of \( \tau_a \) and \( \tau_t \); \( r_2 \) the intersection of \( \tau_a \) and \( \tau_t \); and \( r_3 \) the intersection of \( \tau_u \) and \( \tau_t \). Then, it is easy to see that
\[ R(t, u) = \frac{(r_3 - r_2) \times (r_2 - r_1)}{((p(t) - x) \times (r_3 - r_2))(p(u) - x) \times (r_2 - r_1))}. \]
and hence

\[ R(t, u) = -\frac{A(r_1, r_2, r_3)}{2A(x, r_2, r_3)}A(x, r_1, r_2). \]

\[ R(u, s) = \frac{A(r_1, r_2, r_3)}{2A(x, r_1, r_2)}A(x, r_1, r_3), \]

\[ R(s, t) = \frac{A(r_1, r_2, r_3)}{2A(x, r_1, r_3)}A(x, r_2, r_3), \]

and hence

\[ R(t, u) + R(u, s) + R(s, t) = \frac{A(r_1, r_2, r_3)^2}{2A(x, r_1, r_2)}A(x, r_2, r_3)A(x, r_1, r_3) > 0. \]

\[ \square \]

5. EXAMPLES

In this section we illustrate our results by several examples.

The interpolation property of the kernel (2−3) is demonstrated in Fig. 3 a). Fig. 3 b) depicts a mapping between strictly convex domains generated by it. The small circles denote points with the same parameter values. Several curves similar in shape to the domain boundary and their images are depicted too. Since the image curves do not mutually intersect, the figure confirms the injectivity of the mapping.

Now consider the situation depicted in Fig. 4, top left. The domain boundary is given by a \( C^3 \) cubic spline curve whose control polygon is shown as well. By moving the control points of the spline curve the domain is deformed accordingly. Several such deformations (taken from an animation) are shown in Fig. 4. Observe in the last frame (bottom right) that the deformation is no longer injective.

6. REFERENCES


Figure 3: A barycentric interpolant and a barycentric mapping between convex domains.

Figure 4: A sequence of figures corresponding to several frames of an animation. Top left shows the original shape, the others various deformations of the shape. Bottom right depicts a concave shape inducing a non-injective deformation.