1. **Aim**

Immediate Open Access to all scholarly publications from research funded by coalition members from 2020 onwards.

cOAlition S does not favour any specific business model for Open Access publishing or advocate any particular route to Open Access given that there should be room for new innovative publishing models.

2. **Plan S Compliance**

3. **Publication Costs**

- Transparency on open access costs and fees
- A fair and reasonable APC level (incl. waivers and potential caps)
- Financial support for OA publishing via the prescribed routes

4. **Supporting Quality Open Access Journals and Platforms**

Support mechanisms for establishing Open Access journals, platforms, and infrastructures where necessary in order to provide routes to open access publication in all disciplines.

5. **Plan S applies to either:**

   - Existing grants
   - New projects grants
   - New calls

6. **formal review process** that examines the effects of Plan S

7. **Compliance and sanctioning**

Monitor compliance and sanction non-compliance through enforcing contractual requirements.

8. **Licensing and Rights**

- Author retains copyright
- Proper Creative Commons License applied

9. **Journals and platforms**

- Immediate OA
- Author retains copyright
- CC-BY-SA allowed
- Solid system for review (COPE)
- Automatic APC waivers/discounts
- No mirror journals (= hybrid)
- Transparent costs and pricing
- Machine-readable full text
- + some additional requirements

10. **Open Access repositories**

- Immediate OA to Version of Record or Author Accepted Manuscript
- Author retains copyright
- Available under CC-BY-SA
- Machine-readable full text
- + some additional requirements

And the original venue has

- self-archiving policy (SherpaRomeo)
- solid system for review (COPE)
- + some additional requirements

11. **Transformative Agreements**

- The contracts (including costs) publicly available.
- Negotiations concluded before the end of 2021
- Contracts may not last for longer than three years.
- Include a scenario that describes how the publication venues will be converted to full Open Access after the contract expires.

---

**Summary of the Implementation Guidance for Plan S**

[Link: https://www.coalition-s.org/feedback/]

Jan de Boer, Utrecht University Library

library@uu.nl

17-12-2018