Appendix b.

# **Thesis Regulations**

# **Research Master** Archaeology

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# 1. Definition and learning outcomes

### a. Definition

The Research Master's thesis (thesis) is one of the most important instruments for determining whether a student has achieved the learning outcomes for the Research Master's programme. The students put what they have learned (knowledge, understanding and skills in their subject area) into practice by setting up and carrying out a substantial and academically sound research project. For this purpose, an unambiguous problem definition is formulated (also known as the research question or central question), which can be split into subquestions if necessary. A well-formulated problem definition is essential to the success of a research project. It gives direction to the research and determines which material needs to be studied. The subquestions give structure to the research and determine how the thesis is subdivided.

The most relevant secondary and primary literature, sources and/or research data are identified and analysed in order to answer the research question as well as the subquestions. The answers are then presented in the form of an analytical argument, substantiated with sources or data that support the argument. The academic research is carried out in accordance with the conventions for the subject area. Students conduct the research independently. Their work constitutes an original contribution to the development of the subject and/or to the application of ideas within it.

The thesis should demonstrate knowledge of current research on the chosen subject. It should contain a critical reflection on the theoretical perspectives and methods used. The relevant sources, arguments, views and conclusions must be assessed in terms of their value and validity, and where necessary compared.

The thesis thus demonstrates the student's ability, based on subject knowledge, to analyse and critically appraise their own research results and what others have written on the subject. The thesis describes how the research makes a new contribution to the discipline.

The thesis forms the proof that a student can actually carry out independent research on a level that demonstrates their capacities to participate in the international debate on their topic. The level of the argumentation and the mastery of the relevant literature should be such that the thesis could be reworked into an article that could be submitted to an academic journal.

Description of Master's level according to the	Intended learning outcomes of the Research
descriptors of the Qualifications Framework	Master's Programme in Archaeology
for the European Higher Education Area	Graduates are expected to have achieved the
(Dublin descriptors)	following:
<b>1. Knowledge and Understanding</b> Students have demonstrable knowledge and understanding that is founded upon and extends and/or enhances that typically associated with Bachelor's level, and that provides a basis or opportunity for originality in developing and/or applying ideas, often within a research context	<ul> <li>1.1 have a thorough and up-to-date knowledge of at least one branch of the discipline.</li> <li>1.3 have knowledge and understanding of the research methodologies and theories currently used in archaeology.</li> </ul>
<b>2. Applying knowledge and understanding</b> Students can apply their knowledge, understanding and problem-solving abilities in new or unfamiliar environments within broader (or multidisciplinary) contexts related to their field of study	2.2 have the skills to apply research results in order to develop advanced ideas and practical applications.

### b. Learning outcomes Research Master thesis as defined in OER

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<b>3. Making judgements</b> Students have the ability to integrate knowledge and handle complexity, and formulate judgements with incomplete or limited information, but that include reflecting on social and ethical responsibilities linked to the application of their knowledge and judgements	<ul> <li>3.1 are able to critically evaluate the methodologies and theories currently used in their specialization.</li> <li>3.2 show originality and creativity when dealing with at least one branch of the chosen discipline.</li> <li>3.4 are aware of social and ethical responsibilities of research and publication in the chosen discipline and act accordingly.</li> </ul>
<b>4. Communication</b> Students can communicate their conclusions, and the knowledge and rationale underpinning these, to specialist and non-specialist audiences clearly and unambiguously	<ul> <li>4.2 be able to participate in academic debate in the chosen area of specialization and to present an academic problem convincingly, both orally and in writing.</li> <li>4.3 be able to present the results of academic research to both an academic audience and interested lay people, both orally and in writing, while making appropriate use of visual material, including digital aids.</li> </ul>
<b>5. Learning skills</b> Students have the learning skills to allow them to continue to study in a manner that may be largely self-directed or autonomous	<ul> <li>5.1 be able to formulate a research proposal independently.</li> <li>5.2 be able to write a publishable article independently in accordance with current academic norms.</li> <li>5.3 be able to reflect critically on one's own papers, presentations and general functioning in groups, and be able to accept and use to advantage the criticism of others.</li> <li>5.5 be able to plan different parallel assignments and to complete tasks on time.</li> </ul>

### 2. Instructions

### a. Language of the thesis

The thesis must be written in English. Another language may only be used with the explicit authorization of the Director of Studies (DoS) and the supervisors. If a thesis is written in another language than English, the supervisor and the DoS have to make sure that there is a second supervisor available, who is sufficiently fluent in that language to assess the quality of the work.

The style of writing should be of an acceptable academic level.

### b. Length of the thesis

The thesis must be between 20,000 and 30,000, excluding appendices, footnotes, tables and figures and bibliography. A different word length may be agreed between student and supervisor after consultation with the Director of Studies, if the type of research demands this. Assuming there are approximately 450-500 words on a page, this is 30-60 pages. These figures are based on the following calculation: the thesis is worth 30 ECTS credit points = 840 hours that is an effort of 21 full-time weeks.

### c. Format of the thesis

Each thesis should have a title page, stating name, study programme and degree programme and student number, submission date, name of the supervisor(s) and, of course, the title. The thesis should

be formatted with a line distance of 1 <sup>1</sup>/<sub>2</sub>, in a standard 12 point font. The thesis must be uploaded in PDF format in the following link: <u>https://arts.studenttheses.ub.rug.nl/form/</u> See also Appendix c. *Planning Schedule Graduation*.

### d. *PhD research proposal*

In an appendix the student may present a PhD research proposal in the format presented in Appendix d. *Format Research Proposal* (NWO format). Writing a PhD research proposal is not mandatory.

### 3. Procedure

The student is advised to start during the third semester (i.e., the first semester of the second year) with their orientation on a suitable topic and the available literature. They are also advised to approach potential supervisors in an early stage.

### a. Admission

The thesis is the final and all-inclusive assessment of the programme. Consequently, students are allowed to start with the thesis in semester IV after they have taken all (55 ECTS) compulsory courses and completed at least 45 ECTS of them. If they do not fulfil this condition, students must apply to the Board of Examiners in order to receive permission to start their thesis, they must apply to the Board of Examiners in order to receive permission to start their thesis, submitting also a clear schedule for the completion of any missing assignments and a report by the supervisor on their progress therein. Students can start with the thesis after a supervisor has been appointed, and after the thesis contract is submitted by 15 February and has been approved by the supervisor and the Director of Studies.

### b. Supervision

An individual supervisor will be appointed to each student by the DoS. Students will have the opportunity to suggest the choice of supervisor with the DoS, who will have to approve their choice. The supervisor will normally be chosen from the degree programme. A second supervisor may be appointed if this is appropriate for the subject of the thesis. External supervisors need to be informed about the thesis regulations, and sent the assessment form (see below) in advance.

Students should be aware that the supervisor has only a limited number of hours available for thesis supervision, but have the right to at least four supervision meetings:

- 1. an exploratory discussion to choose a subject;
- 2. discussion of the research setup and planning;
- 3. discussion of the first version of the thesis;
- 4. discussion of the final version of the thesis.

### c. Thesis contract

Two weeks after the beginning of the semester the student hands in a thesis contract of at least two pages signed by the student, the supervisor and the DoS.

A thesis contract (see Appendix b. Thesis Contract) should contain the following information:

- Working title
- Provisional table of contents
- Research question
- Provisional list of literature and sources
- Discussion of used methods
- Detailed time schedule, including appointments with supervisor
- If applicable, state if work for thesis requires stays abroad or away from Groningen in connection with field, museum or laboratory work during the teaching semester, and give approximate duration

- If applicable, state if work for thesis requires access to archaeological material, and if access to this material has been granted
- If applicable, provide explicit agreement with respect to the availability of necessary research facilities such as computer software or hardware, lab time, etc.

### d. Thesis schedule, deadlines and resits

There are set deadlines for the beginning (submission of thesis contract: 15 February) and the completion and submission of final assignments (1 July, with the assessment completed by 15 July). Resit possibilities are regulated following the TER (Part A, p. 13):

- i. If the final draft is not submitted on time, the student will receive a Fail mark and have a resit by submitting by the end of the next semester block. If a student submits on time, but receives a grade below 5.5 (hence a Fail), the same procedure will be followed.
- ii. If the resubmitted thesis is not awarded a Pass, the student will be given one opportunity to remedy the work by one more semester block. If the revised work cannot be awarded a Pass mark, the student will have to complete a new thesis/final assignment with a new topic and a different supervisor at a time agreed with the DoS.

Delay in completing the thesis is penalized, as punctuality is included among the criteria of the thesis assessment forms (see Appendix a. *Assessment Forms*). If students take a resit a semester block later, their grade will be lowered by 0.25; if they submit the tutorial a semester later, the grade will be lowered by 0.5, and if a year later, by 1.0. Students are not eligible for a *cum laude* award if they resit their thesis.

In highly exceptional circumstances (such as serious health or personal problems, equipment failure, etc.), delay will not be penalised. In such cases, the student must contact the Study Advisor in good time, i.e. at the moment the problem arises, and put in an application to the Board of Examiners in order to be exempted from becoming penalised for delay.

### e. Research Master Thesis Class

The Research Master thesis has been redesigned especially for Research Master students. The class is held in year 2, semester IV, as the students have to start and complete the thesis in this semester (i.e. after they have completed their compulsory courses, see above).

The Thesis class has an attendance requirement of 80%, which may be adjusted for reasons directly connected with the work undertaken for a tutorial (e.g. the need to do field, museum or laboratory work, or to attend a conference, in the Netherlands or abroad). In this case, the student must apply for such an adjustment to the Board of Examiners by including this information in the tutorial application form after having received initial approval by their supervisor and Director of Studies.

Each student:

- Attends, participates and presents research progress in the monthly sessions of the Research Master thesis class.
- Gives an oral presentation based on his/her thesis research in the presence of staff and students during a special Research Seminar in June.

### 4. Assessment and assessment criteria

The thesis is assessed by two examiners: the main assessor is the thesis supervisor (who has to take into account the advice of any co-supervisors). The second, independent, examiner is appointed by the Research Master Thesis Class coordinator. The examiners first make their own individual assessment, which they then discuss together in order to decide on a final grade, justified explicitly on the assessment form.

The mark for a thesis is based on the quality of the research setup, the quality of the research itself, and the quality of the reporting on the research. The following criteria are used (see Appendix a. *Assessment Forms*):

Topic:

- 1. Difficulty
  - 2. Originality

Process:

- 3. Independence and creativity Originality of the research
- 4. Planning, punctuality, communication
- *Quality of content:* 
  - 5. Knowledge of literature
  - 6. Critical reflection on state of question skills
  - 7. Theoretical approach
  - 8. Methodological approach
  - 9. Quality of analysis
  - 10. Use of sources
  - 11. Structure and strength of argument
  - 12. Awareness of social / ethical issues

Presentation:

- 13. Length
- 14. Structure, clarity
- 15. Annotation
- 16. Language, style
- 17. Illustrations and data presentation

The final mark is awarded by means of the thesis assessment form.

If the two grades differ more than 1.0, a third examiner (member of the Archaeology teaching staff) has to be appointed by the Board of Examiners, Expertise Team REMA.

If the student does not agree with the assessment, it is possible to seek the opinion of a third expert. This is done via the Board of Examiners, Expertise Team REMA.

### 5. Archiving and free access

Students must provide a digital version (in PDF format) of the thesis for the degree programme's archive. This should be submitted via the delivery portal of the Office for Student Affairs. The thesis is stored in the University of Groningen repository, the digital archive of University publications.

Theses in the repository can be freely accessed (from within and outside the University) if the student and supervisor give permission. Students can indicate 'freely accessible - yes/no' on the form. 'Yes' means that the student states that the supervisor has also given permission, in accordance with the Rules and Regulations of the Boards of Examiners that apply within the Faculty of Arts. Before answering 'yes' on the form, the student must check that the lecturer also agrees to the thesis being freely accessible.

### 6. **Rights and obligations of students**

Students have the right to thesis supervision as described in Section 3. In addition, the student is required to gather material in the correct way - i.e. independently - and use it to write a thesis in accordance with the regulations and conventions of the degree programme. See also the section relating to cheating and plagiarism in the relevant Teaching and Examination Regulations.

Cheating and plagiarism carry severe penalties, because it must be clear at all times that the student is the actual author of the thesis in all respects. Partial or inaccurate source references and deliberate false references will count against the student. The thesis must be the result of independent academic research. Copying or too closely paraphrasing consulted works does not comply with this. The lecturer has the right to examine the consulted sources and literature, and students must provide the lecturer with this material if required.

The copyright on a thesis is shared: both the lecturer and student have copyright on the thesis. This means that neither the supervisor nor the student can publish on the results reported in the thesis unless they have the permission of the other.

### 7. Final provisions

In cases not covered by these regulations, the Director of Studies shall have the final say.

Disputes concerning the provisions of these thesis regulations will first be brought before the Board of Examiners of the degree programme, who will take a decision that can in turn be appealed against.

Sofia Voutsaki December 2022

# 8.

Appendices a. Assessment forms

# **RESEARCH MASTER ARCHAEOLOGY THESIS ASSESSMENT - 1<sup>st</sup> Reader**

# to be handed to student and archived by Secretariat

Sub	mission d	ate					5	
Assessment date								
Name of student								
Stu	Student number							
Titl	e of thesis							
1 <sup>st</sup> F	Reader / Su	ıpervisor	Name					
		Signature						
Assessment Criteria		Assessment						
			In- sufficient (≤5.5)	Weak (≤6.0)	Sufficient (≤7.0)	Good (≤8.0)	Very Good (≤9.0)	Excellent (≤10.0)
	Topic	Difficulty						
		Originality			<u> </u>			
	Process	Comments Independence and	l		· · · ·		1	
	rrocess	creativity						
30%		Planning,			+ +			
3		punctuality,						
		communication						
		Comments						
	Quality	Knowledge of						
	of	literature			1			
	content	Critical reflection						
		on state of question			┦────┦			
		Theoretical						
		approach Methodological	<u>├</u> ────┤		┼───┼			
		approach						
50%		Use of sources			+ +			
50		Quality of analysis						
		Structure and			1 1			
		strength of						
		argument						
		Awareness of						
		social/ethical issues						
		Comments						
	Presen-	Length						
	tation	Structure, clarity						
		Annotation						
%		Language, style			1			
20%		Illustrations and						
~1		data presentation			1			
		Comments						
Gra	de		1					
	tification							
		1						

Final grade	
Justification	

## RESEARCH MASTER ARCHAEOLOGY THESIS ASSESSMENT - 2<sup>nd</sup> Reader

to be handed to student and archived by Secretariat

Submission date								
Assessment date								
Name of student								
Student number								
Tit]	e of thesis							
	Reader		Name					
2""	Keader		Name					
			Signature					
Ass	essment C	riteria	Assessme	nt				
			In- sufficient (≤5.5)	Weak (≤6.0)	Sufficient (≤7.0)	Good (≤8.0)	Very Good (≤9.0)	Excellent (≤10.0)
<b>%</b>	Topic	Difficulty						
10%		Originality						
T		Comments	ļ				Γ	
	Quality	Knowledge of						
	of	literature						
	content	Critical reflection						
		on state of question Theoretical						
		approach Methodological						
		approach						
%09		Use of sources						
9		Quality of analysis						
		Structure and						
		strength of						
		argument						
		Awareness of						
		social/ethical issues						
		Comments						
	Presen-	Length						
	tation	Structure, clarity						
		Annotation						
		Language, style						
30%		Illustrations and						
		data presentation						
		Comments						
Cre	Grade 🛛 5 to 6							
Gra	lue	□ 5 to 6						
		□ 6 to 7						
		<b>7 to 8</b>						
		□ 8 to 9						
		□ 9 tot 10						
Justification								

# b. Explanation of assessment criteria

Торіс	Difficulty	How difficult is the topic for a REMA student, taking into account their study as a whole?
	Originality	How original is the question and the approach?
	Comments	Justify.
Process	Independence and creativity	How independently has the student worked? How creative have they been in seeking solutions for problems?
	Planning, punctuality, communication	Have they adhered to planning? Have they submitted on time? Have they communicated any problems on time?
	Comments	Justify.
		Present also any mitigating circumstances (with respect for privacy)
Quality of content	Knowledge of literature	Does the student muster the necessary for this branch of the discipline? (i.e. period/region, type of evidence)
	Critical reflection on state of question	Do they contextualise their research question, and present its rationale? Are they aware of the history of research on the topic? Did they adopt a critical stance?
	Theoretical approach	Are they aware of theoretical assumptions underlying their research? Are they aware of theoretical debates surrounding this field? Have they formulated an adequate theoretical framework for their own study?
	Methodological approach	Are they aware of methodological advances in their field? Are they aware of both potential and limitations of the methods they use? Did they overcome limitations in a satisfactory way?
	Use of sources	Have they selected the appropriate sources of evidence? Are they aware of their biases and limitations? Did they integrate different data sets successfully?
	Quality of analysis	How deep, comprehensive and accurate is the analysis?
	Structure and strength of argument	Is their argument clear and well-structured? Is it convincing?
	Awareness of social / ethical issues	Are they aware of any social and ethical issues arising from their research? Do they engage with these issues?
	Comments	Justify.
		(You can also state if thesis publishable, and propose outlets for publication.)
Presen-	Length	Does the thesis adhere to prescribed length, or previous agreements?
tation	Structure, clarity	Is the thesis well structured? Is the organisation into chapters good? Are there clear research questions and conclusions?
	Annotation	Is the annotation system consistent? Are bibliographic conventions adhered to in a consistent way?
	Language, style	<i>Is the language correct? Is the writing style elegant? Are there spelling mistakes?</i>
	Illustrations and data presentation	Is the quality and quantity of illustrations adequate? Are they explained sufficiently in the text? Is the presentation of the data adequate for the purpose?
	Comments	Justify.

**c.** Thesis contract

# Thesis Contract Research Master Archaeology

Name Student:

Student number:

Name first supervisor:

A thesis contract should contain the following information:

- Working title
- Provisional table of contents
- Research question
- Provisional list of literature and sources
- Discussion of used methods
- Detailed time schedule, including appointments with supervisor
- If applicable, state if work for thesis requires stays abroad or away from Groningen in connection with field, museum or laboratory work during the teaching semester, and give approximate duration
- If applicable, state if work for thesis requires access to archaeological material, and if access to this material has been granted
- If applicable, provide explicit agreement with respect to the availability of necessary research facilities such as computer software or hardware, lab time, etc.

Student (name) (date)	(signature)
Supervisor: (name) (date)	(signature)
Director of Studies: (name) (date)	(signature)

# d. Planning schedule Graduation

# **Basic model: Step-by-Step plan for the Research Master thesis**

Graduation in semester IV

St	ep-by-step plan	Deadline*
1.	Exploratory discussion on thesis subject	During semester III
2.	Student submits thesis contract (see above) to supervisor and DoS for approval	15 February
3.	As required, interim discussions of chapters, as agreed between supervisor and student and stated on thesis schedule.	February - June
4.	Student submits first draft of thesis.	1 June
5.	Assessment by and feedback from supervisor.	1 – 15 June
6.	Student submits final draft, if relevant.	15 June - 1 July
7.	Supervisor and second supervisor assess final version, determine final mark and submit it for processing.	15 July
8.	Final discussion between supervisor and student.	15 July
9.	If thesis not submitted on time, or receives a Fail grade, resit by end of next semester block	October
10.	If resubmitted thesis does not receive Pass grade, second resit by end of semester	end January
11.	For information about graduating: <u>https://student.portal.rug.nl/infonet/studenten/let/fa</u> <u>culty-sp-</u> <u>content/bsz/onderwijsadministratie/afstuderen/</u>	When all registered courses are passed
12.	After assessment, the student must upload the thesis: <u>https://arts.studenttheses.ub.rug.nl/form/</u> N.B. thesis must be uploaded in PDF format.	Immediately after final mark has been entered in Progress
13.	When the final mark for the Master's degree has been registered in Progress, the student must inform the Office for Student Affairs that he/she is finished by filling out the <u>Application Degree Certificate Form</u> .	

\* in steps 2 to 6, other dates may be agreed in consultation with supervisor and Director of Studies because of necessary field, museum or laboratory work *e.* Format for PhD proposal based on Research Master thesis (follows NWO format)

# 1. PhD candidate

Last name and initials of the PhD candidate

# 2. Title of research proposal

# 3. Summary

Please provide a brief summary (250 words maximum) of the project in English. This should explain the title of the research proposal in greater detail.

# 4. Curriculum Vitae PhD candidate

Provide the details of the PhD candidate candidate according to the headings given below. Additional information, such as date and place of birth, gender, marital status, contact details and pictures of the candidate, should not be included in the file. The cv should not exceed two pages.

# a) Education

List the qualifications obtained and courses followed. You should also state the graduation date for any degrees completed.

If a degree relevant to the application has not been completed yet, then a written confirmation with the graduation date should be supplied. N.B. The candidate must have graduated before 1 September 2016.

- **b)** Honours, prizes, scholarships and grants State any honours, prizes and (travel) grants that have been acquired.
- c) Relevant academic experience

State student assistantships, internships, international activities, board activities, etc.

d) Other relevant positions

If applicable, state any other relevant positions fulfilled.

e) Publications

State any publications (co-)authored by the candidate (not more than 10).

**f) Motivation for doing PhD research** Briefly describe the PhD candidate's motivation for doing scientific research and why this person's experience is relevant for carrying out the project proposed. Where possible relate this to the points stated under 7a to 7e. The applicant or the candidate may formulate the motivation (i.e., it can be formulated in third or first-person). This brief explanation may contain a maximum of 300 words.

# 5. Period of funding

State the type of appointment (standard PhD, lecturer PhD), the size of the appointment in fte, the total duration of the project and the intended starting date of the research.

# 6. Description of the proposed research

The maximum permitted size of the text is 2500 words (excluding the list of references). State the total number of words used.

# 7. Research field description and code(s)

From the site www.nwo.nl/researchfields choose one main research field and subfield most relevant to your application. For interdisciplinary applications several research field(s) and/or subfield(s) can be chosen: in this case put the most important first. Provide the description of the main research field and the code and description of the subfield(s) (e.g. Religious studies and theology: 35.40.00 Bible studies, 35.20.00 History of Christianity). If applicable, provide the same for the second main research field (e.g. Language and literature: 31.15.00 Greek and Latin philology and literature).

# 8. Summary in keywords

State 5 keywords that in your opinion best characterise the content of the research proposal.

# 9. Institutional embedding and supervision

Describe the research group that the candidate will be part of and state how the dayto-day supervision of the candidate will be realised.

# 10. Work programme

Specify and phase the work plan for the intended duration of the grant. Please bear in mind that the writing phase for the final publication is a fundamental component of the work programme. Time required for holidays must also be allowed for. You should also allow time for the training and supervision programme.

# 11. Research budget

Please follow the NWO format. The research budget that can be applied for consists of (1) personnel costs, which include a bench fee of  $\in$  5000 for the entire funding period, and (2) material costs that are directly related to the research up to a maximum of  $\in$  5000 for the entire funding period. No specifications are needed for the bench fee; clear specifications are needed for the material costs.

# 12. Summary for non-specialists (in Dutch)

Provide a summary for non-specialists about your proposed research (in Dutch). In the event that your proposal is awarded funding, the Humanities Temporary Task Force or NWO Humanities can use this text for publicity purposes. Providing this summary is a compulsory part of your application. This summary should be 300-500 words long.

# 13. Summary for newsletters and website (in Dutch)

Provide a title and summary (in Dutch) that, if the application is granted, will be used in the newsletters and on the website. The summary should be 50-100 words long.